EDC-4 State DOT Regional Summit Presentations

Summit Location	Date	State DOT Presenters	
Baltimore	Oct. 18, 2016	Rob Wight (Utah), Jim Foringer (PennDOT)	
Minneapolis	Oct. 25, 2016	Quinton Tillman (Florida), Tom Warne (Consultant)	
Albany	Nov. 1, 2016	Ben Browning (Arkansas), Sandi Keller (West Virginia)	
Portland	Nov. 29, 2016	<u>Joe Squire</u> (Oregon), <u>Rob Wight</u> (Utah)	
Sacramento	Dec. 1, 2016	Rachel Falsetti (Caltrans), Janet Treadway (Ohio)	
Austin	Dec. 6, 2016	Sarah Kleinschmit (Missouri), Amy Tootle (Florida)	
Orlando	Dec. 14, 2016	Greg Mulder (Iowa), Amy Tootle (Florida)	
FHWA Presentations		Kat Weisner, Bernie Kuta,, Matt DiGiovanni, John Haynes	

Click on names above for individual presentations











Photo Sources: FHWA unless noted





every day counts













Rob Wight Director of Construction and Materials **Utah DOT**

Overview of UDOT



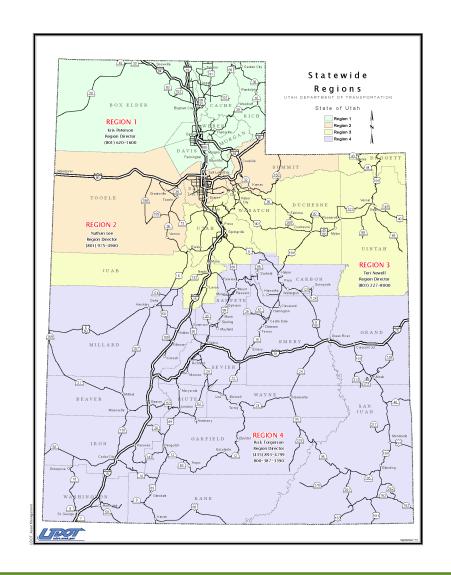
17 Construction Crews

30 Full Time Inspectors

500 Cross Trained Transportation Technician Inspectors

Approx 170 projects / yr

\$700M to 800M program per year 70% state funding





e-Construction – How did UDOT get here?

5 year process, Created Business Case

Funding Model Determined

Buy in from Senior management, Legislature

Show Return on Investment – Key to Acquiring Funding

Determine Internal vs External software development

Does the System Make the employee and process more efficient?



Current State of e-Construction at UDOT

Paper
Prequalification and
DBE Certification
Processes

Electronic Materials

Database

Projectwise for Document Storage

Electronic Estimating, Advertising & Bidding

Electronic Civil Rights Module Cosign or Adobe for electronic signatures

MW Field book On Mobile Devices

Contract
Management
implemented Jan
2017



Future of e-Construction at UDOT

Online
Prequalification and
DBE Certification
Processes
Completed 2017

Electronic
Estimating, Online
Advertising &
Bidding Complete
2017

MW Field book On Mobile Devices

MW Electronic Materials Database 2017

MW Electronic Civil Rights Module 2018

MW Contract Management Projectwise for Document Storage

Cosign or Adobe for electronic signatures



e-Construction/IDC Pilots at UDOT

Electronic Plan Viewer – Currently exploring several different applications

Exploring use of UAVs – bridge inspection, quantity calculation

Exploring/implementing remote camera inspection

Delivering the Model as the Contract Document

Continuing funding stream identified by Legislation



UDOT Partnering Culture

Partnering is a culture, not a process

- Good Processes lead to culture change
- Championed at all levels of the organization(s)

UDOT partnering– Our way of doing business

- Fairness
- Cooperative Behaviors & Teamwork
- Open and Honest Communication
- Joint Problem Solving
- Rapid Dispute Resolution at the Lowest Level



UDOT Partnering Culture

All projects are partnered in one of the following ways:

- Formal = Hired outside Facilitator
- Informal = Resident and Contractor PM facilitate
- Semi-Formal = Trained internal facilitator
- Costs are shared between contractor and UDOT
- Executive level follow ups monthly on some projects
- Issue escalation process

Risk Based Partnering meetings

- Not a hug fest, but working meetings
- Identify challenges/risks on project and discuss framework to deal with them



Program Level Partnering



Keys to e-Construction success

- Monthly AGC meeting
- Task groups address challenges at a program level
- AGC representative on various Committees



e-Construction & Partnering Synergies



Contractor partners in development:

- e-bid system
- UASs
- IDC (3D) and AMG
- Understanding contractor's processes and designing processes/software for efficiencies
- Document submittal and processing/tracking



e-Construction & Partnering Synergies



e-Construction systems amplify the partnering on projects and lead to more trust



Partnering value: Fair, Open & Honest Communication

- Document Control/Collaboration systems communicate submittal requirements
- Pay estimates can be routed through electronic systems to make sure timely payments are made
- Problem Solving at the field level



e-Construction & Partnering Synergies

How can
Partnering help
e-Construction
systems realize
maximum
efficiencies?

- Contractor
 Collaboration
 on
 development
 and testing
- Contractor feedback after roll out



every day counts











Jim Foringer

Assistant District Executive for Construction Pennsylvania DOT

Overview of PennDOT

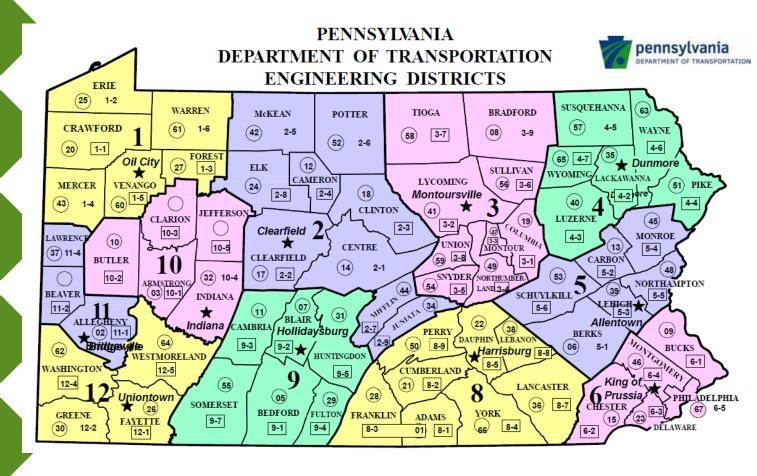
11 Districts

1162 active projects

\$7.3 Billion program

583 Full Time
Inspectors

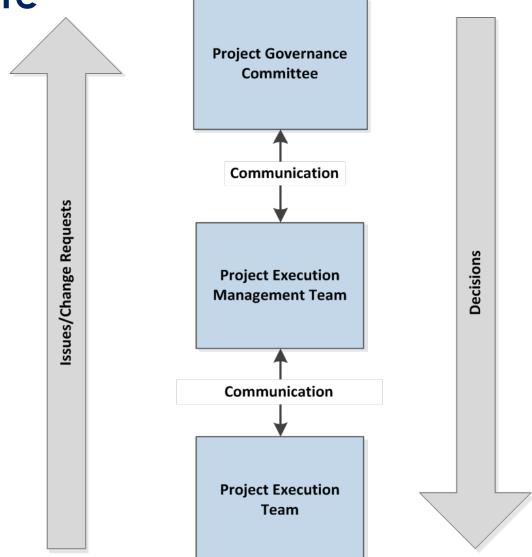
131 Local projects \$516M





PennDOT's Standard IT Project Governance

Structure





PennDOT's Existing e-Construction

Engineering and Construction Management System (ECMS)

- e-Bidding
- Signatures
- Estimates
- Work Orders (FHWA part of approval)
- Time Extensions (FHWA part of approval)
- Punch List
- Project Closeout (include Notice of Final Quantities)
- Consultant Agreement
- Consultant Mileage & Hour Phase 1
- Source of Supply Phase 1



PennDOT's Existing e-Construction

Mobile applications

MC Docs Application



MC PSA Application



MC Punchlist Application



PennDOT Project Collaboration Center (PPCC)

- Submittals with automated workflow
- Shared/Project Files/Photos

eCAMMS

 Electronic Plant Books, mix designs, sampling test results, approved source bulletins, District Letter of Materials Certification (T-4238A)



PennDOT's Future e-Construction Initiatives

- Automated Force Account
- Automated PennDOT Mileage and Hour
- Consultant Mileage and Hour Phase 2
- Source of Supply Phase 2
- Mobile Applications
 - Concrete Inspection Diary
 - Force Account
 - Environmental and Sediment Checklist
 - Traffic Control Review Automatic
 - Americans with Disability Act Ramp Inspection
- Archiving of Records
- Roll all applications into 1 stop shop
- Explore e-ticketing



PennDOT's Return On Investment

Project	Initiation Dates	Development Cost	Overall Savings
CDSv3	August 2012	\$ 2.74 million	\$5 million/year
Release 1 implemented 8-18-14			
Release 3.0 implemented 7-18-16			
Mobile Construction	July 2012	\$1.67 million	\$28 million
Sprint 1 implemented 9-6-13			
Sprint 40 implemented 8-23-16			
PPCC	October 2011	\$5.2 million	\$29.1 million
Release 1 implemented 9-13-13			
Release 5.1 implemented 8-15-16			
eCAMMS	January 2012	\$6.9 million	Being assessed
Implemented January 2012			



PennDOT's Partnering with e-Construction

PPCC

Automated Force Account

User Acceptance Testing

Local Sponsors – utilizing PennDOT's systems

Consultant Mileage and Hour Application

Estimate tracking

Work Order turn around



PennDOT's Current Partnering Process

Formal Partnering

High profile/critical projects

Outside facilitation

Shared Costs

1-Day Duration

Executive Partnering Follow-Up Sessions





PennDOT's Partnering Future Process

3-Tiered Approach: Based on Value of Project

- < \$5 million no formal session
- \$5-\$25 million ½ day facilitated session
- > \$25 million full day facilitated session

Complexity of project may change the type of session



PennDOT's Transportation Quality Initiative (TQI)













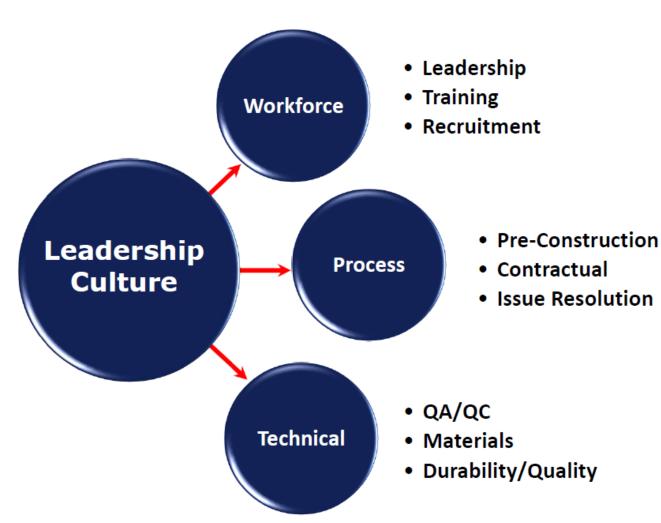








PennDOT's TQI's Framework



- Culture of Quality
- Shared Vision & Values
- Trust & Collaboration
- Partnership



every day counts











Quinton TillmanConstruction Systems Engineer Florida DOT

Agenda

- 1. Getting to Know FDOT
- 2. Timeline for e-Construction
- 3. Components of e-Construction
- 4. Collaborative Culture of e-Construction
- 5. Future of e-Construction



Getting to Know FDOT

- Decentralized Agency
 - >7 Districts
 - >Turnpike Enterprise
 - 6,454 FTE's
 - >465 Construction
- Construction Engineering Inspection
 - ≥ 100% Consultant



