National Culvert Removal, Replacement, and Restoration Grant Program

Culvert AOP Program



Notice of Funding Opportunity Webinar

(FY2022-CULVERT-AOP-PROGRAM) October 26, 2022

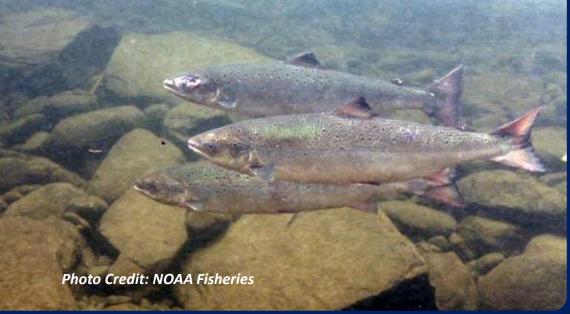


U.S. Department of Transportation

Federal Highway Administration

Unless otherwise noted, FHWA is the source for all images in this presentation.





Disclaimer





Image Credits: NOAA Fisheries

Except for the statutes and regulations cited, the contents of this presentation do not have the force and effect of law and are not meant to bind States or the public in any way. This presentation is intended only to provide clarity regarding existing requirements under the law or agency policies.

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Today's Webinar



- Welcome & Opening Remarks
- What is the Culvert AOP Program?
- Program Eligibility
- Review Process
- Application Process
- Resources
- Questions



Photo Credits: FHWA



Welcome & Opening Remarks



The U.S. Department of Transportation Welcomes You!



Let's Get Going!



- Welcome & Opening Remarks
- What is the Culvert AOP Program?
- Program Eligibility
- Review Process
- Application Process
- Resources
- Questions





- The National Culvert Removal, Replacement, and Restoration Grant program (or the Culvert Aquatic Organism Passage (AOP) Program) was established under the Bipartisan Infrastructure Law (BIL).
 - BIL § 21203; BIL division J, Title VIII, National Culvert Removal, Replacement, and Restoration Grants; and codified under 49 U.S.C. § 6703
- Provides grant funding to replace, remove, and repair culverts or weirs that would meaningfully improve or restore fish passage for anadromous fish.







Statutory Prioritization





- Projects that would improve fish passage for anadromous fish that are:
 - Listed as Threatened and Endangered (T&E)
 - Could reasonably become listed as T&E
 - Identified as prey for endangered species, threatened species, or protected species, including Southern resident orcas (Orcinus orcas)
 - Identified as **climate resilient** stocks
- Projects that would open up more than 200 meters of upstream [anadromous] fish habitat before the end of the natural habitat.



Funding

Bipartisan Infrastructure Law

- \$1 Billion over a five-year period (2022 through 2026)
- Each year, \$200,000,000 available for **Discretionary Grants**

Notice of Funding Opportunity

- Awarded projects generally have a 5-year period of performance
- Typical Anticipated Project Range:
 - \$100,000 to \$2,000,000

Grants.gov

- Award Floor: \$10,000
- Award Ceiling: \$20,000,000







U.S. Department of Transportation

Federal Highway Administration



The Culvert AOP Program:

- Administered by the Federal Highway Administration (FHWA)
- Supported by the Office of the Secretary of Transportation (OST)
- Includes the Federal Transit
 Administration (FTA) and Federal
 Railroad Administration (FRA)



Consultation

- National Marine Fisheries Service (NMFS)
- U.S. Fish & Wildlife Service (USFWS)
 - Consulted on NOFO development
 - Collaborating on providing technical assistance
 - Collaborating on grant reviews and selection process



What's Next?



- Welcome & Opening Remarks
- What is the Culvert AOP Program?
- Program Eligibility
- Review Process
- Application Process
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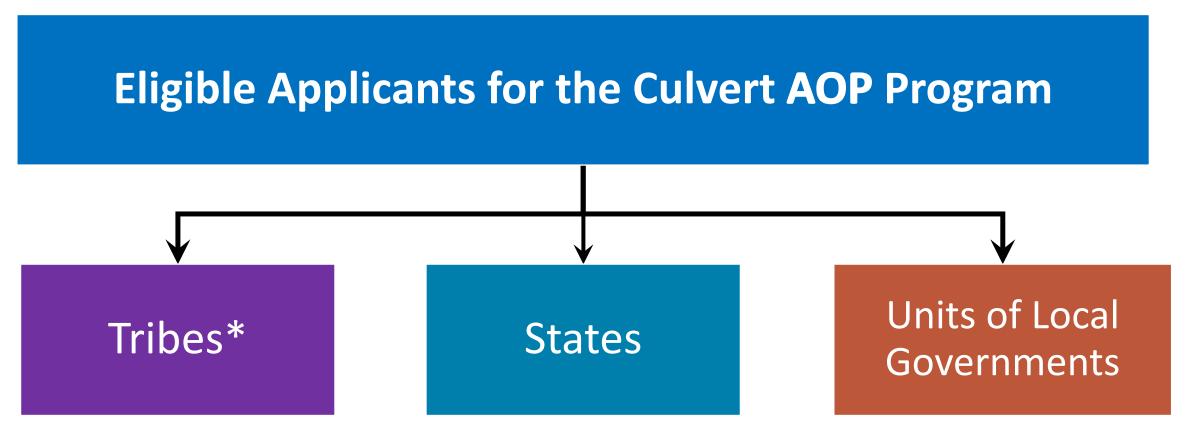
Four Aspects

- Applicants
- Cost Share
- Projects
- Range of Activities





Eligible Culvert AOP Program Applicants



^{*}For the purpose of this program and as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. § 5304), Indian Tribe means any Indian tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (85 Stat. 688) [43 U.S.C. § 1601 et seq.], which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. 49 U.S.C. § 6703(a)(2).



