# e-Construction & Partnering: A Vision for the Future

FHWA Virtual Summits September 27 & 29, 2016











Photo Sources: FHWA unless noted

Source: Michigan DOT





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## Welcome and Introductions

# **Agenda**

Topic	Presenter/Facilitator		
Welcome and Introductions	Tom Zagorski, Michael Baker International		
Introduction to e-Construction and Partnering: A Vision for the Future	Kathryn Weisner, FHWA		
State DOT e-Construction and Partnering	Rob Wight, Utah DOT		
State DOT e-Construction and Partnering	Jim Foringer, Pennsylvania DOT		
Q & A	Rob Wight, Utah DOT Jim Foringer, Pennsylvania DOT		
e-Construction and Partnering Maturity Matrix	Tom Zagorski, Michael Baker International		
Roundtable Discussion on Implementation Activities	Tom Zagorski, Michael Baker International		



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# Introduction to e-Construction and Partnering: A Vision for the **Future**

# What is *Every Day Counts* (EDC)?

State-based model to identify and rapidly deploy proven but underutilized innovations to:



shorten the project delivery process



enhance roadway safety



reduce congestion



improve environmental sustainability

EDC Rounds: 2-year cycles

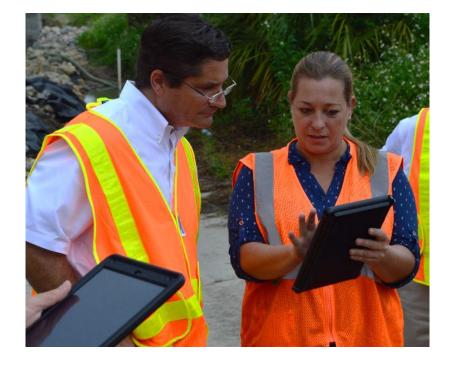
To date: 3 rounds, 35 innovations

Initiating 4<sup>th</sup> Round (2017-2018) 11 innovations



#### Where We've Been: e-Construction





Source: Florida DOT



### Status Update on EDC-3 Activities





Peer Exchanges



FHWA Tablet
Device Pilot
(iPad/Surface Pro)

# Regional Workshops



# e-Construction Website





# **EDC-4 Funding Opportunities:**



# Accelerated Innovation Deployment (AID) Demonstration

• \*New\* Notice of Funding Opportunity (NOFO) under FAST Act > GOAL: \$10million per year [23 U.S.C. 503(c)(2)(B)]



# State Transportation Innovation Council (STIC) Incentive

• Up to \$100,000 per STIC per year [under AID]



# Increased Federal-share for Project-level Innovation

 Increase federal share up to 5 percent of the total project cost [23 U.S.C. 120(c)(3)]



### Challenges for e-Construction

One size doesn't fit all

Integration of and use of legacy systems

Management buy-in

Measuring Return on Investment



#### Goals for EDC-4



#### **Continue EDC-3 successes**



Gather feedback on how much the States value EDC-3 activities and solicit new ideas for EDC-4



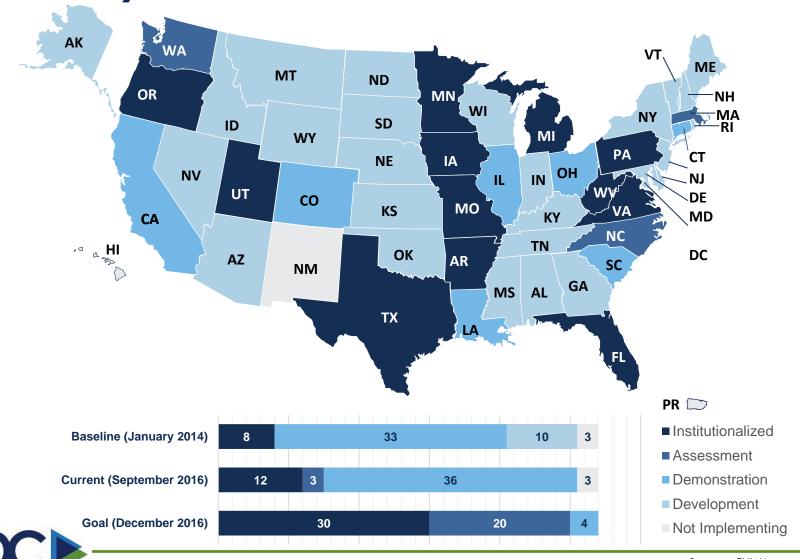
Share new State perspectives on e-Construction and Partnering