Getting to Know FDOT

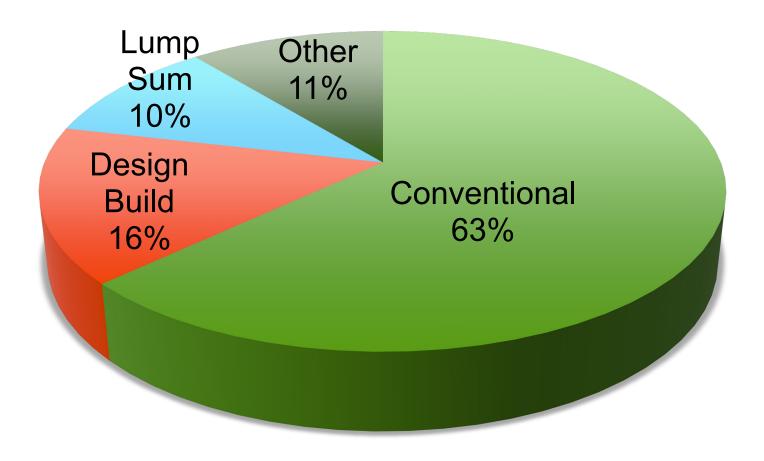
- Florida's Work Program is one of the largest in the country
 - Total Funding & Budget for 2016/2017 2020/2021 = \$42.3B
 - 459 Active Construction Contracts\$10.2B



- State Highway System = 43,592 Lane miles
 - 296,263,100 Daily Vehicle Miles Traveled (DVMT)

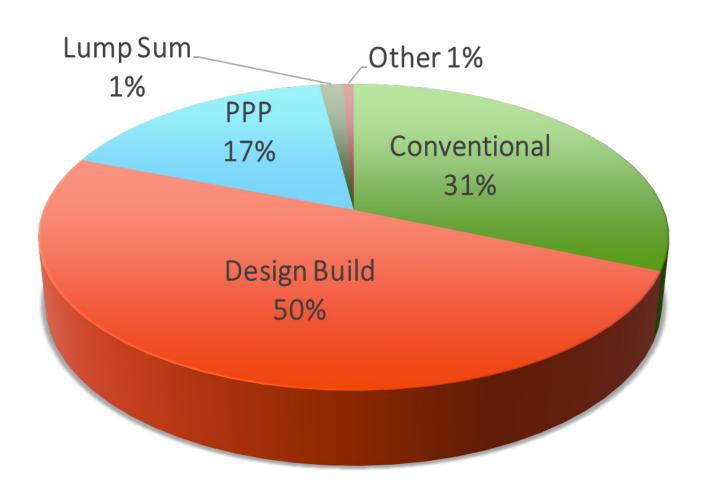


Contract Types By Quantity – 10/2016





Contract Type By Money – 10/2016





Timeline for e-Construction

- 1. Document Management PSSP
 - > 2013
- 2. Digital Signatures IdenTrust
 - > 2013
- 3. Mobile Devices iPads
 - > 2014
- 4. Electronic "As-Built" Plans Bluebeam
 - > 2014



ProjectSolveSP (PSSP)

http://www.fdot.gov/construction/eConstruction/ProjectSolve.shtm

Office of Construction / Programs & Services

Project Solve SharePoint



Project Solve SharePoint has been chosen by the Department as the collaboration site for the Department and its stakeholders in order to conduct business in a paperless environment. Stakeholders will be required to have a login into the site. Please refer to instructions on how to obtain one.

Project Solve SharePoint Launch Page

Project Solve SharePoint New User Account Creation Page

Project Solve SharePoint Password Reset Page

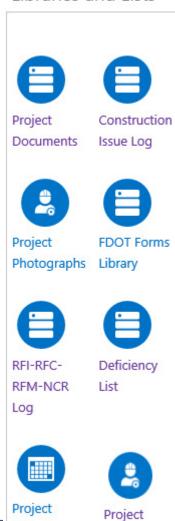
Project Solve SharePoint Log In Instructions Page



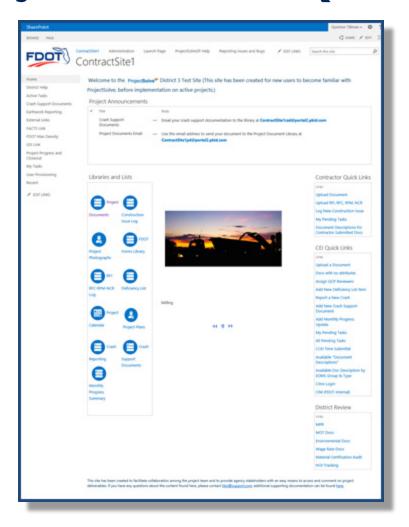


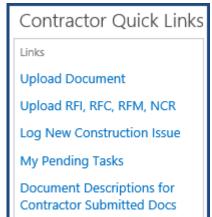
ProjectSolveSP (PSSP)

Libraries and Lists

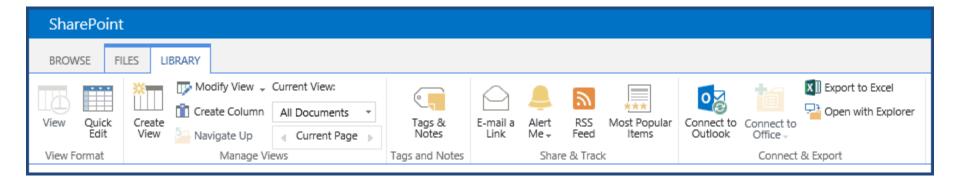


Plans



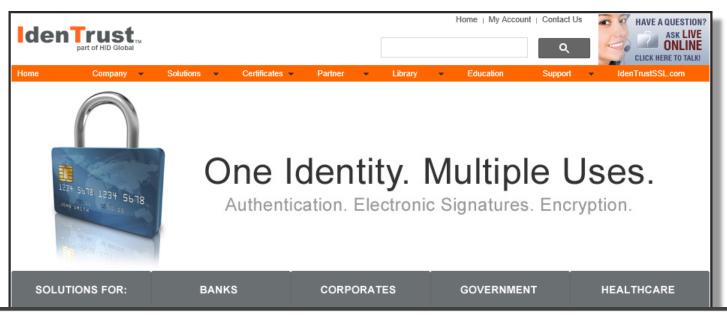


ProjectSolveSP (PSSP)





Digital Signatures



ACES is a federal government sponsored program that allows online access to personal information and government agency services through the use of digital certificates. As an ACES-accredited Certification Authority, IdenTrust issues digital certificates that authenticate the identity of individuals and representatives of organizations or government agencies.

- → Eliminating Paper Based Forms and Processes
- IdenTrust Community Bank PKI [PDF]
- HID Global Products and Solutions

IdenTrust Helps Consumers Securely Communicate with Healthcare Providers via New DirectTrust Partnership for Patients Program -AUSTIN, Texas, June 15, 2016 NEW SPOT

CERTIFICATE MANAGEMENT CENTER



Digital Signatures-IdenTrust

STATE OF FLORIDA DEPARTMENT OF TRANSPORTATION FINAL INSPECTION AND ACCEPTANCE OF FEDERAL-AID PROJECT

FINANCIAL PROJECT ID. 95915201 ED:	T1602	
	11002	\dashv
EU:		1
AMPLE		
		1
	MPLE	MPLE

NOTICE OF FINAL INSPECTION: th the approved plan.

SIGNATURE



Digitally signed by Amy C Tootle Contact Info: FL PE # 66414 Date: 2016.01.06 16:26:01-05'00'

(RESIDENT ENGINEER)

State Construction Engineer **TITLE**

INSPECTION DATE 01/06/16

FINAL ACCEPTANCE DATE TITLE District Construction Engineer

Federal Aid Office (MS 21) Comptroller, Attention Federal Aid Project Account Section (MS 42) FHWA, Program Operations Engineer (MS29)

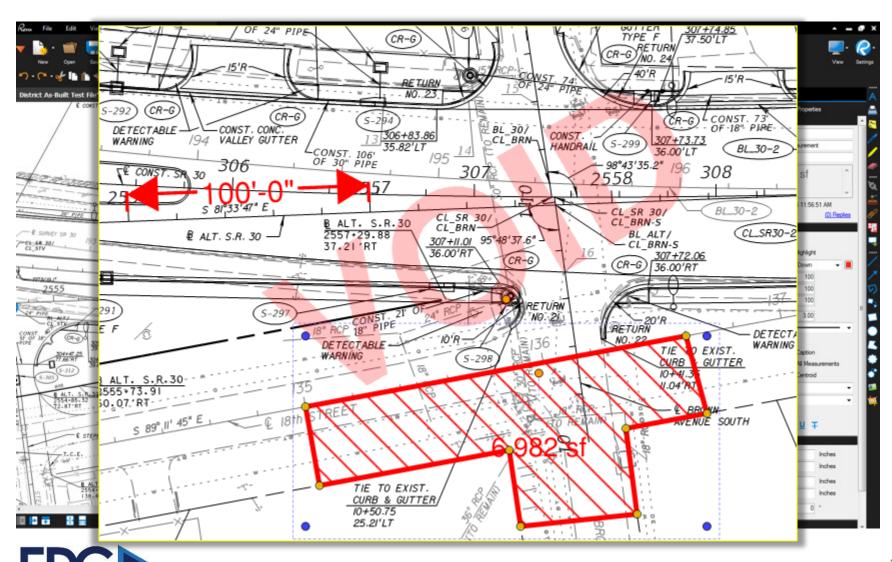


Mobile Devices - iPads





Electronic "As-Built" Plans - Bluebeam



Memo of Understanding



Commitment:

It is the commitment of FDOT, FTBA, and FICE to whole-heartedly and without delay adopt and promote the E-commerce practice among the Department and sister agencies, transportation builders, contractors and Producer industries, and engineering communities throughout the State of Florida.

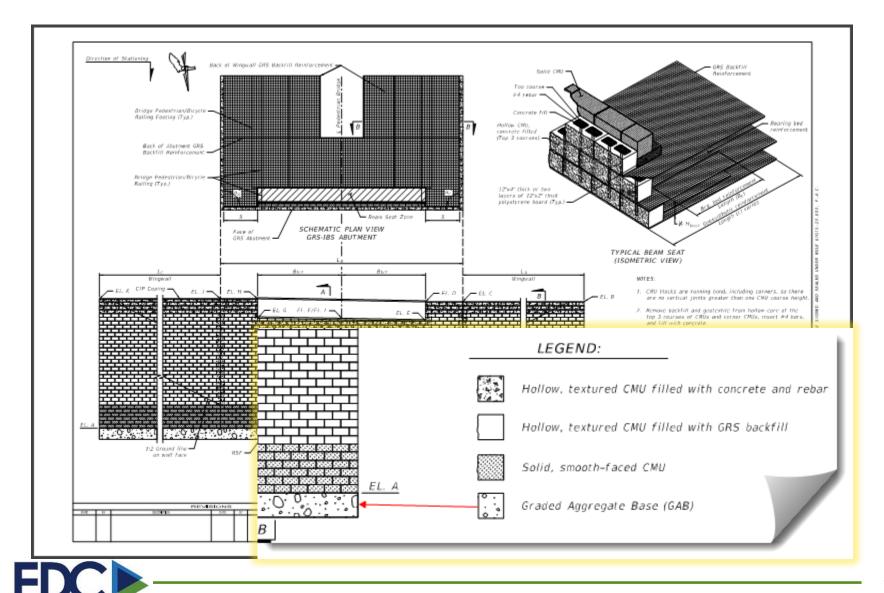
(Ananth Prasad; Secretary, FDOT)

(Mark Mechling; President, FICE)

(Bob Burleson; President, FTBA)



Collaborative Culture of e-Construction





Collaborative Culture of e-Construction

State Materials Office / Programs / Materials Acceptance / Production Facility Listing

Production Facility Listing



Note: The "Go Live" date for the full implementation of MAC was October 10, 2016. Please select the following link to learn more (MB09-16/DCE13-16) - Materials Acceptance and Certification System (MAC) Full Implementation

Overview

The Quality Control (QC) Program defines the quality and process control standards for the FDOT's production and construction operations. As part of this program, participating material producers are required to have a quality control plan which must be reviewed and accepted by the Department prior to doing business with the state.

Producers with an accepted QC Program are then added to the Materials Acceptance and Certification Program (MAC), the Department's data management system. Once added, the producer is now qualified to supply materials to statewide construction projects. The information collected in MAC is used to generate various reports, identified as the Production Facility Listing.