Eligible Applicant & Cost Sharing

Eligible Applicant Federal Cost Share

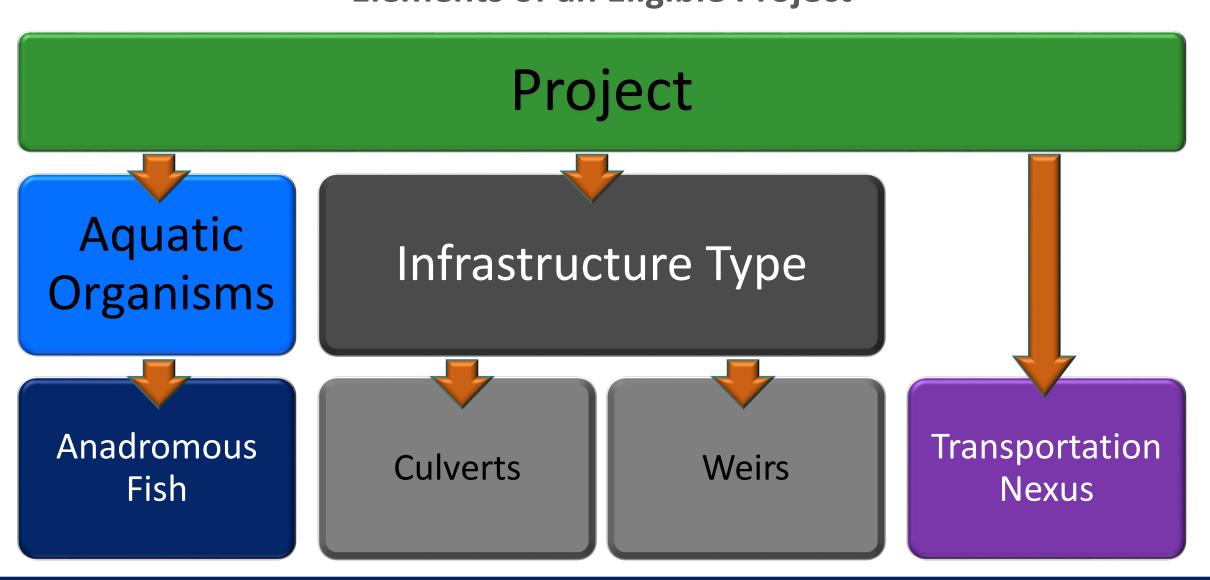
State Up to 80%

Unit of Local Government Up to 80%

Tribe 100%



Elements of an Eligible Project



Program Eligibility – Eligible projects must...



Meaningfully improve or restore fish passage for anadromous fish

- What are anadromous fish species?
 - Born in freshwater such as streams and rivers;
 - Spend most of their lives in the marine environment; and
 - Migrate back to freshwater to spawn.
- Examples of anadromous fish: Salmon (Atlantic and Pacific), smelt, striped bass, shad, river herring, steelhead, lamprey and some species of sturgeon

Example: Anadromous Fish Lifecycle

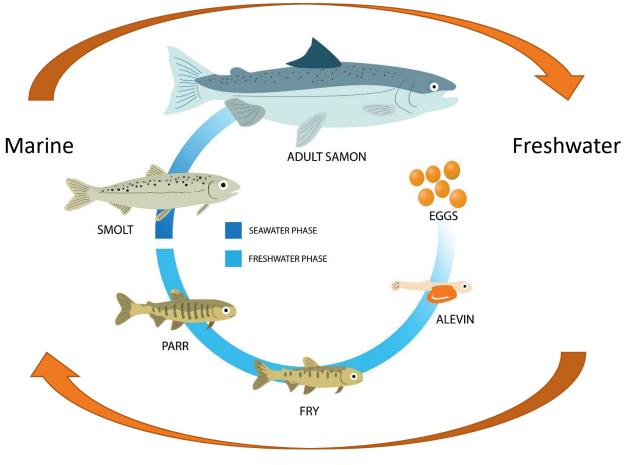


Illustration Credit: Adobe Stock/Nattapon

Eligible Projects – Eligible projects must...



Involve the replacement, removal, or repair of culverts or weirs

CULVERTS

WEIRS





Eligible Projects



What about a Bridge?





- Replacing an existing bridge would not be an eligible project...
- However, replacing a culvert with a bridge <u>would</u> be an eligible project

Program Eligibility – Other Considerations ...



... With respect to Weirs ...



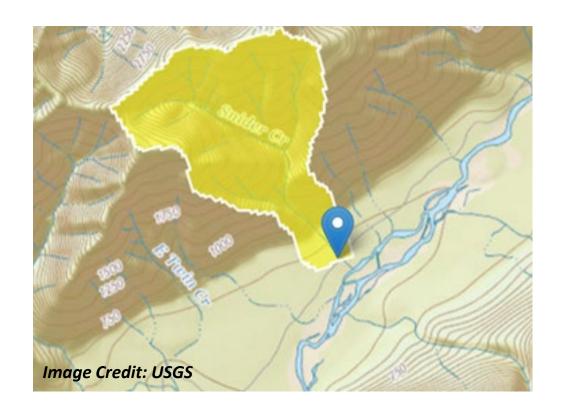
... an **Eligible Project** may also include infrastructure to facilitate fish passage around or over the weir and weir improvements.



Transportation Nexus

- Eligible Projects should also have a transportation nexus:
 - involve, relate to, or be situated in close proximity to a road/rail crossing, pipeline, highway, or other transportation infrastructure).

