

From:

## Memorandum

Subject: <u>ACTION</u>: Programs Modified or Discontinued by MAP-21 May Lapse Funds on September 30, 2015

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Chief Financial Officer

To: Associate Administrators Director, Office of Innovative Program Delivery Division Administrators Division Directors

The Moving Ahead for Progress in the 21st Century Act (MAP-21) modified or discontinued a number of highway programs that were authorized by previous legislation. Pursuant to these changes, the funding associated with these changed or discontinued programs may lapse at the end of fiscal year 2015. For more information on the availability of funding, refer to the Office of the Chief Financial Officer (HCF) <u>Funds Availability and Reobligating Expired Funds</u> memorandum, dated January 17, 2014, and <u>the Guide to Federal-aid Programs and Projects</u>.

The attached reference guide summarizes the period of availability for programs that were modified or discontinued under MAP-21, including program codes and fund values, and it should be used in lieu of other reports when determining whether the funds associated with these programs will lapse. The period of availability is indicated by either Available until Expended (AUE) or subject to lapse (Lapse).<sup>1</sup> As noted above, the scope of the attachment is limited to programs impacted by MAP-21. Other programs not modified or discontinued by MAP-21 may also have funds that will lapse at the end of the fiscal year. To determine if unobligated balances are available in a lapsing program, divisions and program offices should query the Fiscal Management Information System (FMIS) and/or Delphi, as applicable.

The following major programs were not continued or modified under MAP-21 and the entire amount of unobligated funds will lapse on September 30, 2015. HCF plans to take the necessary actions to ensure the unobligated funds associated with the following programs are not available for obligation on October 1, 2015.

- Equity Bonus;
- Highway Bridge;

Date: April 17, 2015

In Reply Refer To: HCF-1 (HCF-2015-006)

<sup>&</sup>lt;sup>1</sup> Lapsing is based on the period of availability and unobligated balances at the end of the fiscal year; while a program may be listed to lapse in the attachment, it does not indicate all balances will lapse.

- · Highways for Life;
- Indian Reservation Roads;
- Interstate Maintenance;
- National Highway System (NHS) Program;
- Public Lands Highway Discretionary;
- Recreational Trails;<sup>2</sup>
- Refuge Roads;
- Scenic Byways; and
- Transportation, Community, and System Preservation.

The Transportation Enhancements and High Risk Rural Roads programs were funded as setasides from the Surface Transportation Program and the Highway Safety Improvement Program, respectively. Funding in these two set-aside programs will only lapse if the core program has a total unobligated balance (including set-aside program balances) greater than the sum of the last four years of apportionments. Given current core program fund balances, it's unlikely that Transportation Enhancements and High Risk Rural Roads program funding will lapse at the end of FY 2015.

The funding associated with the following modified or discontinued major programs is available until expended:

- Coordinated Border Infrastructure;
- Emergency Relief;
- Ferry Boat Discretionary;
- Interstate Maintenance Discretionary;
- National Corridor Infrastructure;
- National Historic Covered Bridge;
- Projects of National and Regional Significance; and
- Safe Routes to School.

Every effort should be made to obligate lapsing funds prior to the end of the fiscal year. Obligating funds solely to protect them from lapsing is improper; all obligations must be supported by a documented cost estimate.<sup>3</sup> Please note that all one-year obligation limitation associated with non-formula (allocated) program funds including funds subject to lapse should be returned, as appropriate, during August Redistribution. The lapsing of apportioned funds will not impact the amount of formula limitation available to a State.

Please contact Eric Cline at <u>eric.cline@dot.gov</u> for FMIS-related inquiries or Melissa Stanley at <u>melissa.stanley@dot.gov</u> for other inquires.

## Attachment

<sup>&</sup>lt;sup>2</sup> MAP-21 reauthorized the Recreational Trails Program as a set-aside from the Transportation Alternatives Program instead of a separate apportioned program. Balances from previous authorization acts have a 4 year period of availability and will lapse.

<sup>&</sup>lt;sup>3</sup> The HCF and Office of Infrastructure guidance memo, "Project Funds Management Guide for State Grants," dated October 29, 2014, prescribes the funds management requirements associated with obligating funds.

cc: Thomas Echikson, Chief Counsel Amy Lucero, Director, Technical Services Directors of Field Services