Material Source Listings -- PDF

- Aggregate Pit Proctor Report
- Conductivity Meters (Conductivity Meters are not governed by the QC Program)
- Production Facility Reports (MAC)

Additional Information

- SMO/District Materials Contacts
- Guidelines for Adding a Producer to the Production Facility Listing
- Material Source Listings for Contracts Let Prior To July 1, 2002



FPID(S): 431243-1-52-01, ETC.



Future of e-Construction

- 1. Digital Signatures on iPads
- 2. Enhancements to PSSP
- 3. "Smart" e-Tickets
- 4. 3D Models



Questions?

Quinton Tillman, P.E.
Quinton.Tillman@dot.state.fl.us



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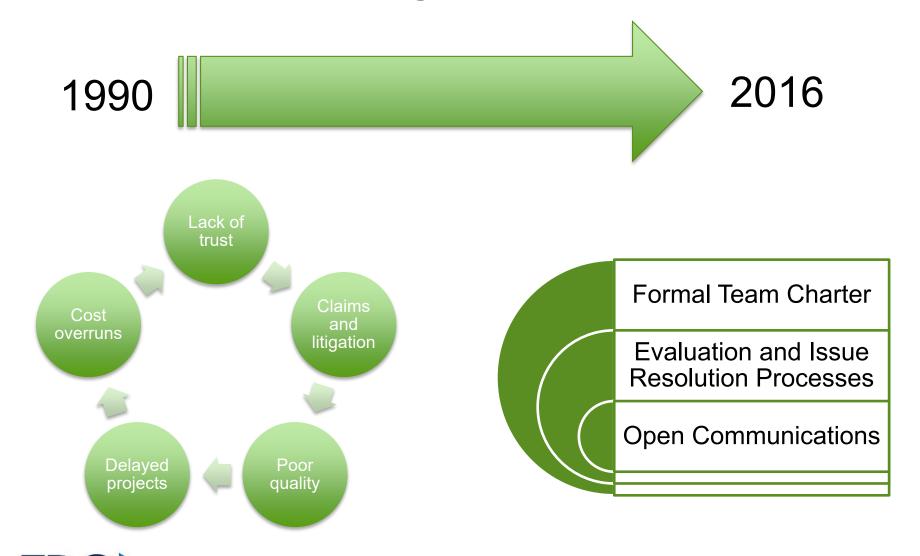






Tom Warne Tom Warne & Associates

Historical Partnering Perspective





How is Partnering evolving?

- Leveraging technology-mobile devices, etc.
- New unencumbered leadership vision
- Maturation/experience of project managers
- Relationships globally and at the project level are stronger today
- Adjusting to the changing roles
- Scaling the process to suit project needs
- Reflecting the needs of Alternative Project Delivery methods



Scaling the Partnering Process

Small projects-project managers facilitate and manage



Medium-sized projects-external or internal facilitation



Large or complex projects-external facilitation



Adjusting to the Changing Roles

Relationship differences (e.g. DBB and DB)

Project administration (e.g. consultant administered, contractor provided QC/QA, insurance, etc.)

PPP, CMGC, DBFO, others – include financier/concessionaire and others with different sets of motivating factors that need to be addressed



Partnering on Alternative Delivery Projects

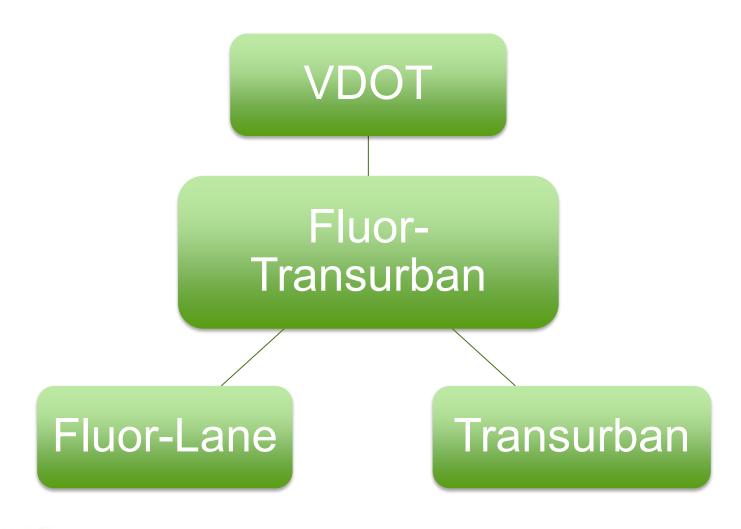
Recognizes new roles

Adapts to multiple layers of project participants

Relies on the same tools



I-405 Capital Beltway Express Lanes Project





eC&P Synergies and Benefits

Deeper collaboration results in better use of tools and systems

Leveraging the systems and processes of all partners benefits the overall project

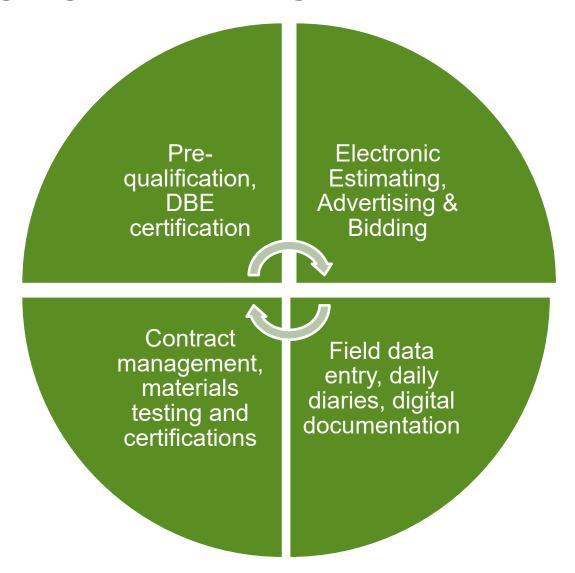
e-Construction will result in better and more effective decision-making

Improved quality, reduced construction time and fewer claims and disputes

More timely project close-out



Leveraging Technology





every day counts













Ben Browning

Director of Design Build Arkansas State Highway and Transportation Dept.

AHTD Quick Facts

- 3rd Largest State Agency (3,634 employees)
- Maintain 16,416 centerline miles of highway and 7,335 bridges
- Central Offices in Little Rock
 - •10 Districts Offices Statewide
 - •31 Resident Engineer Offices







Arkansas Highway Commission











Dick Trammel - Chairman
Tom Schueck - Vice Chairman
Robert S. Moore, Jr. - Member
Frank D. Scott, Jr. - Member
Alec Farmer - Member



AHTD Construction Program

Federal-Aid Program ~ \$500 Million/Year

Interstate Rehabilitation Program

- 10 Year GARVEE bond program
- 80 Interstate Projects
- \$1.2 Billion



Connecting Arkansas Program

- 10 Year ½ Cent Sales Tax Program
- 36 Projects on 19 corridors
- \$1.8 Billion
- AHTD's first Design-Build Project





Why e-Construction?



Do more with less

Administer supplemental programs without increased staffing



Do things faster

 Improved Project delivery requires improved communication and approvals



Improve Transparency

 Decision making documents and data are readily available and easy to share



What we have done: Pre-Construction

2014

- From: Paper Plans and Proposals
- To: Electronic Plans and Proposals

2015

- From: Paper Bids
- To: 100% Electronic Bids

2016

- From: Paper Contracts
- To: Electronic Contracts

2016

- From: Client based Preconstruction Software
- To: Browser based Preconstruction Software



What we have done: Construction

2005

- From: Paper Daily Reports
- To: AASHTOWare SiteManager

2008

- From: Paper Materials Tracking
- To: SiteManager Materials

2011

- From: Paper Construction Forms
- To: Electronic Forms

2013

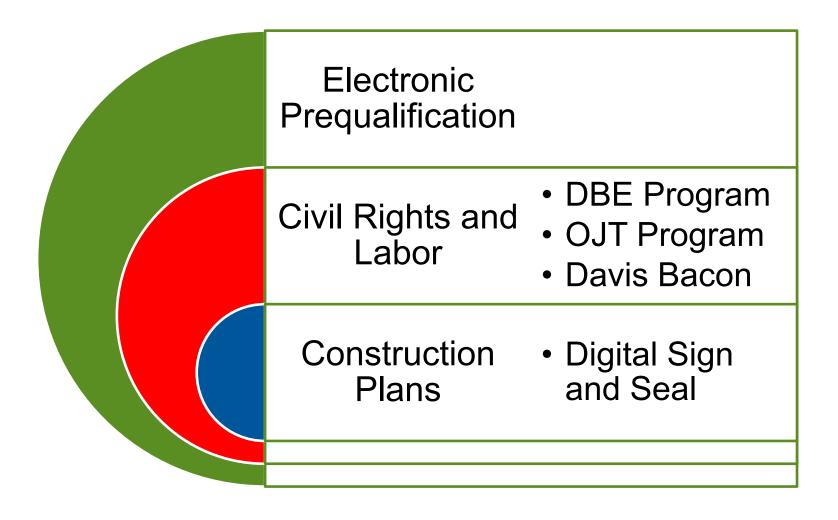
- From: Paper Change Order Process
- To: Electronic Change Order Process

2016

- From: Paper Construction Document Submittals
- To: Electronic Construction Document Submittals

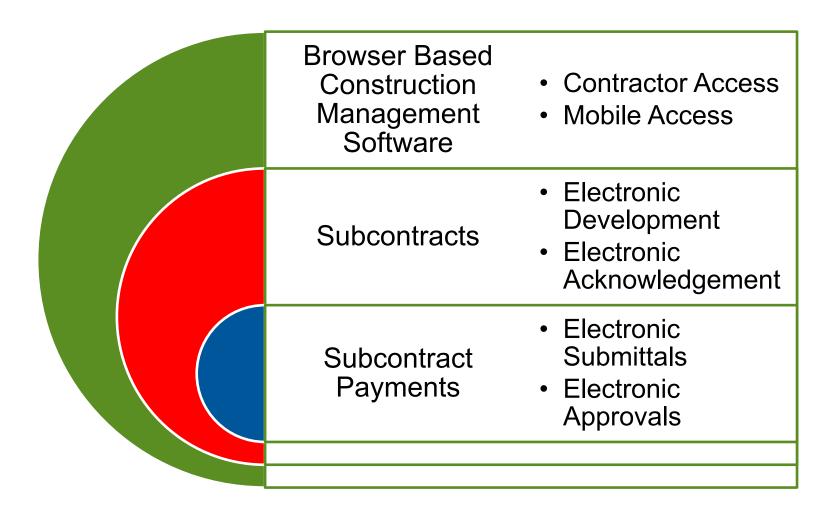


What we are working on: Pre-Construction





What we are working on: Construction



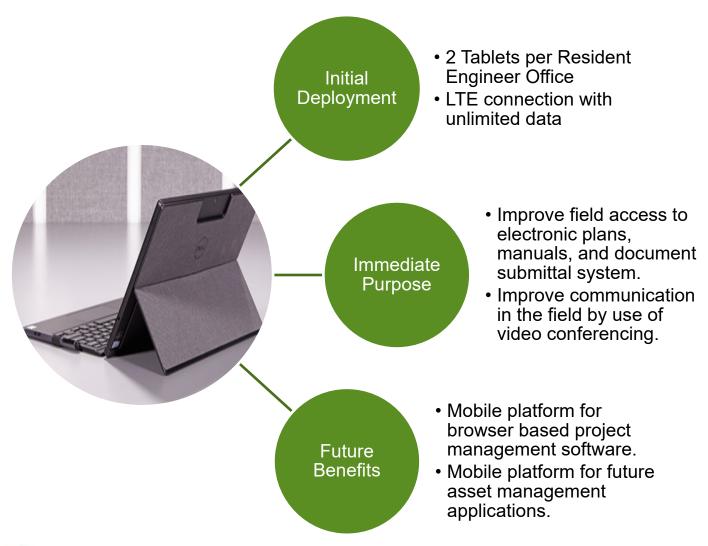


Current Implementations – AASHTOWare Project



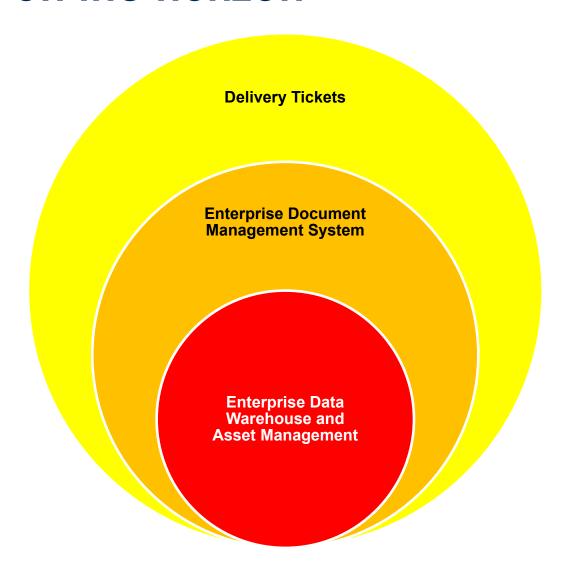


Current Implementations – Dell Latitude Tablets





What is on the horizon





Partnering

Formal Partnering

- Project Special Provisions
- Committees
- Associations

Informal Partnering

- Relationships
- Information sharing
- Open Dialogue

Mutually Beneficial Results



Formal Partnering on Construction Projects

Voluntary program that seeks to draw on the strengths of all parties involved to achieve mutual goals.