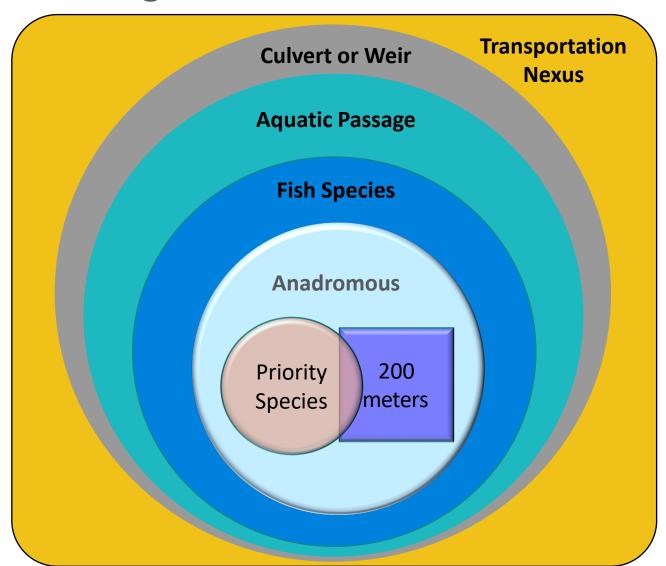




Program Eligibility & Prioritization



A conceptual image ...



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Program Eligibility – Range of Activities



Eligible activities may include ...

- Preliminary engineering
- Acquisition of rights-of-way
- Consultation and permitting
- National Environmental Policy Act (NEPA) process/associated environmental studies

Note: Funds cannot be used for pre-award costs

- DOT allows "subset" activities for potential projects that may:
 - Focus on subsets of activities within project development (e.g., environmental studies and reviews, preliminary engineering) or
 - Propose to complete project activities (e.g., final design and construction).

What Happens With My Application ???



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Culvert AOP Grant Program NOFO

U.S. DEPARTMENT OF TRANSPORTATION

Federal Highway Administration

Notice of Funding Opportunity for Fiscal Year 2022 National Culvert Removal, Replacement, and Restoration Grant Program (Culvert AOP Program)

AGENCY: Office of the Secretary of Transportation, U.S. Department of Transportation

ACTION: Notice of Funding Opportunity (NOFO)

SUMMARY:

The purpose of this Notice of Funding Opportunity (NOFO) is to solicit applications for the National Culvert Removal, Replacement, and Restoration Grant Program. The program is referred to in this notice as the Culvert Aquatic Organism Passage (AOP) Program. Grants under reterred to in unis notice as the Curvert Aquatic Organism rassage (2001) rrogram. Orange uniter the Curvert AOP Program will result in the distribution of up to \$196 million¹ in Federal Fiscal

Funds made available for FY 2022 for the Culvert AOP Program are to be awarded on a competitive basis for the replacement, removal, repair, and improvement of culverts or weirs that would meaningfully improve or restore fish passage for anadromous fish. See 49 U.S.C § 6703. This notice describes the application requirements, selection and evaluation criteria; applicable program and Federal requirements; and available technical assistance during the grant

Applications must be submitted electronically through Grants, gov no later than 11:59 p.m., Eastern Time, on February 6, 2023 (the "application deadline"). Applicants are encouraged to submit applications in advance of the application deadline; however, applications will not be evaluated, and awards will not be made, until after the application deadline.

¹ Section 21203(i) of BIL authorizes to be appropriated \$800,000,000 to carry out the program for each of FY 2022

through 7026. The Concellidated Appropriations Act 2022 (Dals 1 117.102) did not appropriate additional Section 2.1.203(I) of DLL authorizes to be appropriated SMULLAMANA to carry out the program for each of FY through 2026. The Consolidated Appropriations Act, 2022 (Pub. L. 117-103) did not appropriate additional urrough 2020. Inc Consortuated appropriations Act, 2022 (Fig. L. 117-103) and not appropriate adultional funding for this program. Under Title VIII of Division J of Bill, an additional amount of \$1,000,000,000 was tenange or the program. Oracet time visi or invision or out an assumerant amount or a common was appropriated and is to remain available until expended for the Culvert AOP Program, as authorized by 49 U.S.C. appropriated and is to remain available until expended for the Curvert AOF Program, as aumorized by 49 U.S.C. § 6703, provided that \$200,000,000 is to remain available until expended for each individual FY 2022 through 2026. 3 or no. provided that 3-200,000,000 is to retinuit as annote that expenses necessary to carry out the program under 49 U.S.C.



Elements of Application Review

- Statutory Priorities
- Project Selection Criteria
 - 1. Conservation Benefits to Anadromous Fish
 - 2. Regional and Watershed Context
 - 3. Ecosystem Benefits
 - 4. Project Design and Delivery Methods
 - 5. Project Monitoring and Evaluation
 - 6. Climate Change, Sustainability and Resilience
 - 7. Equity and Barriers to Opportunity
- Project Readiness Criteria



Review Process – Selection Criteria



Criterion #1: Conservation Benefits to Anadromous Fish

- *Include information on:*
 - The anadromous species the project will benefit
 - If the project will contribute to the recovery and sustainability of Federally protected or at-risk species, or species that are an important resource for Federally protected or at-risk species
 - If the project contributes to ecological resilience for species that are vulnerable to climate change

- The significance of the expected impact on the target species including:
 - length of spawning, rearing, and migratory habitats to be made accessible to anadromous fish; and
 - the quality of accessible habitat
- The magnitude of the potential benefit to anadromous fish
- If the project conforms with a written recovery, management, or State wildlife action plan

Review Process – Selection Criteria



Criterion #2: Regional and Watershed Context



- Include information on:
 - If the proposal contributes to a watershed strategy
 - If there is a regional or watershed plan or prioritization established by a Federal, State, local or Tribal fish and wildlife agency
 - If applicable, what the proposed project's priority is within the watershed or plan.