New definition of maturity levels and lead State status



# e-Construction Lead States – Current Status (09/2016)



#### e-Construction – What's Next?

Holistic approach

Data vs Documents Local & Industry partnering

Alternate Delivery Methods

ROI



#### e-Construction and Partnering: A Vision for the Future

#### e-Construction

- Paperless construction administration
- Digital project management (coordination, collaboration, & transparency
- Secure & expedited document distribution to project stakeholders
- Single source of truth

Improve
efficiency &
project
performance
through
enhanced
communication,
transparency,
coordination &
collaboration
among
stakeholders

#### **Partnering**

- Build a relationship of mutual trust
- Achieve mutually beneficial goals
- Communicate openly
- Resolve issues and minimize disputes



### Partnering – Renewed Interest

Reduce claims

Alternate delivery methods

Outdated policy and procedures

A changing workforce



## Partnering & e-Construction

#### **Transparency**

Open access & sharing of records

#### **Project Team Identification**

- Early alignment & integration
- Mutual trust, respect, & understanding of mutual goals

#### **Streamlined Process/Procedures**

Efficiency & Accountability

#### Early issue resolution & Dispute Detection

- Proactive instead of reactive
- Open & honest communication



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# Rob Wight, Utah DOT

#### **Outline**

**About UDOT** 

e-Construction
History and
current practice

Future of e-Construction at UDOT

Partnering Culture at UDOT Future of e-Construction and Partnering



#### **Overview of UDOT**



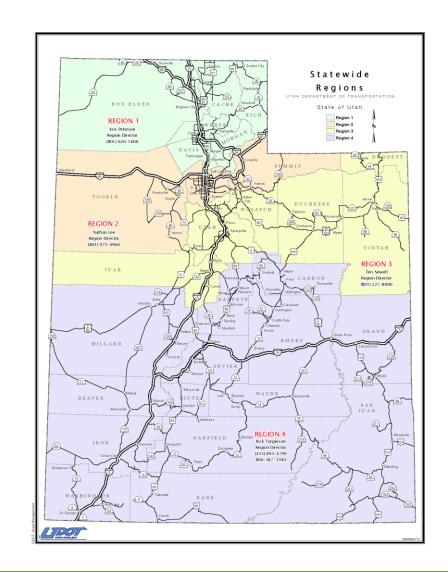
17 Construction Crews

30 Full Time Inspectors

500 Cross Trained Transportation Technician Inspectors

Approx 170 projects / yr

\$700M to 800M program per year 70% state funding





#### e-Construction – How did UDOT get here?

5 year process, Created Business Case

**Funding Model Determined** 

Buy in from Senior management, Legislature

Show Return on Investment – Key to Acquiring Funding

Determine Internal vs External software development

Does the System Make the employee and process more efficient?



#### Current State of e-Construction at UDOT

Paper
Prequalification and
DBE Certification
Processes

Electronic Materials
Database

Projectwise for Document Storage

Electronic Estimating, Advertising & Bidding

Electronic Civil Rights Module

Cosign or Adobe for electronic signatures

MW Field book On Mobile Devices

Contract
Management
implemented Jan
2017



#### Future of e-Construction at UDOT

Online
Prequalification and
DBE Certification
Processes
Completed 2017

Electronic
Estimating, Online
Advertising &
Bidding Complete
2017

MW Field book On Mobile Devices

MW Electronic Materials Database 2017

MW Electronic Civil Rights Module 2018

MW Contract Management Projectwise for Document Storage

Cosign or Adobe for electronic signatures



### e-Construction/IDC Pilots at UDOT

Electronic Plan Viewer – Currently exploring several different applications

Exploring use of UAVs – bridge inspection, quantity calculation

Exploring/implementing remote camera inspection

Delivering the Model as the Contract Document

Continuing funding stream identified by Legislation



## **UDOT Partnering Culture**

Partnering is a culture, not a process

- Good Processes can lead to culture change
- Needs to be championed all levels of the organization(s)

UDOT partnering values – Our way of doing business

- Fairness
- Cooperative Behaviors
- Teamwork
- Open and Honest Communication
- Joint Problem Solving
- Rapid Dispute Resolution at the Field Level