Effective contract performance Efficient contract performance Completion of the project within budget Completion of the project on schedule Construction of the project in accordance with the contract



Formal Partnering with e-Construction

Contractor association members sit on e-Construction steering committee

Department makes regular presentations at Contractor association meetings

Contractors invited to participate in pilot programs and provide feedback



Informal Partnering

Open dialogue and developed relationships between the Department and the Contracting industry yield invaluable mutual benefits.



Change Orders

 Desire for faster approval yielded a vastly improved Change Order development and approval process.



Material Stockpiles

 Desire for faster processing yielded a process that improved processing time and payment accuracy.

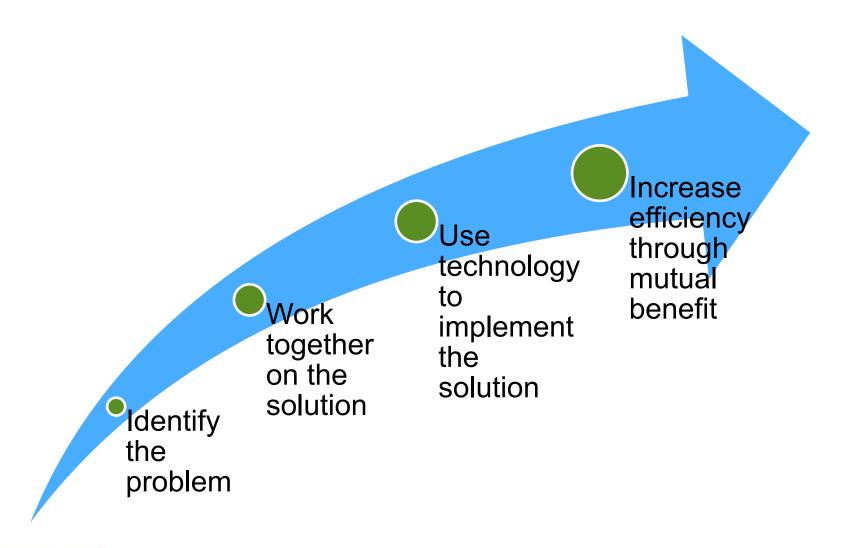


Correspondence

• Desire for faster responses to inquiries yielded a process that improved accountability as well as response times



e-Construction is Partnering





every day counts









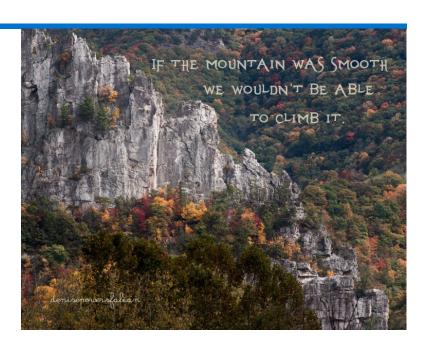


Sandra Keller

Information Services Division West Virginia Division of Highways

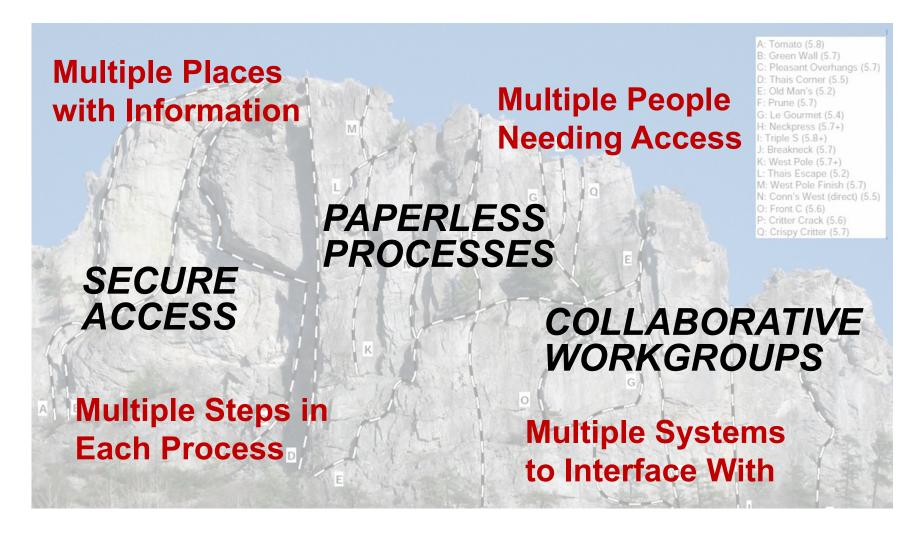
Overview of WVDOH

- 10 Districts / 55 County Offices
- 473 construction employees
- Sixth largest state maintained highway network in the nation
- 38,759 miles of public roads
- 6,958 bridges (33% over 100 ft in length)
- 414 Projects in 2015
- Total original bid amount over \$783,000,000





Obstacles & Goals to e-Construction





Evolution to e-Construction

- Paper-based processes
- Manual approvals
- Duplicate data entry
- ProjectWise
- Access for FHWA & Contractors
- Implemented SiteManager with LIMS module



e-Construction Architecture

Bid Express
(online advertising & bidding

AASHTOWare
Project Construction
(SiteManager)



SiteManager Reports

Bentley ProjectWise



AASHTOWare Project Construction aka SiteManager

Digital tracking and reporting of construction data

- Client/Server system version 3.1.6a
- Desktops / Laptops / Tablets
- Centralized Oracle Database
- Minimum System Customization
- Citrix to allow access for outside contractors, consultants and FHWA and present Windows Desktop for iPads
- Network Account required for access.
- SiteManager Reports MS Access custom apps



Bentley ProjectWise

Enterprise file storage and project collaboration

- Client/Server and Web Client.
- Desktop / Laptops / Tablets / Phones
- Centralized SQL database
- Caching Servers in 10 District Offices
- Ability to provide secure access both within and from outside the network
- Ability to link documents with legacy systems
- Ability to capture and present metada for project and file
- Mobile Application Bentley WorkSite
- Integration with Microsoft applications



SiteManager Reports

Publishing data formatted for DOH specifications

- Access Database
- Customized Reporting for the unique needs of each role.
 To date have over 150 reports.
 - Administration
 - Construction
 - Consultants
 - FHWA
- Search providing extended Project Information
- Assistance provided by Virginia and Alaska





WEST VIRGINIA DEPARTMENT OF TRANSPORTATION



SiteManager Reports

Version: 1.7.6

Administration

Construction

Materials

Contractors

FHWA

System

Report Index Project Search Update On Exit

Exit



BlueBeam Revu Extreme

PDF Editor

- Digital Markup for As Built Design
- Workflow for Review and Approval Process
- Integrated with ProjectWise
- Collaborative Workflow using Cloud Services is available (WVDOT currently not using)
- Ability to use Digital and Electronic Signature



Return on Investment

SAVING TIME

- Ability for management to quickly find out the REAL status of a project
- Auditors can do their research at their desk
- Legal can access files needed for FOIA

Eliminate Duplicate Information

Ability to track the progress of a project

Through formal workflow and ability to access files



Partnering

Online Access to SiteManager and ProjectWise

Promotes collaboration by providing all parties access to the same files and data.



Assures receipt and review of information to correct staff and eliminates duplicates.

Live Bid Awarding process

In collaboration with CAWV.





Partnering Benefits Realized

Expedite the Change Order process

Approvals are now done electronically in SM

Project Transparency for Contractors

Immediate access to files. Access to the related information

Improved Communication

Promotes a Collaborative Environment

Improved Accountability

 Ability to track and review when processes are initiated and completed



Future e-Construction Initiatives

- Electronic & Digital Signature
- Implement Civil Rights Module
- Produce Final As Built drawings using Bluebeam
- Expand use of GIS
- Transition to AASHTOWare Project 3.0x





QUESTIONS

Contact Information:

Sandi Keller, IS Manager

WVDOT, Information Services Division

1900 Kanawha Blvd East

Charleston, WV 25305

304/558-9524

Sandra.F.Keller@wv.gov



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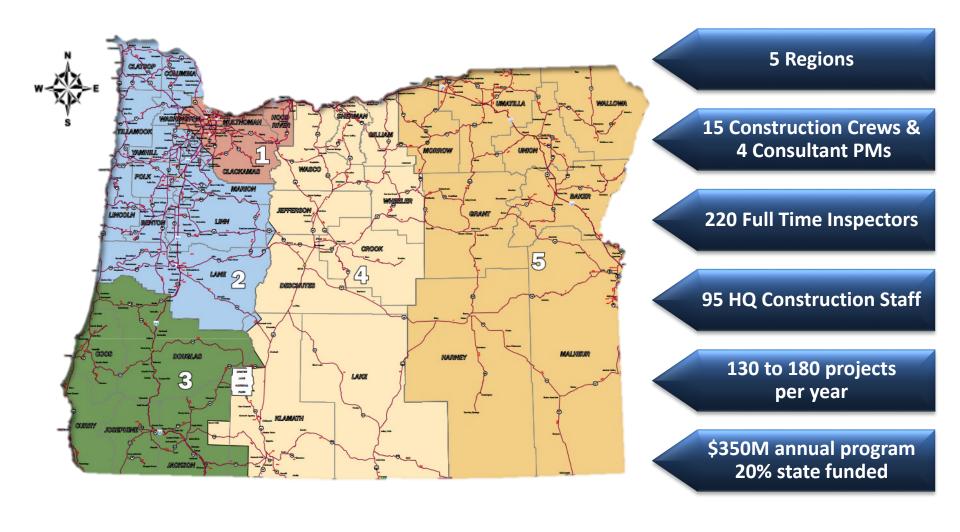






Joe Squire State Construction & Materials Engineer Oregon DOT

Overview of ODOT





e-Construction – influencing externalities

DMV, \$56M upgrade to bring 1960's tech current

State Data Center \$63M to consolidate agencies

Health Plan MIS, \$80M launched before ready

Wireless Interoperability
Network \$600M scope
reduced, ODOT took over

Employment Dept., \$8.1M failed to work

Cover Oregon, \$248M Federal & State funds

2014 Legislators create IT governance model, thresholds risk matrix developed & initiated



e-Construction - ODOT's Adventure



Contractor challenge "reduce paperwork" June 2014



Enthusiastic support - Senior Management & Industry



Voice of Industry & Crews – mapped all processes



If created on computer - keep it on a computer



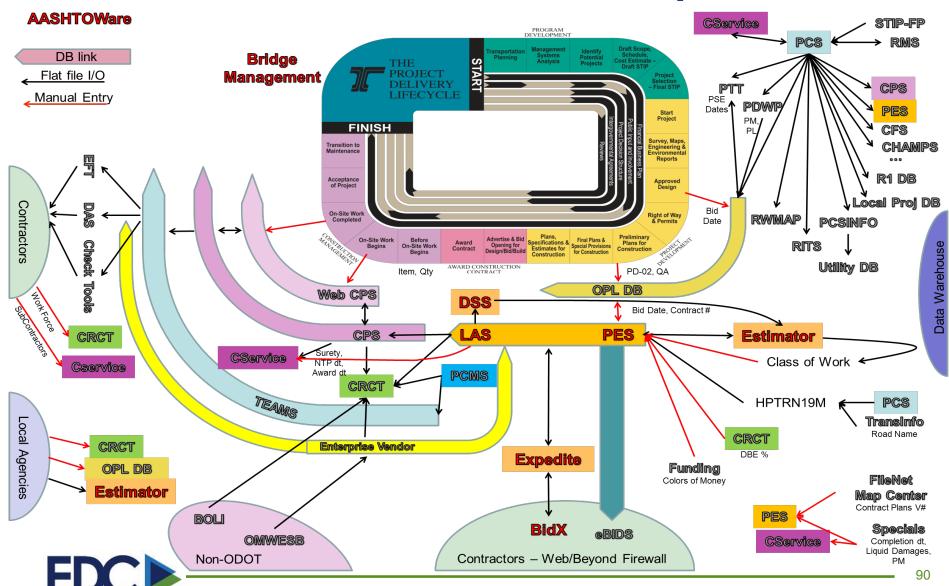
Business Case, explored others vs. Oregon Concepts



EDC3 October 2014 – Met MDOT, FHWA peer exchange



ODOT's e-Construction Journey - 2014



ODOT's e-Construction Journey - 2015

Detailed process mapping, internal & external

Peer exchange included IT and Contractors

2015 mini-pilots proof of concept

ODOT IT firewall issues

Training - new staff guide veteran staff

Apple vs Windows ProjectWise & Cosign

Decision: Configure - yes Customize - no



ODOT's e-Construction Journey

rebruary

e-Construction Roll out 2016?