Criterion #3: Ecosystem Benefits

- Include information on:
 - How the project would benefit the physical, chemical and biological processes that sustain river and floodplain ecosystems
 - The extent of benefits to ecosystem processes and biodiversity
 - How the project may benefit other native aquatic and terrestrial species







Criterion #4: Project Design and Delivery Methods

- Applicants should ensure their Project Description
 - Includes clear goals and objectives
 - Applies appropriate methodologies, including design standards applicable to fish passage
 - Is technically sound
 - Ensures the safety of the traveling public over any infrastructure constructed or affected by the project
- *Applicants* should also **describe**:
 - The fish passage barrier
 - Upstream and downstream habitat
 - Design standards to be used for the project (must ensure safety of the traveling public)
 - Site characteristics that support the proposed approach
 - Appropriate personnel
 - Potential permits and ROW acquisition
 - Chosen or planned design specification for the applicable mode(s) of transportation
 - e.g., FHWA, FTA, FRA



Criterion #5: Project Monitoring and Evaluation

- Include information on whether the project considers any data collection and monitoring needs or requirements, including:
 - If these needs or requirements are proposed for pre- or post-project implementation (or both);
 - For the components of the proposal focused on fish passage feasibility and design, if the activities to develop a monitoring plan are described;
 - If no pre- or post-project implementation monitoring will be developed or performed, the applicant must clearly explain the rationale for the lack of monitoring.

2



Criterion #6: Climate Change, Sustainability and Resilience

- Include information on
 - If the project incorporates climate resilience measures or features
 - If the proposed methodology enhances resilience to extreme weather events and adaptation to potential climate change impacts anticipated at the project site

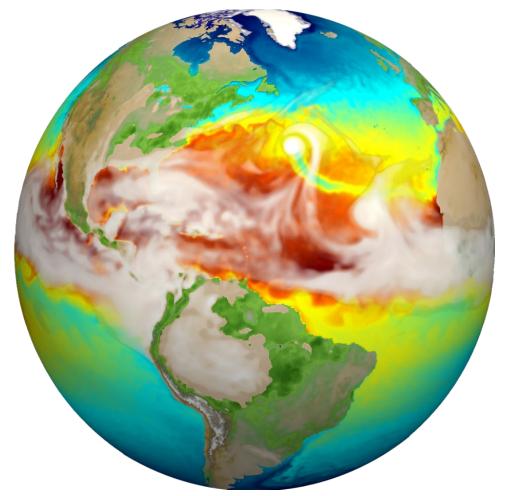
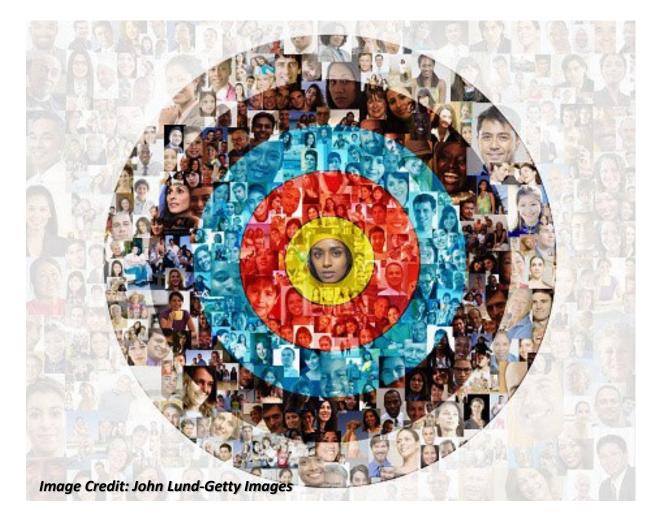


Image Courtesy of Mathew Maltrud (LANL), Energy Exascale Earth System Model (E3SM), E3SM.org



Criterion #7: Equity and Barriers to Opportunity

- Examples include projects that demonstrate
 - Benefits to fish species that are culturally important
 - Contributions to the physical sustenance or economic benefits to tribes or underserved communities
 - A commitment to good paying jobs
 - Clear use of policies to promote hiring from economically disadvantaged communities





Selection Criteria Rating

- Each criterion rated:
 - High, Medium, Low, and Non-Responsive
- Provide a narrative response for each selection criterion
 - See Application Template for assistance
- Provide supporting materials, including data and evidence to support narrative, as needed, via attachments
- Projects that receive at least one <u>High</u> rating and <u>no <u>Low</u> ratings for criterions 1-3, and <u>no <u>Non-Responsive</u></u> ratings for any criteria, will advance to the project readiness review</u>

Application Review Process – Project Readiness Assessment



Project Readiness Criteria

- 1. Environmental Review and Permitting Risk
 - High Risk (1), Moderate Risk (2), Low Risk (3)
- 2. Applicant Qualifications
 - Low (1), Moderate (2), High (3)
- 3. Financial Completeness
 - Incomplete (1), Partially Complete (2), Complete (3)

Project Readiness Rating	Description
High	All 3s
Medium-high	Two 3s, one 2
Medium	One 3, two 2s
Medium-low	All 2s
Low	Any 1s



Overall Application Rating

Highly Recommended

- Received at least two **High** ratings and <u>no ratings below **Medium**</u> for criterion 1-3, and at least three out of the four remaining project selection criteria received a **High** rating; and
- Received not less than a Medium project readiness.

Recommended

- Received at least <u>one High</u> rating and <u>no ratings below Medium</u> for criterion 1-3, and at least two out of the four remaining criteria received a **Medium** or better rating; and
- Received not less than a Medium project readiness.

Not Recommended

- Received two or more Low ratings for criterion 1-3 and received a Non-Responsive on any of the criteria.
- Is not otherwise assigned a **Highly Recommended** or **Recommended** rating.



