

**FEDERAL HIGHWAY ADMINISTRATION**

**GENERAL TERMS AND CONDITIONS UNDER THE FISCAL YEAR 2022 and FISCAL  
YEAR 2023 PROMOTING RESILIENT OPERATIONS FOR TRANSFORMATIVE,  
EFFICIENT AND COST SAVING TRANSPORTATION (PROTECT)  
DISCRETIONARY GRANT PROGRAM**

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## GENERAL TERMS AND CONDITIONS

The Infrastructure Investment and Jobs Act, Pub. L. No. 117-58 (Nov. 15, 2021), authorized and appropriated funds to the FHWA for fiscal years 2022 and 2023. The funds are available to carry out the Promoting Resilience Operations for Transformative, Efficient and Cost Saving Transportation Discretionary Program (“**PROTECT**”) Discretionary Program under 23 U.S.C. 176 to help make surface transportation more resilient to natural hazards, including climate change, sea level rise, flooding, extreme weather events, and other natural disasters through support of planning activities, resilience improvements, community resilience and evacuation routes, and at-risk costal infrastructure.

On April 4, 2023, the USDOT FHWA posted a funding opportunity at Grants.gov for “Promoting Resilient Operations for Transformative, Efficient, and Cost-Saving Transportation (PROTECT) Program” No. 693JJ323NF00013. The notice of funding opportunity (“**NOFO**”) solicited applications for Federal financial assistance under the FY 2022 and FY 2023 program. On April 11, 2024, the USDOT announced application selections under the NOFO for the FY 2022 and FY 2023 PROTECT Discretionary Grant Program.

These General Terms and Conditions are incorporated by reference in the Project-Specific Agreement under the FY 2022 and FY 2023 PROTECT discretionary grant program. The term “Recipient” is defined in the Project-Specific Agreement. The Project-Specific Agreement includes schedules A through K. The Project-Specific Agreement may include special terms and conditions in project-specific articles or schedules.

### ARTICLE 1 PURPOSE

#### 1.1 Purpose.

The purpose of this award is to fund the eligible project defined in the Project-Specific Agreement that has been selected to receive a FY 2022 or FY 2023 PROTECT Grant. The parties will accomplish that purpose by achieving the following objectives:

- (1) timely completing the Project; and
- (2) ensuring that this award does not substitute for non-Federal investment in the Project, except as proposed in the Technical Application, as modified by schedule E.

### ARTICLE 2 FHWA ROLE

#### 2.1 Federal Highway Administration (FHWA) Responsibilities.

- (a) The FHWA is the operating administration under the United State Department of Transportation (“USDOT”) responsible for the administration of the Grant Program,

the approval and execution of the Project-Specific Agreement, and any modifications to the project-specific under section 15.1.

### **ARTICLE 3 RECIPIENT ROLE**

#### **3.1 Statements on the Project.**

The Recipient states that:

- (1) all material statements of fact in the Technical Application were accurate when that application was submitted; and
- (2) schedule E documents all material changes in the information contained in that application.

#### **3.2 Statements on Authority and Capacity.**

The Recipient states that:

- (1) it has the authority to receive Federal financial assistance under the Project-Specific Agreement;
- (2) it has the legal authority to complete the Project;
- (3) it has the capacity, including institutional, managerial, and financial capacity, to comply with its obligations under the Project-Specific Agreement;
- (4) not less than the difference between the total eligible project costs listed in section 3 of schedule D and the Grant Amount listed in section 1 of schedule D is committed to fund the Project;
- (5) it has sufficient funds available to ensure that infrastructure completed or improved under the Project-Specific Agreement will be operated and maintained in compliance with that agreement and applicable Federal law; and
- (6) the individual executing the Project-Specific Agreement on behalf of the Recipient has authority to enter the Project-Specific Agreement and make the statements in this article 3 and in section 21.7 on behalf of the Recipient.

#### **3.3 USDOT FHWA Reliance.**

The Recipient acknowledges that:

- (1) the USDOT FHWA relied on statements of fact in the Technical Application to select the Project to receive this award;
- (2) the USDOT FHWA relied on statements of fact in both the Technical Application and the Project-Specific Agreement to determine that the Recipient and the

Project are eligible under the terms of the PROTECT NOFO.

- (3) the USDOT FHWA relied on statements of fact in both the Technical Application and the Project-Specific Agreement to establish the terms of the Project-Specific Agreement; and
- (4) the USDOT FHWA's selection of the Project to receive this award prevented awards under the NOFO to other eligible applicants.