Expanded Pilot Summer 2015

Final Steps to Achieve Digital Documentation

Digital Signatures Mobile Field Devices

Digital Docs w/ External Access

Policy and Training

Contract & Specs

3D Digital Plans

Bid Express
Electronic
Bidding

ProjectWise for Design

Bidding

or pesigir

e-Construction at ODOT Pilot Outcomes

2015 Pilots

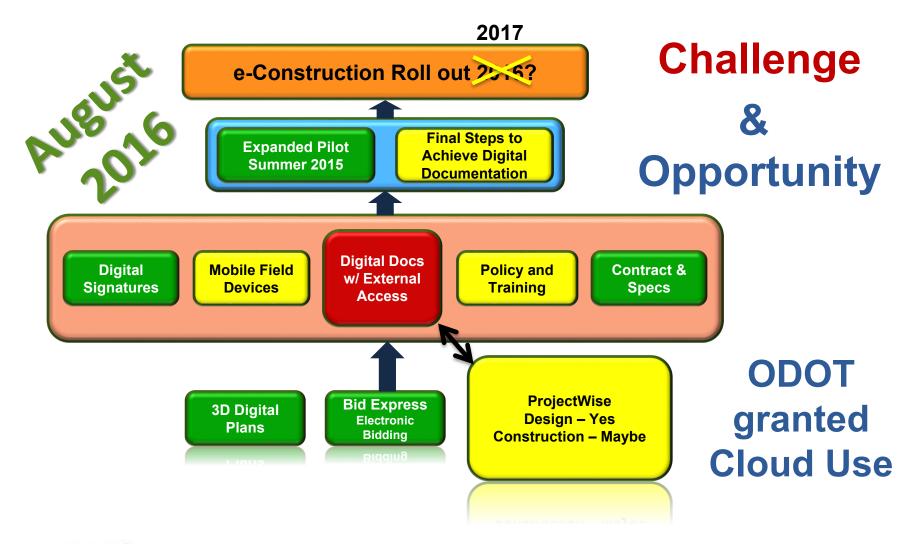
- Safety
- Security
- Training
- Connectivity*
- Apple iPad Air 2*
- Digital Signature*
- Original / Markups
- Folder & File Structures
- Forms & Apps Available
- Roles and Responsibilities

2016 Pilots

- Safety
- Security
- Training
- Wi-Fi & Hotspots
- ProjectWise Connectivity*
- MS Surface Pro 4
- Multiple Digital Signatures*
- Adobe Markups*
- Forms Modifications
- Roles and Responsibilities

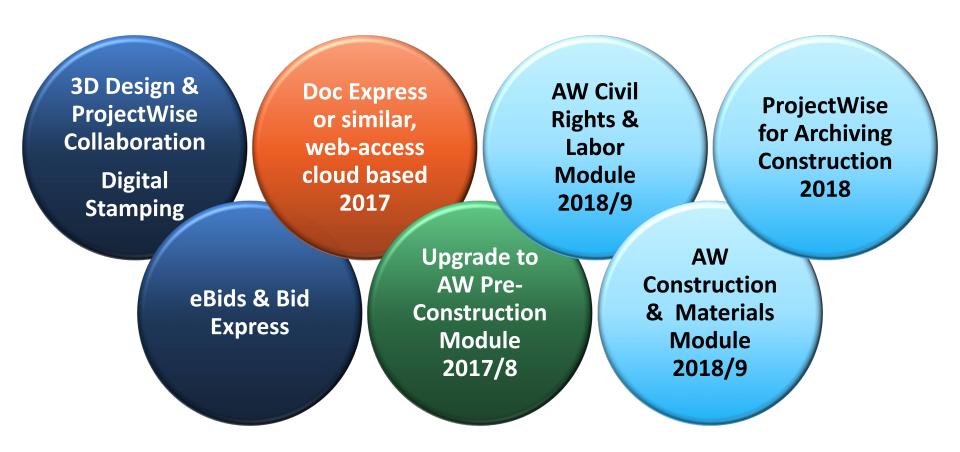


ODOT's e-Construction Journey





e-Construction in ODOT's Future



Proposed e-Construction Timeline Systems



Archive Bentley ProjectWise Bentley MicroStation AW Bridge Management Bridge Management AW Bridge Rating Bridge Rating AW Bridge Design AW Pavement Design AW Civil Rights & Labor AW Construction & Materials AW Pre - Construction AW Estimator Bid Express Doc Express AWARD PROGRAM PROJECT CONSTRUCTION MAINTENANCE & CONSTRUCTION **DEVELOPMENT MANAGEMENT OPERATIONS DEVELOPMENT CONTRACT**





ODOT's Integrated Approach



3D Design

Mobile LiDAR Survey

- Design Survey, Assets, Vertical Clearance
- Maintenance, i.e., Landslides

e-Construction

Inspector Positioning Tools

Engineering Technology Advancement



- 3D Milling
- Machine generated as-Built Future

Intelligent Compaction



ODOT e-Construction Partnering A great unanticipated consequence



Pathway to e-Construction success

- Champions
- Senior management support
- Flexibility challenge status quo
- Take IT staff to a construction site
- Process mapping with stakeholders
- Industry input throughout from all levels
 - Primes, Subs, Consultants, Vendors
- Construction engaged with other ODOT units



ODOT Partnering Values

- Practice the golden rule
- Maintain high integrity of people and process
- Culture change with worth is welcome

Partnering Values

ODOT partnering Informal is best

- Work to understand other points of view
- Weekly site meetings
- Involve key site staff
- Close out items



ODOT Partnering Processes

Projects partnered similar to other DOTs

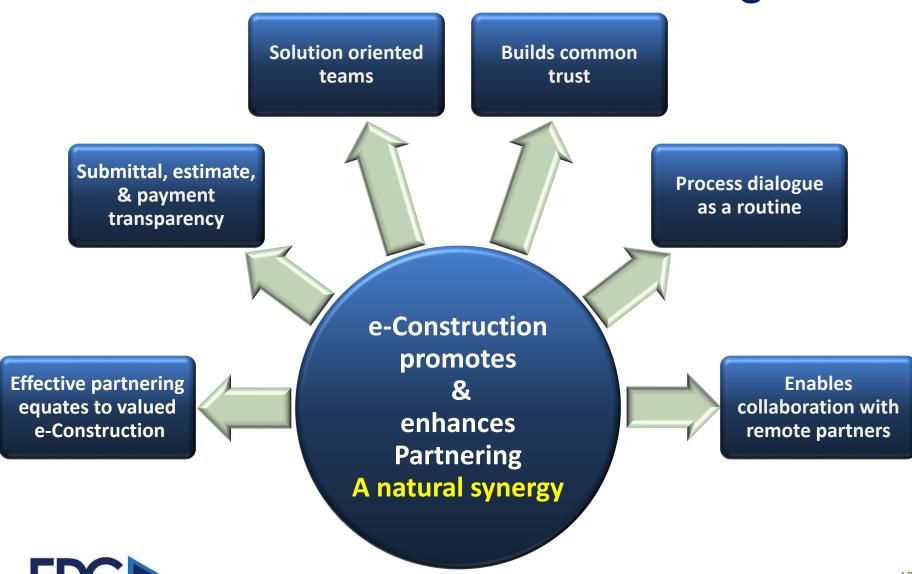
- Informal = ODOT PM and Contractor PM facilitate
- Semi-Formal = Trained internal facilitator
- Formal = Hired outside facilitator, 3rd party neutral
- Costs are shared between contractor and ODOT
- Escalation process, a few include senior management

Partnering meetings

- Project weekly PM meetings with agenda and guidance
- Monthly Industry meetings: (1) Director's staff & (2) General session
- Identify opportunities, challenges, & risks along with potential solutions or pathways



ODOT's e-Construction & Partnering



ODOT's e-Construction Vision



Remain secure



One source of collaborative truth



Accessible to all – Internal & External



Capture & leverage geospatial data



Demonstrated return on investment



Think modularity & beyond the next potential use



every day counts













Rachel Falsetti Division Chief of Construction Caltrans

California Highway System Assets



- 50,000+ Lane Miles
- 12,500 Bridges
- 26,800 Acres of Landscape
- 205,000 Culverts
- 87 Safety Roadside Rests

Overview of Caltrans

12 Districts

9 deputies

1700 District Construction Staff

400 Structure Construction Staff

About 750 contracts on going

Value of \$8.8 billion





e-Construction & Partnering Synergies



e-Construction

- Increases Transparency
- Saves Time, Resources, and Money
- Improves communications



Partnering

- Increases Transparency
- Saves Time, Resources, and Money
- Improves Communication



Current State of e-Construction at Caltrans

Production



Automated Contractor
Pay System

Pilots



Mobile Devices - Tablets



Electronic Extra Work
Billing System



Electronic Document and Retention Management System



Intelligent Compaction



Electronic Field Daily Reports



Inertial Profile



Automated Machine Guidance



Future State of e-Construction at

Caltrans Development



Electronic
Potential Claim
Submittal

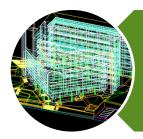
Future



AASHTOWare Project Construction



AASHTOWare Civil Rights and Labor Compliance



Geo-Referenced Asset Inventory



AASHTOWare Materials Management



3D Models



Caltrans Partnering Culture

Partnering is a culture, not a process

Good Processes lead to culture change

Partnering

Way of doing

business

- Fairness
- Cooperative Behaviors & Teamwork
- Open and Honest Communication
- Joint Problem Solving
- Rapid Dispute Resolution at the Lowest Level



Project Level Keys to Partnering Success

Best Practices:

- Executive Level Engagement
- Required on projects greater than \$10m and 100 WD's
- Professional Facilitator
- Costs are split 50/50 between contractor and CT
- Dispute Resolution Ladder
- Identify challenges/risks discuss solutions



Program Level Keys to Partnering Success



- Caltrans Construction
 Partnering Steering
 Committee meets quarterly to address barriers to partnering at a program level
- Recognize successful teams at annual award ceremony
- Executive Leadership



e-Construction & Partnering A Vision for the Future

Caltrans

- Embraces both e-Construction & Partnering
- Moving Forward Together





every day counts













Janet Treadway Data Systems Manager Ohio DOT

ODOT Overview

12 Districts – 88 County Offices

2015

ODOT sold 713 projects \$ 1.65 billion

ODOT/Local (LPA) sold 224 projects \$ 320.3 million

Administered 5025 Sub contracts

Construction inspections staff
579 full time
219 part time
125 consultant staff

























Partnering & e-Construction

Local Programs and SiteManager

- 2 active Pilot Projects
- Access to SiteManager is <u>Free</u>
- Trainings with both Locals and Consultants
- Allows Locals to have...
 - A more formal material tracking system
 - Web based Portal reports (Change Orders, Estimates, DWRs, etc.)
 - Reduced paper
 - Better documentation for audits





Ohio DOT Partnering Program Overview

Path to eConstruction - Partnering with the Industry

Partnering Programmatic

Executive Level support

Steering Committee comprised of ODOT and Contractors

Committee leads Statewide Trainings and Partnering Award Program

Self-Facilitated Partnering

Contract Requirement (C&MS 108.02)

Initial Partnering Meeting in addition to Precons, Progress Meetings, etc.