Review and Selection

Step A Analysis

Evaluate based on eligibility requirements

8 Analysis Team

Evaluate based on **Seven NOFO selection** criteria

Analysis Team

Evaluate based on project readiness

Second Level Review Team

Compiles
Evaluations and
Assessments

Senior Level Review Team

> Makes Recommendations for Secretarial Approval

Secretary

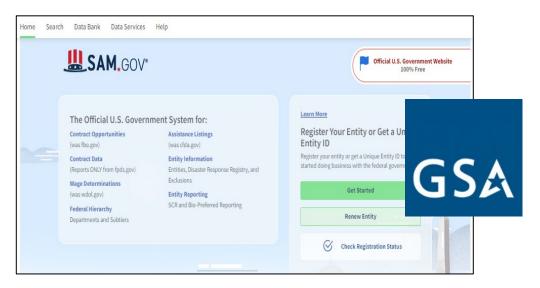
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Selects Grants

Let's Re-visit Those Applications ...



- Welcome & Opening Remarks
- What is the Culvert AOP Program?
- Program Eligibility
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- See NOFO for full outline of application requirements. Funding Opportunity number FY2022-CULVERT-AOP-PROGRAM.
- All applications for the Culvert AOP Program must be submitted electronically through Grants.gov by 11:59 PM (Eastern Time) on Monday February 6, 2023.



https://www.grants.gov/web/grants/view-opportunity.html?oppId=343962

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- Applications must include the following (submitted as individual PDFs):
 - A Project Narrative
 - Standard Form 424 Application for Federal Assistance
 - Standard Form LLL Disclosure of Lobbying Activities
 - Grants.gov Lobbying Form
 - Key Contacts

Additionally, applications for their respective project should include...

Non-Construction-Related Projects	Construction-Related Projects
 Standard Form 424A – Budget Information	 Standard Form 424C – Budget Information
for Non-Construction Programs, and Standard Form 424B – Assurances for Non-	for Construction Programs, and Standard Form 424D – Assurance for
Construction Programs.	Construction Programs.

Standard Form 424A or 424C (as applicable) **must** be supported by a budget narrative explaining each element of cost.



- The Project Narrative should follow the outline below:
 - Basic Project Information Description, Location, and Parties
 - Grant Funds, Sources and Uses of all Project Funding
 - Project Selection Criteria
 - Project Readiness and Environmental Review and Permitting Risk
- The **Project Narrative** should...
 - Provide information necessary for DOT to determine that the project satisfies project requirements for the Program and the Selection Criteria.
 - Provide **supporting data and documentation** in a form that is directly verifiable by DOT (to the extent practicable).
 - Prepared with **standard formatting preferences** (i.e., single-spaced, 12-point font, Times New Roman, 1-inch margins).

Note the **Project Narrative** must not exceed 25 pages in length. Appendices do not count towards the 25-page limit.



DOT encourages Applicants to use

Culvert AOP Program Application

Template (Attachment 1 of the NOFO)

to develop their Project Narrative.

DOT intends the template to help applicants provide the required information laid out in the NOFO:

- Basic Project Information
- Project Costs
- Project Selection Criteria
- Project Readiness Criteria

FY 2022 National Culvert Removal, Replacement, and Restoration Grant Program (Culvert AOP Program)

Attachment 1 - Application Template

The DOT provides this FY 2022 Culvert AOP Program Application Template to assist project sponsors who intend to apply for a Culvert AOP Program FY 2022 grant. Interested eligible applicants should read the FY 2022 Culvert AOP Notice of Funding Opportunity (NOFO) in its entirety and especially where noted in this application template to submit eligible and competitive applications.

This is a copy of the official Application Template that is found on Grants.gov.

Basic Project Information

Basic Project Information — Provide a narrative for the below items on basic details pertinent to the project, including project name, description, location, involved parties, etc. Items in this section will be used to determine grant program eligibility as detailed in Section C of the NOFO.

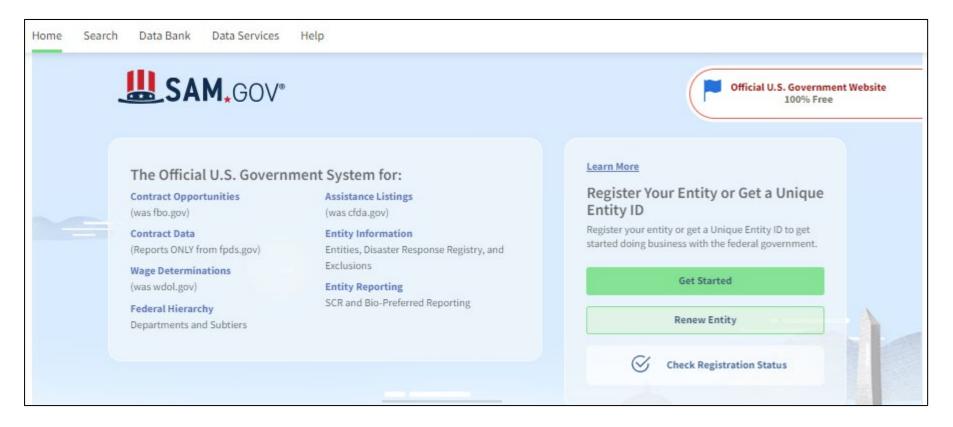
1. Project Name	
Eligibility Criteria	
2. Project Description	Provide a concise description of the project, including how the project will (1) meaningfully improve or restore fish passage for anadromous fish; and (2) involve the replacement, removal, or repair of culverts or weirs, or weir improvements. With respect to weirs, applicant may also describe how the project facilitates fish passage around or over the weir and weir improvements.
	Also, the project description should include the transportation nexus of the proposed project (e.g., involve, relate to, or be situated in close proximity to a road/rail crossing, pipeline, highway, or other transportation infrastructure).
	This section should discuss the project's history, including a description of any previously incurred costs. The applicant may use this section to place the project into a broader context of other infrastructure investments being pursued by the project sponsor.
	investments being pursued by the project sponsor.

Note the **Project Narrative** must not exceed 25 pages in length. Appendices do not count towards the 25-page limit.