## **UDOT Partnering Culture**

# All projects are partnered in one of the following ways:

- Formal = Hired outside Facilitator
- Informal = Resident and Contractor PM facilitate
- Semi-Formal = Trained internal facilitator
- Costs are shared between contractor and UDOT
- Initial partnering session
- Executive level follow ups monthly on some projects
- Issue escalation process

#### Risk Based Partnering meetings

- Not a hug fest, but working meetings
- Identify challenges/risks on project and discuss framework to deal with them



## Partnering Results

# Claims performance

In past 5 years 2 claims have elevated to Claims Review Board (Highest Administrative Level)

2 additional projects have gone to litigation in this time period

# Project Close out

Projects went from average of 160 days close out in 2011from substantial completion to 80 days in 2016



## **Program Level Partnering**



Program Level Partnering – Key to success in

#### e-Construction

- Monthly meeting AGC
- Task groups formed to address certain challenges from a program perspective
- AGC representative on UDOT standards committee, selection committees for CMGC contracts





# Including Contractor partners in development.

- e-bid system development example
- UAV example
- IDC (3D) and AMG
- Understanding contractor's processes and designing processes/software for efficiencies
- · Document submittal and processing/tracking





How can e-Construction systems help amplify the partnering on projects and lead to more trust?



#### Partnering value: Open and Honest Communication, Fairness

- Document Control/Collaboration systems can communicate submittal requirements
- Pay estimates can be routed through electronic systems to make sure timely payments are made



How can eConstruction
systems help amplify
the partnering on
projects and lead to
more trust?

Partnering value:
Problem Solving at the field level

- Better access to search capabilities in inspector's reports can lead to better understanding of issues and lead to better resolution
- Access to information in the field can lead to quicker resolution



How can
Partnering help
make eConstruction
system realize
maximum
efficiencies?

- Collaboration with
   Contractors on development and testing
- Contractor feedback after roll out



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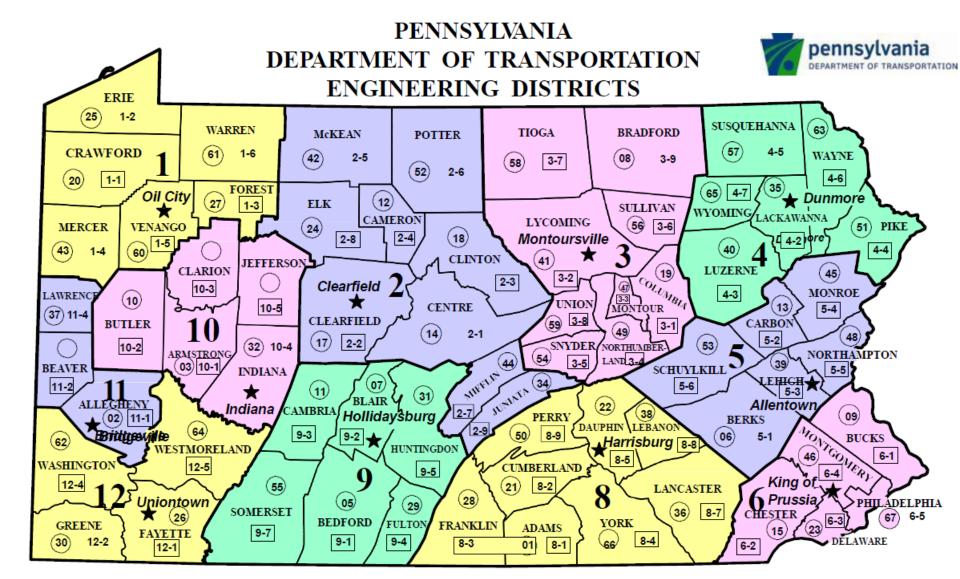