### **3.4 Project Delivery.**

- (a) The Recipient shall complete the Project under the terms of the Project-Specific Agreement.
- (b) The Recipient shall ensure that the Project is financed, constructed, operated, and maintained in accordance with all Federal laws, regulations, and policies that are applicable to the Project.

### **3.5 Rights and Powers Affecting the Project.**

- (a) The Recipient shall not take or permit any action that deprive it of any rights or powers necessary to the Recipient's performance under the Project-Specific Agreement without written approval of the FHWA.
- (b) The Recipient shall act promptly, in a manner acceptable to the FHWA, to acquire, extinguish, or modify any outstanding rights or claims of right of others that would interfere with the Recipient's performance under the Project-Specific Agreement.

### **3.6 Notification of Changes to Key Personnel.**

The Recipient shall notify all FHWA representatives who are identified in section 5 of schedule A in writing within 30 calendar days of any change in key personnel who are identified in section 4 of schedule A.

### **3.7 Subaward to Designated Subrecipient.**

If section 9 of schedule A identifies a Designated Subrecipient:

- (1) the Recipient hereby awards a subaward to the Designated Subrecipient for the purpose described in section 1.1;
- (2) the Recipient and the Designated Subrecipient may enter into a separate agreement, to which the FHWA is not a party, assigning responsibilities, including administrative and oversight responsibilities, among the Recipient and the Designated Subrecipient; and
- (3) for the purpose of 2 C.F.R. parts 200 and 1201, the Recipient is a pass-through entity.

### **3.8 Designated Subrecipient Statements and Responsibilities.**

If section 9 of schedule A identifies a Designated Subrecipient:

- (1) the Designated Subrecipient affirms all statements and acknowledgments that are



attributed to the Recipient under sections 3.1 and 3.2; and

- (2) the Designated Subrecipient assumes the Recipient's reporting obligations under article 7.

## **ARTICLE 4**

### **AWARD AMOUNT, OBLIGATION, AND TIME PERIODS**

#### **4.1 Federal Award Amount.**

This FHWA hereby awards a Grant to the Recipient in the amount listed in section 1 of schedule D as the Grant Amount.

#### **4.2 Federal Obligations.**

- (a) If the Federal Obligation Type identified in section 2 of schedule D is "Single," then the Project-Specific Agreement obligates for the budget period the amount listed in section 1 of schedule D as the Grant Amount and sections 4.2(c)–4.2(h) do not apply to the Project-Specific Agreement.
- (b) If the Federal Obligation Type identified in section 2 of schedule D is "Multiple," then an amount up to the Grant Amount listed in section 1 of schedule D will be obligated with one initial obligation and one or more subsequent, optional obligations, as described in sections 4.2(c)–4.2(h).
- (c) The Obligation Condition Table in section 2 of schedule D allocates the Grant among separate portions of the Project for the purpose of the Federal obligation of funds. The scope of each portion of the Project that is identified in that table is described in section 2 of schedule B.
- (d) The Project-Specific Agreement obligates for the budget period only the amounts allocated in the Obligation Condition Table in section 2 of schedule D to portions of the Project for which that table does not list an obligation condition.
- (e) The Project-Specific Agreement does not obligate amounts allocated in the Obligation Condition Table in section 2 of schedule D to portions of the Project for which that table lists an obligation condition. The parties may obligate the amounts allocated to those portions of the Project only as described in section 4.2(f) or by modifying the Project-Specific Agreement under article 15.
- (f) If the USDOT Payment System identified in section 6 of schedule A is "FMIS," then for each portion of the Project for which the Obligation Condition Table in section 2 of schedule D lists an obligation condition, the amount allocated in that table to that portion of the Project is obligated if, not later than the statutory lapse date identified in the Project-Specific Agreement as applicable to the Grant Program, the parties execute an instrument, in the form provided in Exhibit D, documenting that:
  - (1) the FHWA determines that the obligation condition listed in that table for that