First Website: SAM.gov



- Must be registered in SAM.gov (free)
- Must have an UEI (Unique Entity Identifier)(replaces DUNS Number)



https://sam.gov/content/home or https://sam.gov

Second Website: Grants.gov



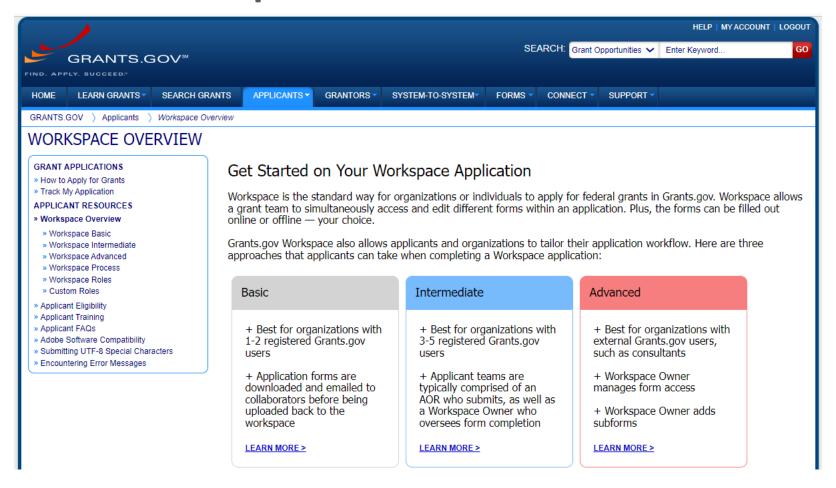
What Applicants will see ...



https://www.grants.gov/web/grants/applicants.html



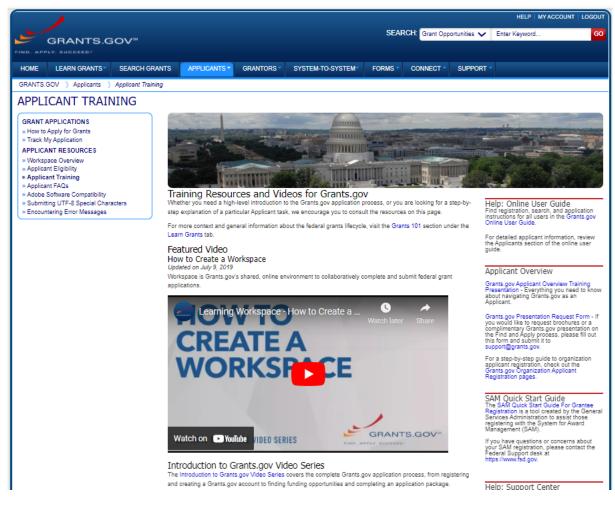
Workspace Workflow Overview



https://www.grants.gov/web/grants/applicants/workspace-overview.html



Available Online Training

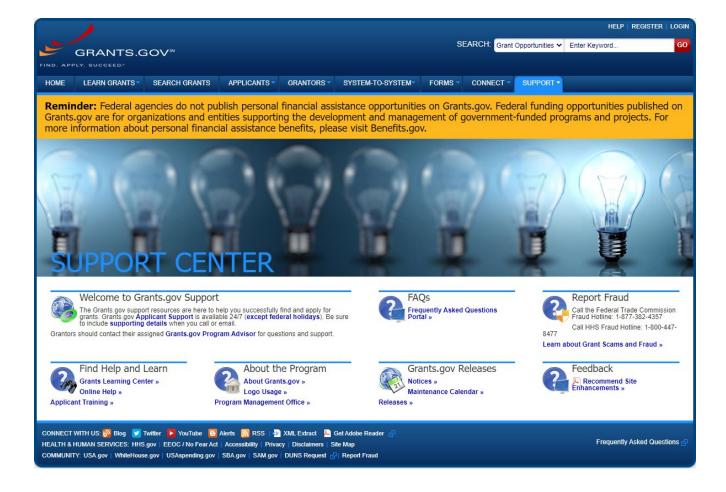


https://www.grants.gov/web/grants/applicants/applicant-training.html



- Grants.gov Online Help
- Introduction to Grants.gov Video
 Series

Resources





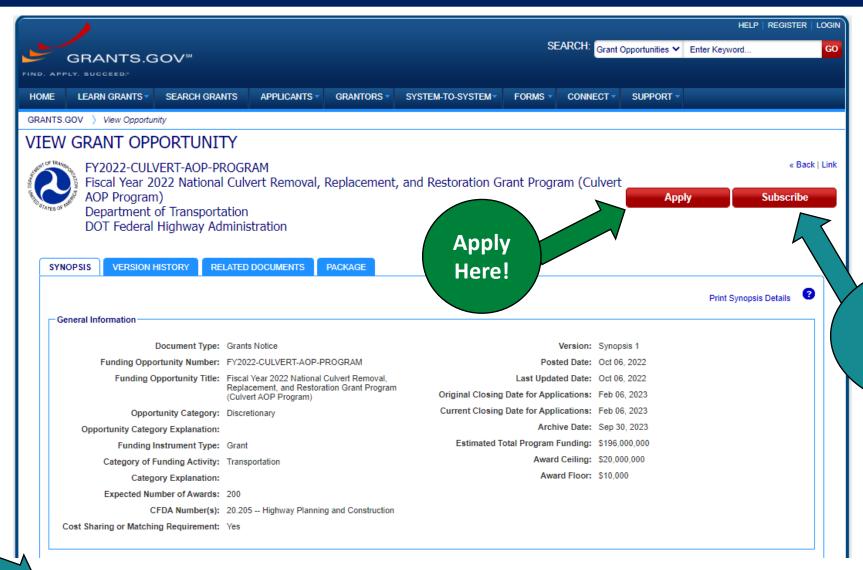
The Grants.gov Helpdesk is:

Available 24 hours a day 7 days a week excluding federal holidays.