# Jim Foringer, Pennsylvania DOT





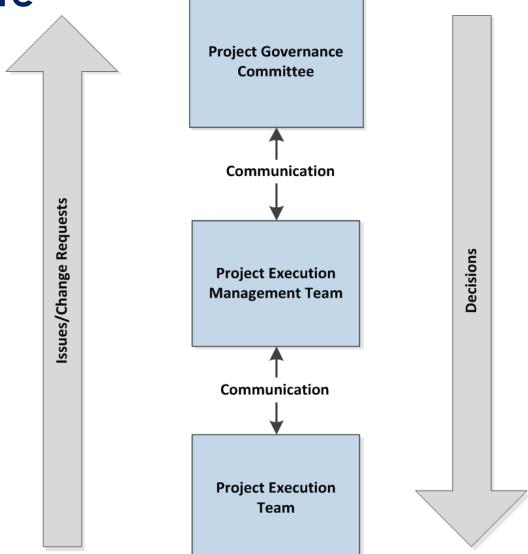
## **PennDOT's Construction Projects**

District	# of Overall Projects	Overall \$ Value	# of State Projects	State Project \$ Value	# of Local Projects	Local Project \$ Value
1	89	\$247,707,272.41	81	\$236,314,530.01	8	\$11,392,742.40
2	103	\$335,177,242.44	95	\$329,567,133.72	8	\$5,610,108.72
3	79	\$280,980,528.83	74	\$276,973,222.25	5	\$4,007,306.58
4	124	\$753,252,308.06	113	\$741,646,356.81	11	\$11,605,951.25
5	110	\$685,882,879.17	100	\$599,720,541.27	10	\$86,162,337.90
6	168	\$2,443,470,495.89	125	\$2,172,265,240.90	43	\$271,205,254.99
8	163	\$540,816,217.50	150	\$514,666,982.86	13	\$26,149,234.64
9	68	\$362,344,906.60	62	\$358,984,482.74	6	\$3,360,423.86
10	65	\$226,867,718.66	63	\$225,663,098.97	2	\$1,204,619.69
11	110	\$886,011,429.68	94	\$797,298,755.87	16	\$88,712,673.81
12	83	\$579,571,888.19	74	\$572,470,522.12	9	\$7,101,366.07
Totals	1162	\$7,342,082,887.43	1031	\$6,825,570,867.52	131	\$516,512,019.91



PennDOT's Standard IT Project Governance

Structure





## PennDOT's Existing e-Construction

# Engineering and Construction Management System (ECMS)

- e-Bidding
- Signatures
- Estimates
- Work Orders (FHWA part of approval)
- Time Extensions (FHWA part of approval)
- Punch List
- Project Closeout (include Notice of Final Quantities)
- Consultant Agreement
- Consultant Mileage & Hour Phase 1
- Source of Supply Phase 1



## PennDOT's Existing e-Construction

#### Mobile applications

MC Docs Application



MC PSA Application



MC Punchlist Application



#### PennDOT Project Collaboration Center (PPCC)

- Submittals with automated workflow
- Shared/Project Files/Photos

#### **eCAMMS**

 Electronic Plant Books, mix designs, sampling test results, approved source bulletins, District Letter of Materials Certification (T-4238A)



#### PennDOT's Future e-Construction Initiatives

- Automated Force Account
- Automated PennDOT Mileage and Hour
- Consultant Mileage and Hour Phase 2
- Source of Supply Phase 2
- Mobile Applications
  - Concrete Inspection Diary
  - Force Account
  - Environmental and Sediment Checklist
  - Traffic Control Review Automatic
  - Americans with Disability Act Ramp Inspection
- Archiving of Records
- Roll all applications into 1 stop shop
- Explore e-ticketing



# **PennDOT's Inspection Man Hours**

PennDOT	1 Q Sum	2 Q Sum	3 Q Sum	4 Q Sum	Total
Quarterly Sum	71,053.00	284,212.50	284,212.50	213,159.00	852,637.00
	8.33%	33.33%	33.33%	25.00%	
Consultant	1 Q Sum	2 Q Sum	3 Q Sum	4 Q Sum	Total
Quarterly Sum	73,404.00	428,203.25	563,484.75	382,421.00	1,447,513.00
	5.07%	29.58%	38.93%	26.42%	
	First Quarter	Second Quarter	Third Quarter	Fourth Quarter	Overall
Projected	6.70%	31.46%	36.13%	25.71%	
Savings per	0.70/0	31.40/0	30.13/0	23.71/0	
Quarter	\$1,172,882.03	\$5,505,093.10	\$6,322,849.57	\$4,499,175.29	\$17,500,000.00