- portion of the Project is satisfied;
- (2) the FHWA determines that all applicable Federal requirements for obligating the amount are satisfied; and
  - (3) the Recipient states that it is not required to request a modification of the Project-Specific Agreement under article 5.
- (g) The Recipient shall not request reimbursement of costs for a portion of the Project for which the Obligation Condition Table in section 2 of schedule D lists an obligation condition, unless the amount allocated in that table to that portion of the Project is obligated under section 4.2(f).
- (h) The Recipient acknowledges that:
- (1) the FHWA is not liable for payments for a portion of the Project for which the Obligation Condition Table in section 2 of schedule D lists an obligation condition, unless the amount allocated in that table to that portion of the Project is obligated under section 4.2(f);
  - (2) any portion of the Grant that is not obligated under this section 4.2 by the statutory lapse date identified in the Project-Specific Agreement for those funds lapses on the day after that date and becomes unavailable for the Project;
  - (3) the FHWA may consider the failure to obligate funds by the statutory lapse date identified in the Project-Specific Agreement as applicable to the Grant Program for those funds to be a basis for terminating the Project-Specific Agreement under section 10.1; and

#### **4.3 Budget Period.**

The budget period for this award begins on the date of the Project-Specific Agreement and ends on the budget period end date that is listed in section 1 of schedule C or as determined in the FHWA Fiscal Management Information System (“FMIS”). In the Project-Specific Agreement, “budget period” is used as defined at 2 C.F.R. 200.1.

#### **4.4 Period of Performance.**

- (a) If the USDOT Payment System identified in section 6 of schedule A is “FMIS,” then the period of performance for this award begins on the date of the Project-Specific Agreement and ends on project end date in FMIS.
- (b) If the USDOT Payment System identified in section 6 of schedule A is “DELPHI eInvoicing,” then the period of performance for this award is listed on page 1, line 6 of the Project-Specific Agreement.
- (c) In the Project-Specific Agreement, “period of performance” is used as defined at 2 C.F.R. 200.1.

## **ARTICLE 5**

### **STATEMENT OF WORK, SCHEDULE, AND BUDGET CHANGES**

#### **5.1 Notification Requirement.**

The Recipient shall notify all FHWA representatives who are identified in section 5 of schedule A in writing within 30 calendar days of any change in circumstances or commitments that adversely affect the Recipient's plan to complete the Project. In that notification, the Recipient shall describe the change and what actions the Recipient has taken or plans to take to ensure completion of the Project. This notification requirement under this section 5.1 is separate from any requirements under this article 5 that the Recipient request modification of the Project-Specific Agreement.

#### **5.2 Scope and Statement of Work Changes.**

If the Project's activities differ from the activities described in the Technical Application, then the Recipient shall request a modification of the Project-Specific Agreement in Schedule E.

#### **5.3 Schedule Changes.**

If one or more of the following conditions are satisfied, then the Recipient shall request a modification of the Project-Specific Agreement to update schedule C:

- (1) a completion date for the Project or a component of the Project is listed in section 2 of schedule C and the Recipient's estimate for that milestone changes to a date that is more than six months after the date listed in section 2 of schedule C;
- (2) a schedule change would require the budget period to continue after the budget period end date listed in section 1 of schedule C; or
- (3) the USDOT Payment System identified in section 6 of schedule A is "DELPHI eInvoicing" and a schedule change would require the period of performance to continue after the period of performance listed on page 1, line 6 of the Project-Specific Agreement.

For other schedule changes, the Recipient shall follow the applicable procedures of the FHWA and document the changes in writing.

#### **5.4 Budget Changes.**

- (a) The Recipient acknowledges that if the cost of completing the Project increases:
  - (1) that increase does not affect the Recipient's obligation under the Project-Specific Agreement to complete the Project; and
  - (2) the FHWA will not increase the amount of this award to address any funding shortfall.
- (b) The Recipient shall request a modification of the Project-Specific Agreement to update

schedule D if, in comparing the Project's budget to the amounts listed in section 3 of schedule D:

- (1) the total "Non-Federal Funds" amount decreases; or
  - (2) the total eligible project costs amount decreases.
- (c) For budget changes that are not identified in section 5.4(b), the Recipient shall follow the applicable procedures of the FHWA and document the changes in writing.
- (d) If there are Project Cost Savings, then the Recipient may propose to the FHWA, in writing consistent with the FHWA's requirements, to include in the Project-Specific Agreement additional activities that are within the scope of this award, as defined in section 1.1 and schedule B, and that the Recipient could complete with the Project Cost Savings.

In this agreement, "**Project Cost Savings**" means the difference between the actual eligible project costs and the total eligible project costs that are listed in section 3 of schedule D, but only if the actual eligible project costs are less than the total eligible project costs that are listed in section 3 of schedule D. There are no Project Cost Savings if the actual eligible project costs are equal to or greater than the total eligible project costs that are listed in section 3 of schedule D.