- 1-800-518-4726 (U.S.)
- 1-606-545-5053 (International)
- support@grants.gov



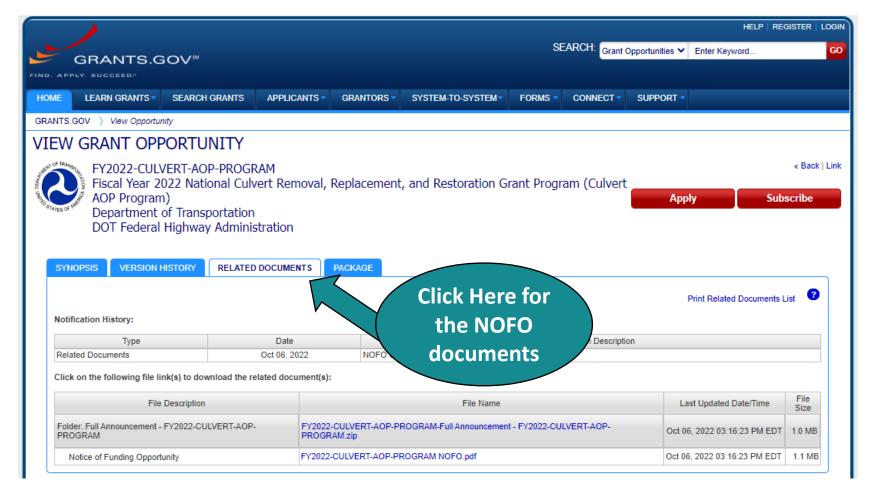


Pro Tip: Click
Here to
Subscribe
for Updates!

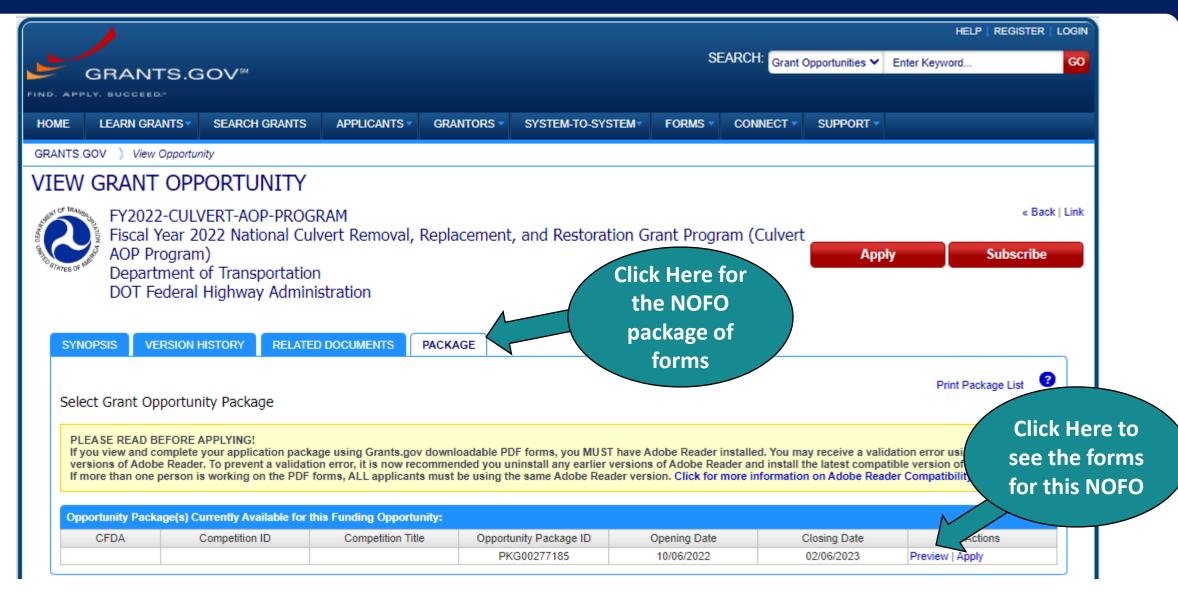
This is the direct link to this NOFO



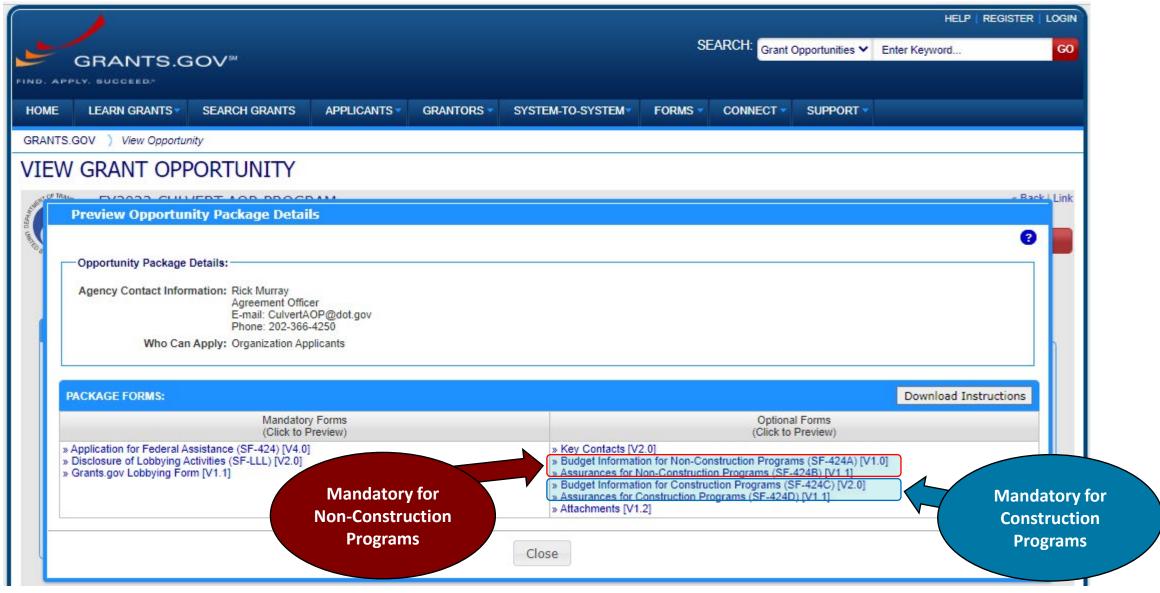
Click "Related Documents" to view the NOFO Documents













Uploading the Application Attachments on Grants.gov...