Led by ODOT Project Engineer and Prime Contractor Super

Facilitated Partnering

Projects > \$5M

ODOT Approved list of Facilitators

Designed to work with or without DRAs/DRBs

Meetings every 3 – 6 months



Partnering & e-Construction

3D/4D Model – EDC #3 Pilot Project

- Meetings with Industry to discuss knowledge base and specification development Dec 2014
- Completed Model late Feb 2015
- Completed Specifications April 24 2015
- Advertisement May 21 2015
- Sale July 23 2015



Partnering & e-Construction

3D Model

- Provided Land XML files (horizontal, vertical, existing and proposed 3D surfaces), GEOPAK coordinate data base and triangle models, and CADD Basemaps
- 3D Model was contractual for ...

 Roadway profile grades and elevations

 Pavement grade breaks, slopes and surface elevations

 Earthwork elevations including surface and slopes

4D Model

Required to provide one or more virtual models that depicted...
 MOT Phasing
 Earthwork locations with quantities
 Pavement phasing with quantities



Our Future Construction project Document Storage administration and Retention **Mobile Device Inspection Forms** Management 3D & 4D models







every day counts











Sarah Kleinschmit Field Materials Engineer Missouri DOT

Overview of MoDOT

7 Districts

19 Central Office Divisions

33,884 Miles of Roadways and 10,400 Bridges

253 Fulltime Construction Inspectors

\$700 Million Construction Budget FY17





Examples of e-Construction at MoDOT are:

- Electronic Bidding and Online Plans Room
- Digital Signatures
- Online Specifications, Standard Plans, and Engineering Policy Guide
- SharePoint and ProjectWise
- SiteManager
- iPhones, iPads, tablets, and laptops in the field



Exactly what is PARTNERING?



Definition of Partner: one of two or more people, businesses, etc. that work together or do business together.



Electronic Bidding and Online Plans Room



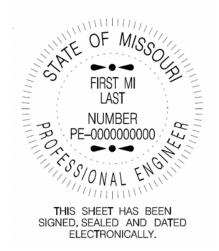
Call for 161216	Description	Proposal	Plans	EBS File	Cross Sections	Electronic Deliverables	Download Files
A01	(1): Job J1L1703J Route CC GRUNDY, HARRISON County. Resurface from Route 136 to Route 146 near Brimson, the total length of improvement being 11.78 miles.	View	View	4			Select All Download Selected Files for Call A01 Submit
B01	(1): Job J2P3105 Route 6 KNOX, LEWIS County. Resurface from Route 15 to Route DD near LaBelle, the total length of improvement being 17.09 miles.	View	View	3			Select All Download Selected Files for Call B01 Submit
C01	(1): Job J4I3105 Route 29 PLATTE County. Resurface from Todd Creek to US 69 near Vivion Road, the total length of improvement being 11.922 miles.	View	View	•	View	8	Select All Download Selected Files for Call C01 Submit



Digital Signatures

Used to sign/seal plan sheets

Digitally signed contract documents



Chale Sulling

DN: cn=Charles Sullivan Signature, o=Columbia Projejct Office, ou=7DCA, email=charles.sullivan@modot.mo.gov, c=US

Digitally signed by Charles Sullivan Signature

Date: 2014.08.05 12:14:44 -05'00'

Approved - Resident Engineer Charles A. Sullivan

DIDAS

Date
Digitally signed by Duane Kliethermes
DN: cn=Duane Kliethermes,
o=MoDOT, ou,

email=Duane.Kliethermes@modot.mo. gov, c=US

Date: 2014.08.07 07:26:11 -05'00'

DocuSign in August 2016



Online Specifications, Standards, and Engineering Policy Guide

www.modot.org/business/index.htm

2016 Missouri Standard Specifications for Highway Construction PDF Files

Offical Signed Copy 2016 Missouri Standard Specifications for Highway Construction									
Specification Book	Additons/Revisions	Design Letter	Effective Letting Date						
	General Provisions and Supplemental Specifications	S-2017-01	January 1, 2017						
2016 Missouri Standard Specifications for Highway Construction (July 5, 2016)	General Provisions and Supplemental Specifications	S-2016-05	October 1, 2016						
(341) 5) 2010)	General Provisions and Supplemental Specifications	S-2016-04	July 5, 2016						
2016 Missouri Standard Specifications for Highway Construction (July 1, 2016)	General Provisions and Supplemental Specifications	S-2016-03	July 1, 2016						

2016 Missouri Standard Plans for Highway Construction Files

2016 Missouri Standard Plans for Highway Construction									
Standard Plans Book (PDF file)	Additons/Revisions	Design Letter	Effective Letting Date						
Std_Plans_10_01_2016.pdf	Jan 2017 Supplemental Revision	P-2017-01	January 1, 2017						
		P-2016-04	October 1, 2016						





SharePoint and ProjectWise



Construction Materials Division → Home

Missouri Deptartment of Transportation's Construction and Materials Division

Construction Materials Division

Construction Contract Information

On Call Contracts

Libraries

Functions

Construction Contracts

On Call Contracts

Materials Supplier C.U.F.

MoDOT's Website Links

Standard Specifications

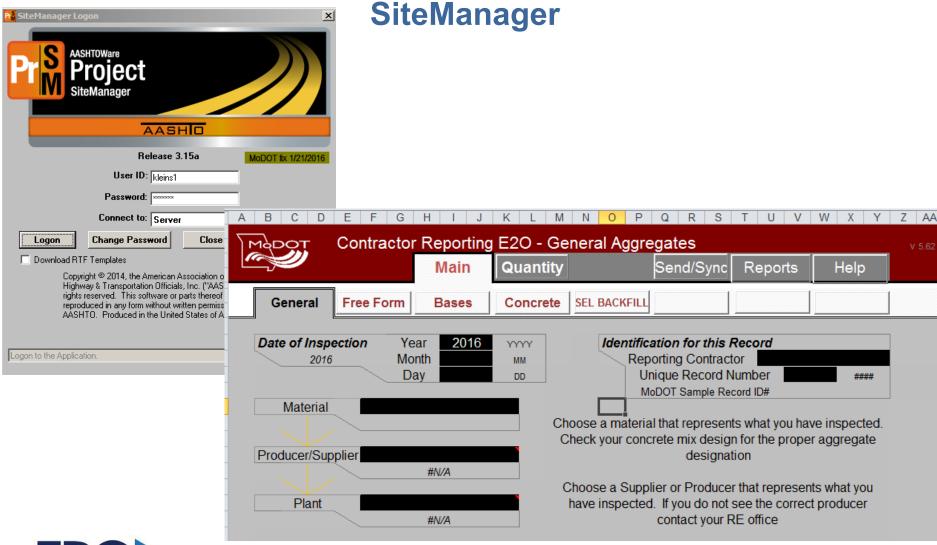
Standard Plans

Construction and Materials Division

-External Sharepoint

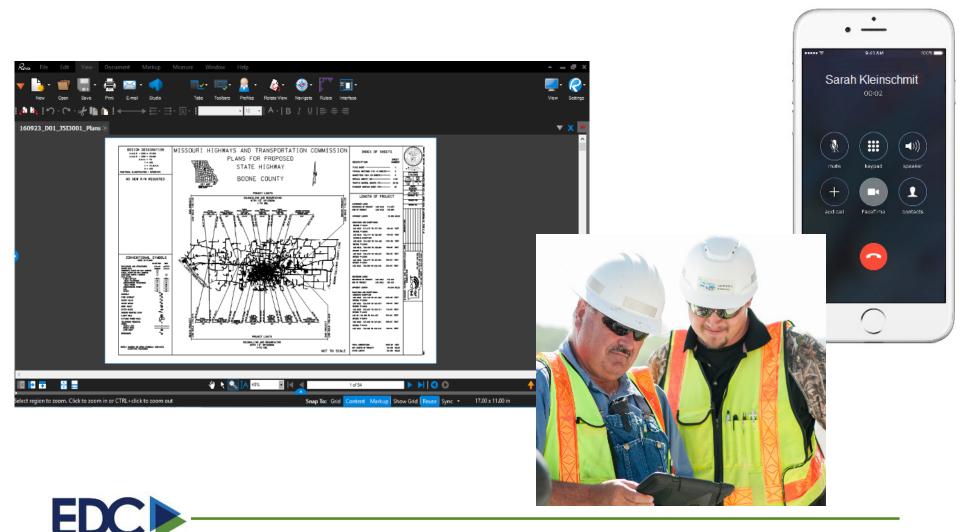
Welcome to the Sharepoint site used by MoDOT's Construction and Materials division to collaborate with our industry partners.







iPhones, iPads, Windows Tablets, and Laptops



Formal

VS.

Informal



Category: 139 Design - Build

Design-Build is a project delivery method in which the design and construction services are contracted by a single entity. Design-Build provides a single point of responsibility in the contract in an attempt to reduce project risk, shorten the delivery schedule by overlapping the design phase and construction phase of a project and minimize overall project costs. The selection of the design-build contractor is based on qualifications of the proposed teams and the overall best value of each proposal based on the established end result goals of the project.



HOME 35 BUSINESS 35 CONSULTANT RESOURCES 35 DESIGN BUILD INFORMATION

Design-Build Information





Future of e-Construction at MoDOT

e-Tickets IR (infrared scanning) an IC (intelligent compaction) 3D Plans **Bar coding for materials** Mobile apps



e-Construction & Partnering Synergies



- Increased buy-in from partners
- Increased project quality
- Faster project completion
- Safer projects
- Accurate document tracking and management



every day counts













Amy Tootle State Construction Engineer Florida DOT

Agenda

- 1. Getting to Know FDOT
- 2. Timeline for e-Construction
- 3. Components of e-Construction
- 4. Collaborative Culture of e-Construction
- 5. Formal Partnering
- 6. Future of e-Construction



Getting to Know FDOT

- Decentralized Agency
 - >7 Districts
 - >Turnpike Enterprise
 - 6,454 FTE's
 - >465 Construction
- Construction Engineering Inspection
 - ≥ 100% Consultant



Getting to Know FDOT

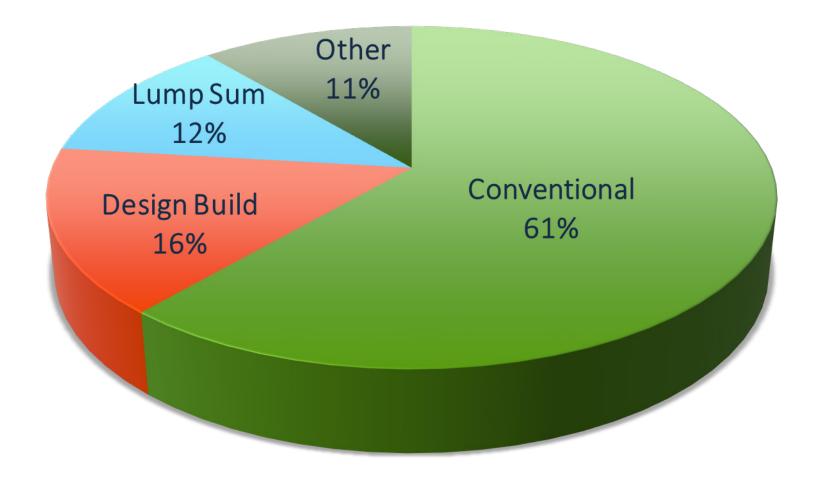
- Florida's Work Program is one of the largest in the country
 - Total Funding & Budget for 2016/2017 2020/2021 = \$42.3B
 - ✓ 45% for Construction
 - ➤ 451 Active Construction Contracts
 ✓\$10.2B