### PennDOT's Return On Investment

Project	<b>Initiation Dates</b>	<b>Development Cost</b>	Overall Savings
CDSv3	August 2012	\$ 2.74 million	\$5 million/year
Release 1 implemented 8-18-14			
Release 3.0 implemented 7-18-16			
Mobile Construction	July 2012	\$1.67 million	\$28 million
Sprint 1 implemented 9-6-13			
Sprint 40 implemented 8-23-16			
PPCC	October 2011	\$5.2 million	\$29.1 million
Release 1 implemented 9-13-13			
Release 5.1 implemented 8-15-16			
eCAMMS	January 2012	\$6.9 million	Being assessed
Implemented January 2012			



### PennDOT's Partnering with e-Construction

**PPCC** 

**Automated Force Account** 

User Acceptance Testing

Local Sponsors – utilizing PennDOT's systems

Consultant Mileage and Hour Application

Estimate tracking

Work Order turn around



### PennDOT's Partnering Current Process

Formal Partnering

High profile/critical projects

Outside facilitation

**Shared Costs** 

1-Day Duration

Executive Partnering Follow-Up Sessions



### PennDOT's Partnering Future Process

3-Tiered Approach: Based on Value of Project

- < \$5 million no formal session</li>
- \$5-\$25 million ½ day facilitated session
- > \$25 million full day facilitated session

Complexity of project may change the type of session



# PennDOT's Transportation Quality Initiative (TQI)





















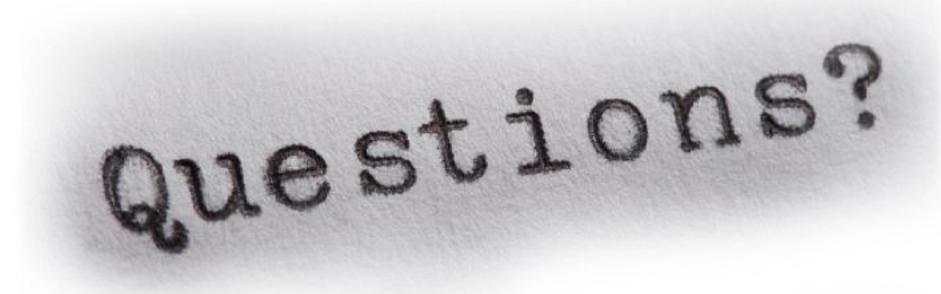
#### PennDOT's TQI's Framework



- Culture of Quality
- Shared Vision & Values
- Trust & Collaboration
- Partnership



### **Presentation Q&A**





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# e-Construction and Partnering **Maturity Matrix**

# e-Construction and Partnering Maturity Matrix

**Self-assessment tool Re-assess annually** Determine your areas for advancement TAKE ADVANTAGE OF FHWA RESOURCES (E.G. PEER EXCHANGES, WEBSITE, ETC.)

Share the workbook matrix with your agency colleagues and consider completing it and revisiting annually



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# Roundtable Discussion

#### Roundtable Discussion: e-Construction

What are your observations/suggestions regarding EDC-3 activities?

What barriers exist to your agency's implementation?

FHWA implementation plan:

What can we do to further advance the deployment of e-Construction?



## Roundtable Discussion: Partnering

How does your agency use/view Partnering and what benefits have you experienced?

What are some of the barriers?

FHWA implementation plan:

What can we do to further advance the renewal of Partnering?



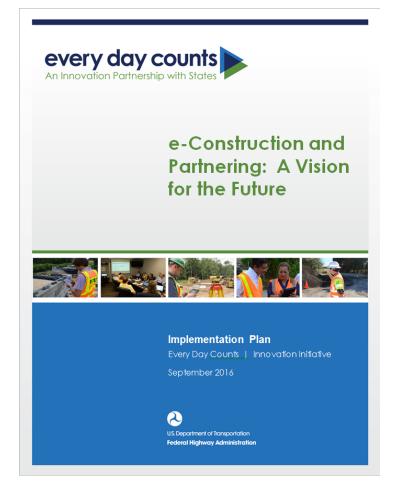
## Roundtable Discussion: Synergies

What are the synergies that you see in the implementation of the e-construction and partnering principles presented?

How might your current partnering practices accelerate or enhance the implementation and benefits derived from e-construction?



### Implementation Plan



#### **FHWA VISION**

Advance the state of the construction administration technology and project collaboration.

#### **MISSION STATEMENT**

Optimize construction field system processes; minimize questions, claims, and disputes; and reduce overall project costs.



#### **FHWA Contacts**

#### e-Construction

#### **Partnering**

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