- (e) If there are Project Cost Savings and either the Recipient does not make a proposal under section 5.4(d) or the FHWA does not accept the Recipient's proposal under section 5.4(d), then:
- (1) in a request under section 5.4(b), the Recipient shall reduce the Federal Share by the Project Cost Savings; and
  - (2) if that modification reduces this award and the FHWA had reimbursed costs exceeding the revised award, the Recipient shall refund to the FHWA the difference between the reimbursed costs and the revised award.

In this agreement, "**Federal Share**" means the sum of the total "PROTECT Grant Funds" and "Other Federal Funds" amounts that are listed in section 3 of schedule D.

- (f) The Recipient acknowledges that amounts that are required to be refunded under section 5.4(e)(2) constitute a debt to the Federal Government that the FHWA may collect under 2 C.F.R. 200.346 and Standards for Administrative Collection of Claims (31 C.F.R. part 901).

## **5.5 FHWA Acceptance of Changes.**

The FHWA may accept or reject modifications requested under this article 5, and in doing so may elect to consider only the interests of the Grant Program and the FHWA. The Recipient acknowledges that requesting a modification under this article 5 does not amend, modify, or supplement the Project-Specific Agreement unless the FHWA accepts that modification request and the parties modify the Project-Specific Agreement under section 15.1.

## **ARTICLE 6 GENERAL REPORTING TERMS**

### **6.1 Report Submission.**

The Recipient shall send all reports required by the Project-Specific Agreement to all FHWA contacts who are listed in section 5 of schedule A.

### **6.2 Alternative Reporting Methods.**

The FHWA may establish processes for the Recipient to submit reports required by the Project-Specific Agreement, including electronic submission processes. If the Recipient is notified of those processes in writing, the Recipient shall use the processes required by the FHWA.

## **ARTICLE 7 PROGRESS AND FINANCIAL REPORTING**

### **7.1 Project Progress and Financial Reports and Recertifications.**

On or before the 20th day of June and December each year and until the end of the period of performance, the Recipient shall submit to the FHWA a Semi-Annual Project Progress Report and Recertification in the format and with the content described in exhibit C. If the date of this agreement is in the final month of a calendar year, then the Recipient shall submit the first Semi-Annual Project Progress Report and Recertification in the second calendar year that begins after the date of this agreement.

### **7.2 Final Progress Reports and Financial Information.**

No later than 120 days after the end of the period of performance, the Recipient shall submit:

- (1) a Final Project Progress Report and Recertification in the format and with the content described in exhibit C for each Semi-Annual Project Progress Report and Recertification, including a final Federal Financial Report (SF-425); and
- (2) any other information required under FHWA award closeout procedures.

## **ARTICLE 8 PERFORMANCE REPORTING**

### **8.1 Baseline Performance Measurement.**

- (1) The Recipient shall collect data for each performance measure that is identified in the Performance Measure Table in schedule G, accurate as of the Baseline Measurement Date that is identified in schedule G; and

- (2) On or before the Baseline Report Date that is stated in schedule G, the Recipient shall submit a Baseline Performance Measurement Report that contains the data collected under this section 8.1 and a detailed description of the data sources, assumptions, variability, and estimated levels of precision for each performance measure that is identified in the Performance Measure Table in schedule G.

## **8.2 Post-construction Performance Measurement.**

- (1) For each performance measure that is identified in the Performance Measure Table in schedule G with quarterly measurement frequency, for each of 12 consecutive calendar quarters, beginning with the first calendar quarter that begins after the Project substantial completion date, at least once during the quarter, the Recipient shall collect data for that performance measure;
- (2) For each performance measure that is identified in the Performance Measure Table in schedule G with annual measurement frequency, the Recipient shall collect data for that performance measure on at least three separate occasions: (i) once during the four consecutive calendar quarters that begin after the Project substantial completion date; (ii) once during the fourth calendar quarter after the first collection; and (iii) once during the eighth calendar quarter after the first collection; and
- (3) Not later than January 31 of each year that follows a calendar year during which data was collected under this section 8.2, the Recipient shall submit to the USDOT a Post-Project Performance Measurement Report containing the data collected under this section 8.2 in the previous calendar year and stating the dates when the data was collected.

If an external factor significantly affects the value of a performance measure collected under this section 8.2, then the Recipient shall identify that external factor in the Post-Project Performance Measurement Report and discuss its influence on the performance measure.