- State Highway System = 43,592 Lane miles
 - 296,263,100 Daily Vehicle Miles Traveled (DVMT)

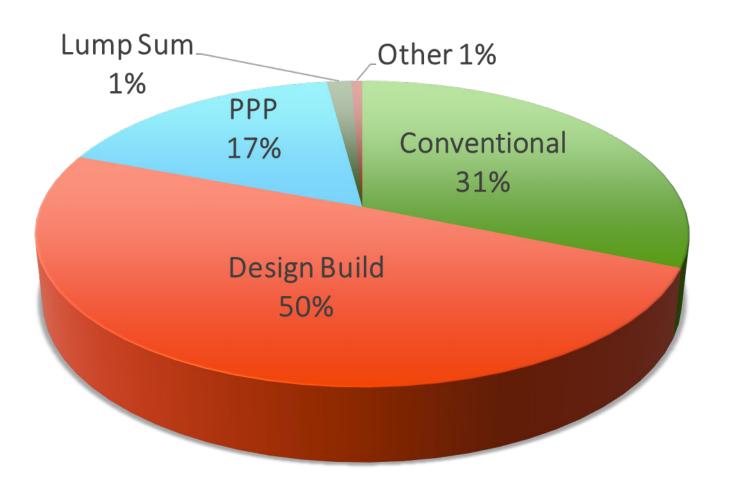


Contract Types By Quantity - 12/2016





Contract Type By Money – 12/2016





Initial Timeline for e-Construction

2013

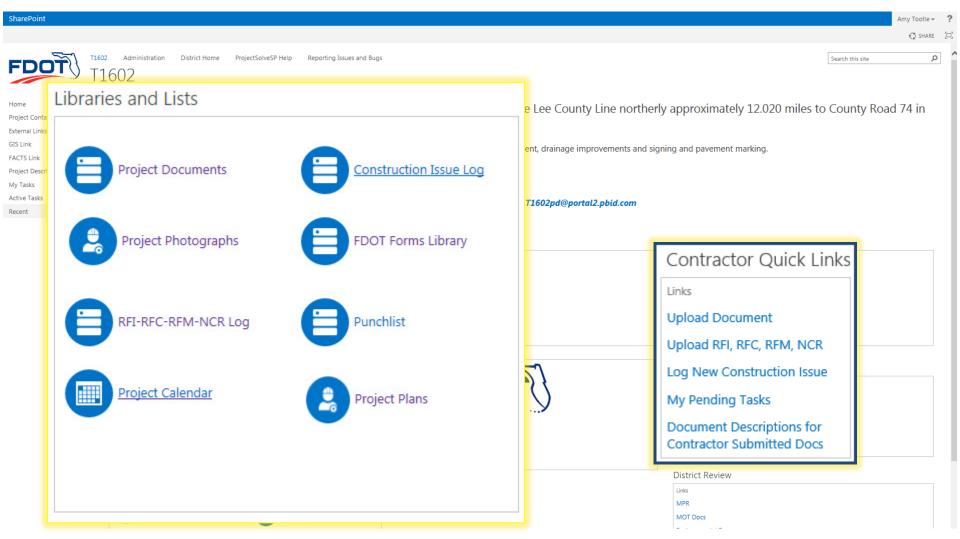
- Document Mgmt PSSP
- Digital Signatures IdenTrust

2014

- ➤ Mobile Devices iPads
- Electronic "As-Built" Plans Bluebeam



Doc Mgmt - ProjectSolveSP (PSSP)





Digital Signatures - IdenTrust

STATE OF FLORIDA DEPARTMENT OF TRANSPORTATION FINAL INSPECTION AND ACCEPTANCE OF FEDERAL-AID PROJECT

	INSTRUCTIONS: District to complete	INSTRUCTIONS: District to complete this form for each completed exempt project		
	FEDERAL PROJECT NO. 3451015P	FINANCIAL PROJECT ID.	T1602	
	DESCRIPTION OF IMPROVEMENT AS	43195915201 PROGRAMMED:	111002	\dashv
	DESCRIPTION OF IMPROVEMENT AS	PROGRAMMED.		
		EXAMPLE		
)	
		1		
	PROJECT TYPE (CHECK ONE)			
		NON-NHS EXEMPT		
		•		
NOTICE OF FINAL INSP	PECTION: The above listed proje	ect has been completed	in reasonable close of	conformance with the approved plan
			Digitally signed by Amy C	
SIGNATURE	Amy C To	ootle	Contact Info: FL PE # 66	414
SIGNATURE	Airry O 10		Date: 2016.01.06 16:26:0	01-05'00'
	·	(RESIDEN	IT ENGINEER)	

TITLE

State Construction Engineer

INSPECTION DATE 01/06/16

TITLE District Construction Engineer FINAL ACCEPTANCE DATE

Federal Aid Office (MS 21) Comptroller, Attention Federal Aid Project Account Section (MS 42) FHWA, Program Operations Engineer (MS29)

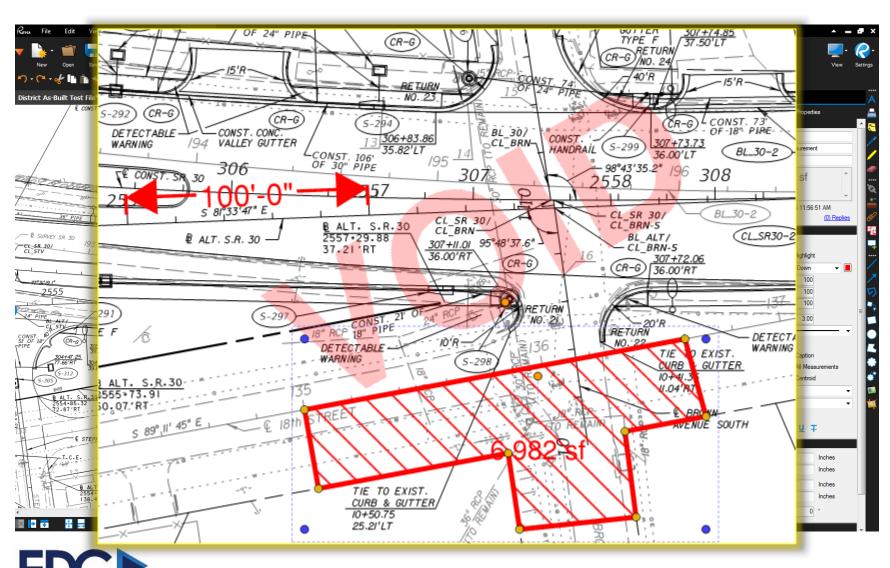


Mobile Devices - iPads

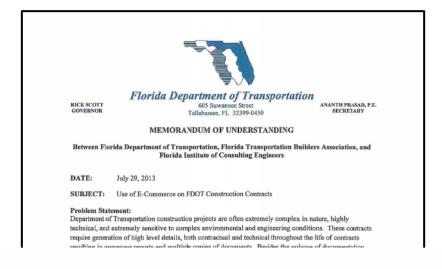




Electronic "As-Built" Plans - Bluebeam



Collaborative Culture of e-Construction



Commitment:

It is the commitment of FDOT, FTBA, and FICE to whole-heartedly and without delay adopt and promote the E-commerce practice among the Department and sister agencies, transportation builders, contractors and Producer industries, and engineering communities throughout the State of Florida.

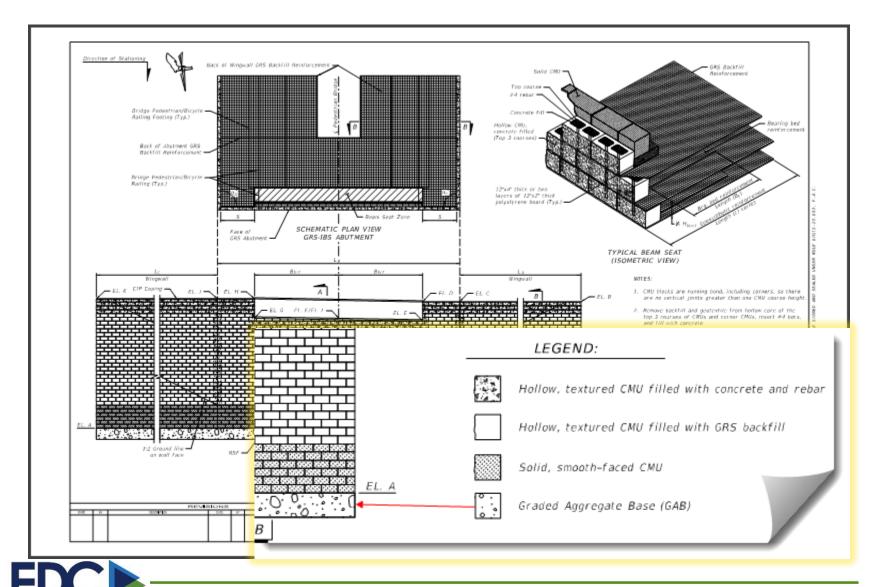
(Ananth Prasad; Secretary, FDOT)

(Mark Mechling; President, FICE)

(Bob Burleson; President, FTBA)



Collaborative Culture of e-Construction





Collaborative Culture of e-Construction

State Materials Office / Programs / Materials Acceptance / Production Facility Listing

Production Facility Listing



Note: The "Go Live" date for the full implementation of MAC was October 10, 2016. Please select the following link to learn more (MB09-16/DCE13-16) - Materials Acceptance and Certification System (MAC) Full Implementation

Overview

The Quality Control (QC) Program defines the quality and process control standards for the FDOT's production and construction operations. As part of this program, participating material producers are required to have a quality control plan which must be reviewed and accepted by the Department prior to doing business with the state.

Producers with an accepted QC Program are then added to the Materials Acceptance and Certification Program (MAC), the Department's data management system. Once added, the producer is now qualified to supply materials to statewide construction projects. The information collected in MAC is used to generate various reports, identified as the Production Facility Listing.

Material Source Listings -- PDF

- · Aggregate Pit Proctor Report
- Conductivity Meters (Conductivity Meters are not governed by the QC Program)
- Production Facility Reports (MAC)

Additional Information

- SMO/District Materials Contacts
- Guidelines for Adding a Producer to the Production Facility Listing
- Material Source Listings for Contracts Let Prior To July 1, 2002



FPID(S): 431243-1-52-01, ETC.



Partnering - Formal

- 1. Special Provision
 - > Phase III Plan Review
 - > SP0080306
 - Non Bid Pay Item
- 2. Greatest Usage
 - > Contracts \$15M \$100M
- 3. Less Than 4.3% of Contracts in FY 2016



Partnering - Formal

- 1. Approved 3rd Party Facilitator
 - Partnering Facilitators Manual
- 2. Workshop Before Construction Commences
 - Establish Partnership Charter and Action Plan for Stakeholders
 - DCE, Contractor Superintendent, and Key Personnel Attend



Future of e-Construction

- 1. Digital Signatures on iPads
- 2. Enhancements to PSSP
- 3. "Smart" e-Tickets
- 4. 3D Models



every day counts







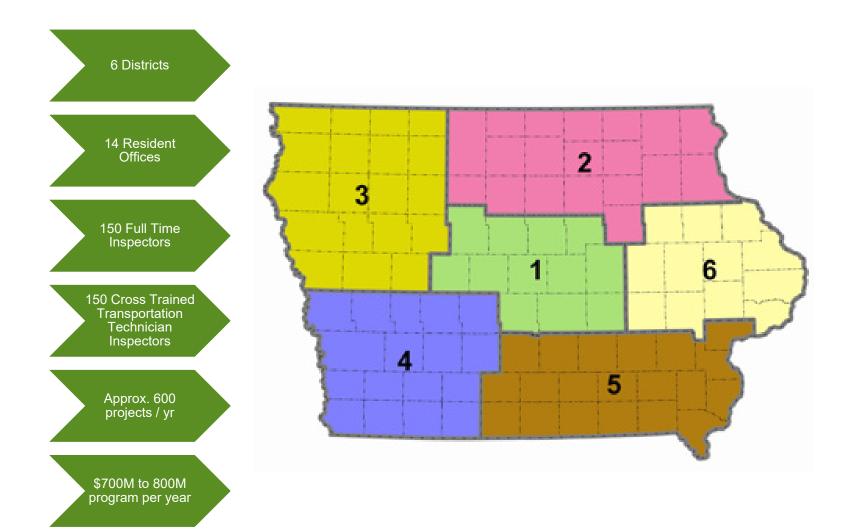




Greg Mulder

Director of Construction and Materials lowa DOT

Overview of Iowa DOT





e-Construction – How did Iowa DOT get here?