ATTACHMENTS FORM Instructions: On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines. Important: Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details. 1) Please attach Attachment 1 Add Attachment Delete Attachment View Attachment 2) Please attach Attachment 2 Add Attachment Delete Attachment View Attachment 3) Please attach Attachment 3 **Delete Attachment** View Attachment Add Attachment 4) Please attach Attachment 4 Add Attachment **Delete Attachment** View Attachment 5) Please attach Attachment 5 Add Attachment Delete Attachment View Attachment 6) Please attach Attachment 6 Add Attachment Delete Attachment View Attachment 7) Please attach Attachment 7 Add Attachment Delete Attachment View Attachment 8) Please attach Attachment 8 Delete Attachment View Attachment Add Attachment Delete Attachment 9) Please attach Attachment 9 Add Attachment View Attachment 10) Please attach Attachment 10 Delete Attachment Add Attachment View Attachment

Almost Finished!



- Welcome & Opening Remarks
- What is the Culvert AOP Program?
- Program Eligibility
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Main Culvert AOP Program site

https://www.fhwa.dot.gov/engineering/hydraulics/culverthyd/aquatic/culvertaop.cfm

- Background
- Fact Sheets
- Grant & Related Links
- Grant Resources
- Technical Assistance
 - Q&As (coming soon)
 - Videos
 - Presentations
 - Technical materials
 - Training



NOAA NMFS Resources:

- <u>Endangered and threatened anadromous species</u> A NMFS directory of endangered and threatened species
- <u>Species that are candidates for Endangered Species Act listing</u> a NMFS directory of species that are being considered for listing under the Endangered Species Act.
- <u>Climate Vulnerability Assessments</u> identify what species may be most vulnerable based on their exposure to projected changes in the environment (e.g., warming oceans) and their sensitivity or adaptability to handle those changes based on their life history characteristics (e.g., reproductive rates, diet, etc).
- <u>NOAA Restoration Center Implementation Monitoring Guide</u> Guidance for proposing and conducting Tier 1 monitoring.
- NOAA Fisheries West Coast Region Anadromous Salmonid Design Manual (2022)
- West Coast Region Guidance to Improve the Resilience of Fish Passage Facilities to Climate
 Change (2022)
- Southern Resident Killer Whale Priority Chinook Stocks Report (2018)



USFWS Resources:

- ESA Listed Species and Related Resources
- Culvert Design Guidelines for Ecological Function
- Design, Review, and Construction of Stream Crossings for Ecological Function Workshop held by USFWS Alaska in March 2022
- <u>Federal Interagency Nature-like Fishway Passage Design Guidelines for Atlantic Coast Diadromous</u>
 <u>Fishes</u>
- USFWS Northeast Region Fish Passage Engineering Design Criteria—available upon request
- USFWS Northeast Region Stream Crossing Aquatic Organism Passage Design Checklist—available upon request

5



Other DOT Programs for AOP and Wildlife Crossing



- Bridge Investment Program
- PROTECT (Promoting Resilient Operations for Transformative, Efficient, and Cost-Saving Transportation)
- Wildlife Crossings Pilot Project
- Nationally Significant Multimodal Freight and Highway Projects

More Resources



Other Federal Grant Programs

FY22 NATIONAL FISH PASSAGE PROGRAM, Department of the Interior, Fish and Wildlife Service

- https://www.grants.gov/web/grants/view-opportunity.html?oppId=339334.
- Estimated Total Program Funding: \$38,000,000

Restoring Tribal Priority Fish Passage through Barrier Removal under the IIJA, Department of Commerce

- https://www.grants.gov/web/grants/view-opportunity.html?oppId=341139
- Estimated Total Program Funding: \$12,000,000

NOAA's Restoring Fish Passage through Barrier Removal Notice of Funding Opportunity under the IIJA, NMFS

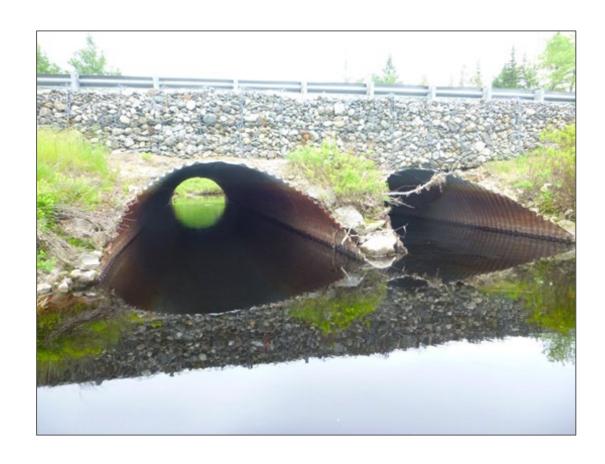
- https://www.grants.gov/web/grants/view-opportunity.html?oppId=341121
- Estimated Total Program Funding: \$65,000,000

Partners for Fish and Wildlife FY22, Department of the Interior, Fish and Wildlife Service

- https://www.grants.gov/web/grants/view-opportunity.html?oppId=336921
- Estimated Total Program Funding: \$15,000,000

Questions?







Contact: CulvertAOP@dot.gov

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