| Existing Highway Programs                       | Applicable pre MAP-21<br>Program Codes  | Applicable<br>Fund Value(s)  | Period of<br>Availability |
|---|---|--|---------------------------|
| Add'l CA for States w/Indian Reservations       | LJ90, LJ9E, Q570, R570  | 15X0R57050   | Lapse                     |
| Alaska Highway Takedown                         | LK10, LK1E, HK10  | 15X0RK1050   | AUE                       |
| America's Byways Resource Center                | Q590, R590  | 15X0R59050   | Lapse                     |
| Appalachian Development Highway System          | H790, H980, L980, L98E,<br>Q980, L9A0, H9A0, L9AE,<br>L98E, H540, H5A0, Q540,<br>Q5A0, L540, L54E, L98R,<br>L5A0, L5AE, Q7A0, 4420,<br>54C0, 54K0, 54L0, 54M0,<br>6380, 6390, 6410, 6420,<br>7950, 7960, 79B0, 79F0,<br>54G0, 54H0, 54F0, 54J0,<br>54N0, 54P0 | 1540R98010<br>15X0R98L50<br>15X0R98050<br>1530R98010<br>15X0442B50<br>15X054CB50<br>15X054KB50<br>15X054MB50<br>15X0795E50 | AUE                       |
| Bicycle and Pedestrian Grants (Clearinghouse)   | J3L0, R3L0, T3L0  | 15X0R3L050   | Lapse                     |
| Bridge Set-Aside                                | LE20, UE20  | 15X0RLH050   | AUE                       |
| Congestion Mitigation & Air Quality (CMAQ)      | 3200, H400, L400, L40E,<br>L40R, Q400   | 15X0100050   | Lapse <sup>A</sup>        |
| Coordinated Border Infrastructure Program       | H1G0, L1G0, L1GE, L1GR  | 15X0100050   | AUE                       |
| DBE Training                                    | L480, L48E, Q480, H480, J480, R480  | 15X0R48050   | Lapse                     |
| Delta Region Transportation Development Program | LZ50, LZ5E  | 15X0R74050   | AUE                       |
| Denali Access System Program                    | LW1E  | 15X0100050   | AUE                       |
| Equity Bonus                                    | HZ20, LZ20, LZ2E, H760,<br>Q760, QC50, TE10, TZ20,<br>H780, Q780, L77R, LZ1R,<br>H770, Q770, QC70, SB30,<br>HZ10, LZ10, LZ1E, TE20  | 15X0164L50<br>15X0164A50<br>15X0164050   | Lapse                     |
| Emergency Relief                                | 09V0, 09W0, 09VE  | 15X009WA50   | AUE                       |
| Ferry Boat Discretionary Program                | H950, Q950, L950, L95E,<br>QF10, R950, 32G0, 3270   | 15X0327050   | AUE                       |
| Freight Intermodal Distribution Pilot Grants    | TJ10  | 15X0R73001   | AUE                       |
| Future Strategic Highway Research               | 3720, 37T0, 3800  | 15X0372010   | AUE                       |
| Going-to-the-Sun Road, Glacier National Park    | Т090, Т09Е  | 15X0R71009   | AUE                       |
| Grant Program to Prohibit Racial Profiling      | LN30  | 15X0R72003   | AUE                       |
| Great Lakes ITS Implementation                  | HT40, HT50, HT60, HT70,<br>HT80, J700, J710, J720,<br>J730, J740, J750, J760,<br>QT40, QT50, QT60, QT70,<br>QT80, R700, R710, R720,<br>R730, R740, R750, R760   | 15X0441060   | AUE                       |
| High Priority Projects                          | Various   |  | AUE                       |

## Attachment - MAP-21 Modified or Discontinued Programs

<sup>A</sup> Unobligated balances in excess of 4 years' worth of the State's apportionment for the program, including set-asides, will lapse.