5 year process, Created Business Case

Funding Model Determined

Buy in from Senior management, Legislature

Show Return on Investment – Key to Acquiring Funding

Determine Internal vs External software development

Does the System Make the employee and process more efficient?

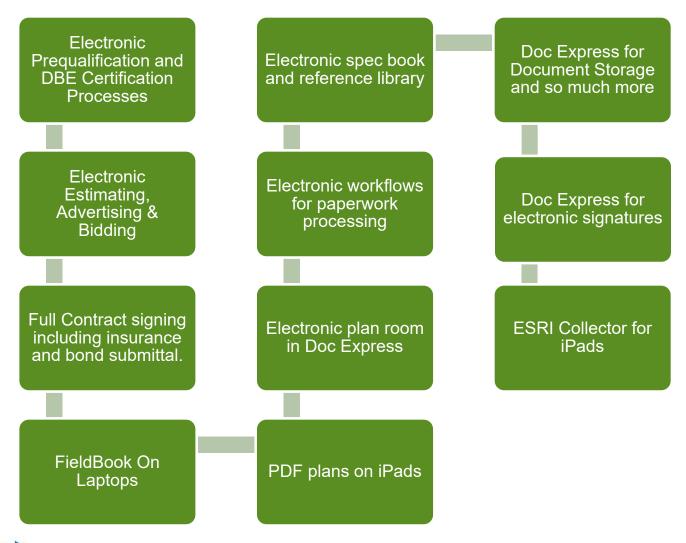


e-Construction – How Iowa got here

- Continuous improvement culture
- Practicable approach
- Keep it simple
- Partner buy in
- Progressive staff
- Supportive management
- 20 year of improvements



Current State of e-Construction at Iowa DOT





e-Construction Pilots Projects at Iowa DOT

Electronic 3D Plan Viewer – Currently exploring several different applications

Exploring use of UAVs – bridge inspection, quantity calculation

Continued pilot expansion of eTicketing

Delivering the Model as the Contract Document

Expanding Asset Management data collection during construction project



Iowa DOT Partnering Culture

Partnering is a culture, not a process

- Good Processes lead to culture change
- Championed at all levels of the organization(s)

Iowa partnering– Our way of doing business

- Fairness
- Cooperative Behaviors & Teamwork
- Open and Honest Communication
- Joint Problem Solving
- Rapid Dispute Resolution at the Lowest Level



Iowa DOT Partnering Culture

All projects are partnered in one of the following ways:

- Formal = Hired outside Facilitator
- Informal = Resident and Contractor PM facilitate
- Semi-Formal = Trained internal facilitator
- Costs are shared between contractor and UDOT
- Executive level follow ups monthly on some projects
- Issue escalation process

Risk Based Partnering meetings

- Not a hug fest, but working meetings
- Identify challenges/risks on project and discuss framework to deal with them



Iowa DOT Partnering Culture

- Bi-Monthly meetings w/ AGC lowa
- Quarterly meetings with Paving Industry
- PreConstruction meetings
- PrePour meetings
- Weekly coordination meetings
- Participation with industry at seminars
- Open opportunities to talk between AGC and DOT
- Innovation meetings with industry as needed



e-Construction & Partnering



Transparent e-Construction processes lead to more trust and partnering on individual projects

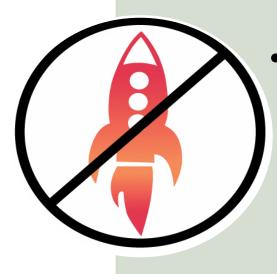


Partnering value: Fair, Open & Honest Communication

- Document Control/Collaboration systems automate and speed up document approvals
- Everyone knows the status of submittals, pay estimates, material submittals and etc... at all times
- Problem Solving at the field level



e-Construction & Partnering



Partnering help e-Construction systems realize maximum efficiencies?

- Involve your industry
- Communicate effectively
- Listen to their needs and feedback
- Remain open to new ideas
- Repeat







every day counts











Introduction to e-Construction and Partnering: A Vision for the Future

Kat Weisner

Construction & Contract Administration Engineer FHWA Resource Center

Bernie Kuta

Construction and Contract Administration Team Leader FHWA Resource Center

Matt DiGiovanni

Field Operations Engineer FHWA Vermont Division

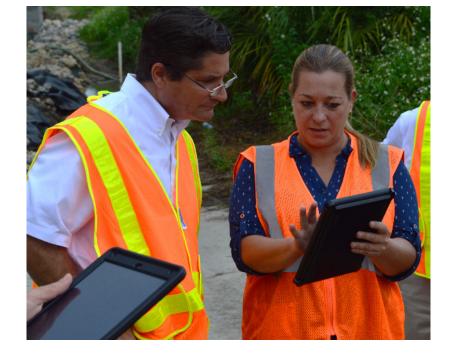
John Haynes

e-Construction and Partnering Technical Deployment Team

Kat Weisner Bernie Kuta Matt DiGiovanni John Haynes

Where We've Been: e-Construction





Source: Florida DOT



Status Update on EDC-3 Activities





Construction

The late Board of Professional Engineers. How as plant data, and peak continued to exclusive the Professional Code (pdf. 1 mb).
 Charles DOS, Special Provision for e-Construction (pdf).

Library



Challenges for e-Construction

One size doesn't fit all

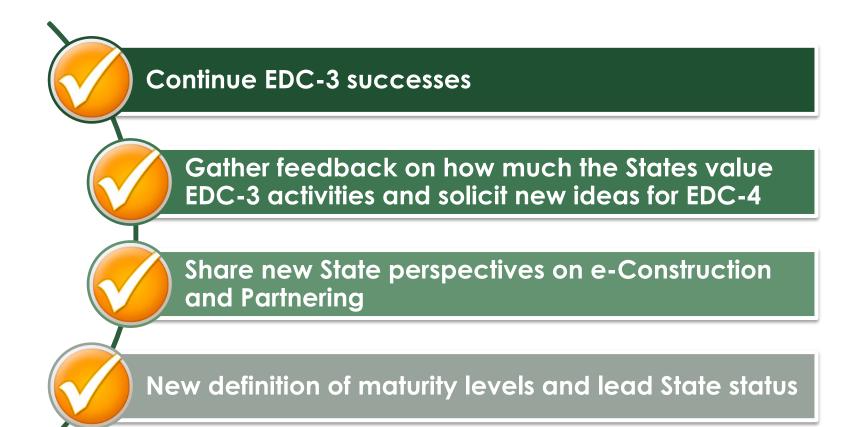
Integration of and use of legacy systems

Management buy-in

Measuring Return on Investment

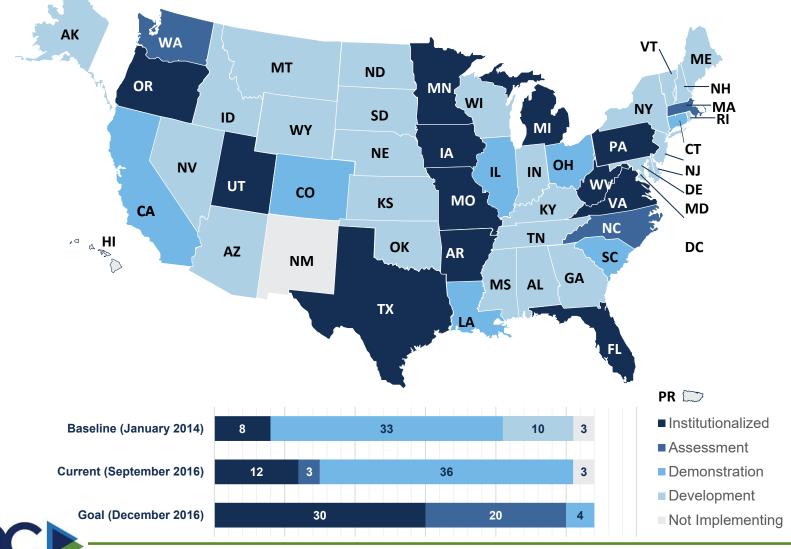


Goals for EDC-4





e-Construction Lead States – Current Status (09/2016)



e-Construction – What's Next?

Holistic approach

Data vs Documents Local & Industry partnering

Alternate Delivery Methods

ROI



e-Construction and Partnering: A Vision for the Future

e-Construction

- Paperless construction administration
- Digital project management (coordination, collaboration, & transparency
- Secure & expedited document distribution to project stakeholders
- Single source of truth

Improve
efficiency &
project
performance
through
enhanced
communication,
transparency,
coordination &
collaboration
among
stakeholders

Partnering

- Build a relationship of mutual trust
- Achieve mutually beneficial goals
- Communicate openly
- Resolve issues and minimize disputes



Partnering – Renewed Interest

Reduce claims

Alternate delivery methods

Outdated policy and procedures

A changing workforce



Partnering & e-Construction

Transparency

Open access & sharing of records

Project Team Identification

- Early alignment & integration
- Mutual trust, respect, & understanding of mutual goals

Streamlined Process/Procedures

Efficiency & Accountability

Early issue resolution & Dispute Detection

- Proactive instead of reactive
- Open & honest communication



every day counts













e-Construction and Partnering **Maturity Matrix**

e-Construction and Partnering Maturity Matrix

Self-assessment tool Re-assess annually Determine your areas for advancement TAKE ADVANTAGE OF FHWA RESOURCES (E.G. PEER EXCHANGES, WEBSITE, ETC.)



Share the workbook matrix with your agency colleagues

every day counts













Roundtable Discussion

Roundtable Discussion: e-Construction

What are your observations/suggestions regarding EDC-3 activities?

What barriers exist to your agency's implementation?

FHWA implementation plan:

What can we do to further advance the deployment of e-Construction?



Roundtable Discussion: Partnering

How does your agency use/view Partnering and what benefits have you experienced?

What are some of the barriers?

FHWA implementation plan:

What can we do to further advance the renewal of Partnering?



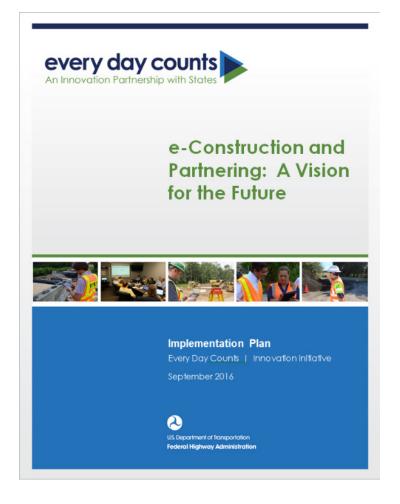
Roundtable Discussion: Synergies

What are the synergies that you see in the implementation of the e-construction and partnering principles presented?

How might your current partnering practices accelerate or enhance the implementation and benefits derived from e-construction?



Implementation Plan



FHWA VISION

Advance the state of the construction administration technology and project collaboration.

MISSION STATEMENT

Optimize construction field system processes; minimize questions, claims, and disputes; and reduce overall project costs.



FHWA Contacts

e-Construction

Partnering

Kat Weisner

FHWA – Resource Center 202-823-2267 Kathryn.Weisner@dot.gov

Chris Schneider

FHWA – Office of Infrastructure 202-493-0551 Christopher.Schneider@dot.gov

Bernie Kuta

FHWA – Resource Center 720-963-3204 Bernie.Kuta@dot.gov

John Haynes

FHWA – Utah Division Office 801-955-3526 John.Haynes@dot.gov

Robert Fijol

FHWA – Michigan Division Office 517-702-1841 Robert.Fijol@dot.gov

Matthew DiGiovanni

FHWA – Vermont Division Office 802-224-1368 <u>Matthew.DiGiovanni@dot.gov</u>

