Transportation Conformity
Linking Transportation and Air Quality

What are the major project-level conformity requirements?
- The project comes from a conforming metropolitan plan and TIP
- The design concept and scope have not changed significantly since the conformity determination regarding the plan and TIP from which the project derived
- Analyses use latest planning assumptions and latest emissions model
- Includes a hot-spot analysis in CO nonattainment and maintenance areas
- PM nonattainment and maintenance areas, only for projects of air quality concern, which are generally projects that involve significant amounts of diesel emissions
- Compliant with control measures in PM SIP

Project-level conformity must be redetermined if...
- There is a significant change in design concept/ scope
- More than 3 years have passed since the most recent major step to advance the project
- Supplemental environmental document for air quality purposes has been initiated

What is a hot-spot analysis?
A hot-spot analysis is an assessment of the emissions impact of a project on local air quality concentrations. It helps demonstrate that the project meets Clean Air Act requirements in CO nonattainment and maintenance areas. In PM areas, hot-spot analyses are required for projects of air quality concern only.

What are the interagency consultation requirements?
- Required in all nonattainment and maintenance areas
- Formally established in the area’s Conformity SIP and legally enforceable
- Required on the development or modification of SIPs
- Metropolitan transportation plans and TIPs
- Conformity determinations

Where can I get more information?
FHWA Conformity Website: http://www.fhwa.dot.gov/environment/air_quality/conformity/
EPA Conformity Website: http://www.epa.gov/otaq/stateresources/transconf/index.htm

Transportation conformity is...
A process required by the Clean Air Act (CAA) Section 176(c) which establishes the framework for improving air quality to protect public health and the environment. The goal of transportation conformity is to ensure that Federal Highway Administration (FHWA) and Federal Transit Administration (FTA) funding and approvals are given to highway and transit activities that are consistent with air quality goals.

The CAA requires that metropolitan transportation plans, metropolitan transportation improvement programs (TIPs) and Federal projects conform to the purpose of the State Implementation Plan (SIP). Conformity to a SIP means that such activities will not cause or contribute to any new violations of the National Ambient Air Quality Standards (NAAQS); increase the frequency or severity of NAAQS violations; or delay timely attainment of the NAAQS or any required interim milestone.

What is a National Ambient Air Quality Standard (NAAQS)?
A Federal standard that establishes an air quality concentration to protect public health and welfare. The NAAQS are set for six principal pollutants, also called criteria pollutants.

- Ozone (O₃)
- Nitrogen Dioxide (NO₂)
- Carbon Monoxide (CO)
- Particulate Matter (PM₁₀ and PM₂.5)
- Lead (Pb)
- Sulfur Dioxide (SO₂)

* Six Criteria Pollutants

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* Please refer to the Transportation Conformity Rule and associated guidance at all times to ensure compliance with appropriate requirements.*
Transportation Conformity: The link between air quality and transportation planning.

What pollution does transportation conformity address?
Air pollution comes from a variety of sources. Transportation conformity only addresses air pollution from on-road mobile sources which include emissions created by cars, trucks, buses, commuter rail, and motorcycles.

What transportation activities are subject to transportation conformity?
- Metropolitan transportation plans
- Metropolitan transportation improvement programs (TIPs)
- Federal projects
  - Projects receiving FHWA/FTA funding
  - Projects receiving FHWA/FTA approval

What does a conformity determination show?
For metropolitan plan or TIP conformity, the determination shows that the total emissions projected for that metropolitan plan or TIP are within the on-road mobile source emissions limits (“budgets”) established by the SIP to protect public health for the NAAQS. Transportation control measures (TCMs) must be implemented in a timely fashion and State and local agencies must consult on data, modeling, and other issues related to the determination. For project-level conformity, the determination shows that the project is consistent with the regional conformity determination and that potential localized emissions impacts on health-based pollutant standards are addressed.

What are Transportation Control Measures (TCMs)?
TCMs are specific programs designed to reduce emissions from transportation sources by reducing vehicle use or changing traffic flow or congestion conditions and are included in the approved SIP. Examples include programs for improving public transit, developing high occupancy vehicle (HOV) lanes, and employing ordinances to promote non-motor vehicle travel.

Who makes a conformity determination?
Conformity determinations are made by FHWA/FTA. Metropolitan Planning Organizations (MPOs) policy boards make initial conformity determinations for plans and programs in metropolitan areas, while State Departments of Transportation (DOTs) usually do so in areas without MPOs and typically conduct the analyses associated with project-level conformity.

What happens if a conformity determination is not made?
When a conformity determination is not made according to schedule, areas have a 1-year grace period to make the determination before there is a conformity lapse and the use of Federal-aid funds is restricted. During a lapse, only certain types of projects can proceed: (1) projects that are exempt from conformity; (2) TCMs in approved SIPs; and (3) projects or project phases that are already authorized. The 1-year conformity lapse grace period does not apply to new nonattainment areas that must make a determination on their plans and TIPs within 12 months of final designation.

What are the major transportation conformity requirements?
- Estimate regional emissions and compare to SIP budget or interim emission test
- Use latest planning assumptions and latest emissions model
- Ensure timely implementation of TCMs in an approved SIP
- Conduct interagency consultation

Conformity must be determined...
- On the metropolitan plan and TIP
  - At least every 4 years
  - 24 months after SIP motor vehicle emissions budgets are found adequate or are approved
  - Within 12 months after new nonattainment designations become effective
- Prior to acceptance of a new or updated metropolitan transportation plan, a TIP, and certain plan/TIP amendments
- Prior to the first time a non-exempt federal project is adopted, accepted, approved or funded (project-level conformity)

What projects are exempt from conformity?
There are three groups of exempt projects in the conformity rule:
1. Projects exempt from all conformity requirements include specific projects under the categories of safety, mass transit, air quality, and other (§93.126)
2. Projects exempt from regional emissions analysis include intersection channelization, and interchange reconfiguration (§93.127)
3. Traffic Signal Synchronization projects (§93.128)