| Existing Highway Programs                       | Applicable pre MAP-21<br>Program Codes   | Applicable<br>Fund Value(s)             | Period of<br>Availability |
|---|--|---|---------------------------|
| High Risk Rural Roads Program                   | LS20, LS2E, LS2R   | 15X0100050                              | Lapse <sup>B</sup>        |
| Highway Bridge Program                          | 1170, 1180, H100, Q100,<br>L10R, H110, L110, L11E,<br>L11R, Q110, 1140, H120,<br>Q120, L12R, H1C0, L1C0,<br>L1CE, L1CR | 15X0100050                              | Lapse                     |
| Highway Safety Improvement Program (HSIP)       | LS30, LS3E, LS3R   | 15X0100050                              | Lapse <sup>A</sup>        |
| Highway Use Tax Evasion (allocated)             | 94C0, L960, L96E, H960,<br>3340, 3810, J960, Q960,<br>R960   | 15X0334050                              | AUE                       |
| Highway Use Tax Evasion (STP funds)             | LT30, HT30, QT30   | 15X0100050                              | Lapse                     |
| Highways for LIFE                               | LV50, TV50, LV60, LV5E,<br>TV5E  | 15X0R9Y050                              | Lapse                     |
| Indian Reservation Road Bridges                 | 11T0, 11U0, 9830, 983E,<br>11Z0  | 15X011T050,<br>15X0R71001<br>15X0100050 | Lapse                     |
| Indian Reservation Roads (IRR)                  | F110, F11E, F120, 1630   | 15X0F11050<br>15X0163050                | Lapse                     |
| Interstate Maintenance (IM)                     | 0440, 04M0, Q010, H010,<br>L010, L01E, L01R  | 15X0100050                              | Lapse                     |
| Interstate Maintenance Discretionary            | H020, L020, L02E<br>Q020   | 15X0R02050,<br>15X0100050               | AUE                       |
| Lake Tahoe Region MPO                           | 87A0   | 15X0785E50                              | AUE                       |
| Magnetic Levitation Program                     | T660, R660   | 15X0R66050                              | AUE                       |
| Metropolitan Planning                           | 0850, H450, L450, L45E,<br>L45R, Q450  | 15X0100050                              | Lapse <sup>A</sup>        |
| National Corridor Infrastructure Program        | 3620, 36C0, 36K0, 3630, 36D0   | 15X0362A50,<br>15X0363A50               | AUE                       |
| National Highway System (NHS)                   | H050, L050, L05E, L05R,<br>Q050, 3150  | 15X0100050                              | Lapse                     |
| National Historic Covered Bridge Preservation   | LE30, LE3E, TE30   | 15X0R71002                              | AUE                       |
| National Work Zone Safety Clearinghouse         | TJ50   | 15X0R77051                              | Lapse                     |
| Non-Motorized Pilot Program                     | LN20   | 15X0R72002                              | AUE                       |
| On-The-Job Training & Supportive Services       | Q490, L490, L49E, H490,<br>J490, R490  | 15X0R49050                              | AUE                       |
| Park Roads and Parkways (PRP)                   | F170, F17E   | 15X0F17050                              | Lapse                     |
| Pavement Marking Systems Demonstration Projects | LN40   | 15X0R72004                              | AUE                       |
| Projects of Regional and National Significance  | LY40, L990, LT40, TBY0   | 15X0R73H50                              | AUE                       |
| Public Lands Highways Discretionary (PLH)       | F180, F18E, F150, F15E   | 15X0F15050                              | Lapse                     |
| Public Lands Highways, Forest Highways (PLH)    | 4130, F130   | 15X0413050<br>15X0F13050                | Lapse                     |

<sup>A</sup> Unobligated balances in excess of 4 years' worth of the State's apportionment for the program, including set-asides, will lapse. <sup>B</sup> Funded as a set-aside from a core apportioned programs and some balances may lapse as part of the overall unobligated balance of the core apportioned program.

| Existing Highway Programs<br>Puerto Rico Highway Program              | Applicable pre MAP-21<br>Program Codes<br>LP10, LP1E, HP10, QD60,                           | Applicable<br>Fund Value(s)<br>15X0RP1050 | Period of<br>Availability<br>Lapse |
|---|---|---|------------------------------------|
| Rail Highway Crossing Hazard Elimination in High-Speed Rail Corridors | QP10, TP1E<br>LE10, LE1E, UE10  | 15X0R76850                                | Lapse                              |
| Recreational Trails   | H940, L940, L94E, L94R,<br>Q940, HR10, HR20, QR10,<br>QR20, LR1E, T94R, U94R,<br>J940, R940 | 15X0R94050,<br>15X0R94010                 | Lapse                              |
| Refuge Roads (RR)   | 4190, F190, F19E, F21E  | 15X0419050,<br>15X0F19050                 | Lapse                              |
| Road Safety (Data and Public Awareness)                               | TJ60  | 15X0R78050                                | AUE                                |
| Safe Routes to School   | HU10, LU10, LU1E, LU1R,<br>HU20, LU20, LU2R, LU2E,<br>HU30, LU30, LU3R, LU3E                | 15X0100050                                | AUE                                |
| Scenic Byways   | 3320, Q970, L97E, J970,<br>32C0, 34X0, H970   | 15X0332050                                | Lapse                              |
| State Planning & Research (SPR)                                       | 0810, 0800, H550, L550,<br>L55E, L55R, Q550   | 15X0100050                                | Lapse <sup>A</sup>                 |
| Surface Transportation Program (STP)                                  | Various   | 15X0100050                                | Lapse <sup>A</sup>                 |
| Territorial Highway Program   | LT10, QT10, 31E0, 31F0,<br>HT10, TT10, LT1E   | 15X031E050                                | Lapse                              |
| TIFIA   | 4990, JA10  | 15X0499010,<br>15X0499050                 | AUE                                |
| Transportation Enhancements   | H220, L220, L22E, L22R, Q220, 33B0  | 15X0100050                                | Lapse <sup>B</sup>                 |
| Transportation, Community, and System Preservation                    | L680, H680, Q680, J680,<br>Q690, R680, L68E   | 15X0R68050                                | Lapse                              |
| Transportation Improvement Program                                    | L900  | 15X0R71H50                                | AUE                                |
| Truck Parking Facilities  | LN10, LN1E  | 15X0R72001                                | AUE                                |
| Work Zone Safety Grants   | LJ40  | 15X0R76001                                | Lapse                              |
| Value Pricing Pilot Program   | Q880, L880, L88E, H880  | 15X0R88050                                | Lapse                              |
|   |   |   |                                    |

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