## **8.3 Project Outcomes Report.**

The Recipient shall submit to the FHWA, not later than January 31 of the year that follows the final calendar year during which data was collected under section 8.2, a Project Outcomes Report that contains:

- (1) a narrative discussion detailing project successes and the influence of external factors on project expectations;
- (2) all baseline and post-Project performance measurement data that the Recipient reported in the Baseline Performance Measurement Report and the Post-Project Performance Measurement Reports; and
- (3) an *ex post* examination of project effectiveness relative to the baseline data that the Recipient reported in the Baseline Performance Measurement Report.

#### **8.4 General Performance Measures.**

For each performance measure that is enumerated in schedule G, the Recipient shall ensure that all data collections under this article 8 are completed in a manner consistent with the description, location, and other attributes associated with the performance measure in schedule G.

#### **8.5 Performance Reporting Survival.**

The data collection and reporting requirements in this article 8 survive the termination of this agreement.

### **ARTICLE 9 NONCOMPLIANCE AND REMEDIES**

#### **9.1 Noncompliance Determinations.**

- (a) If the FHWA determines that the Recipient may have failed to comply with the United States Constitution, Federal law, or the terms and conditions of the Project-Specific Agreement, the FHWA may notify the Recipient of a proposed determination of noncompliance. For the notice to be effective, it must be written and the FHWA must include an explanation of the nature of the noncompliance, describe a remedy, state whether that remedy is proposed or effective at an already determined date, and describe the process through and form in which the Recipient may respond to the notice.
- (b) If the FHWA notifies the Recipient of a proposed determination of noncompliance under section 9.1(a), the Recipient may, not later than 7 calendar days after the notice, respond to that notice in the form and through the process described in that notice. In its response, the Recipient may:
  - (1) accept the remedy;
  - (2) acknowledge the noncompliance, but propose an alternative remedy; or
  - (3) dispute the noncompliance.

To dispute the noncompliance, the Recipient must include in its response documentation or other information supporting the Recipient's compliance.

- (c) The FHWA may make a final determination of noncompliance only:
  - (1) after considering the Recipient's response under section 9.1(b); or
  - (2) if the Recipient fails to respond under section 9.1(b), after the time for that response has passed.
- (d) To make a final determination of noncompliance, the FHWA must provide a notice to the Recipient that states the bases for that determination.

## **9.2 Remedies.**

- (a) If the FHWA makes a final determination of noncompliance under section 9.1, the FHWA may impose a remedy, including:
  - (1) additional conditions on the award;
  - (2) any remedy permitted under 2 C.F.R. 200.339–200.340, including withholding of payments; disallowance of previously reimbursed costs, requiring refunds from the Recipient to the FHWA; suspension or termination of the award; or suspension and disbarment under 2 C.F.R. part 180; or
  - (3) any other remedy legally available.
- (b) To impose a remedy, the FHWA must provide a written notice to the Recipient that describes the remedy, but the FHWA may make the remedy effective before the Recipient receives that notice.
- (c) If the FHWA determines that it is in the public interest, the FHWA may impose a remedy, including all remedies described in section 9.2(a), before making a final determination of noncompliance under section 9.1. If it does so, then the notice provided under section 9.1(d) must also state whether the remedy imposed will continue, be rescinded, or modified.
- (d) In imposing a remedy under this section 9.2 or making a public interest determination under section 9.2(c), the FHWA may elect to consider the interests of only the FHWA.
- (e) The Recipient acknowledges that amounts that the FHWA requires the Recipient to refund to the FHWA due to a remedy under this section 9.2 constitute a debt to the Federal Government that the FHWA may collect under 2 C.F.R. 200.346 and the Standards for Administrative Collection of Claims (31 C.F.R. part 901).

## **9.3 Other Oversight Entities.**

Nothing in this article 9 limits any party's authority to report activity under the Project-Specific Agreement to the United States Department of Transportation Inspector General or other appropriate oversight entities.

# **ARTICLE 10 AGREEMENT TERMINATION**

## **10.1 FHWA Termination.**

- (a) The FHWA may terminate the Project-Specific Agreement and all of its obligations under this agreement if any of the following occurs:
  - (1) the Recipient fails to obtain or provide any non- Grant contribution or alternatives



approved by the FHWA as provided in the Project-Specific Agreement and consistent with schedule D;

- (2) a completion date for the Project or a component of the Project is listed in section 2 of schedule C and the Recipient fails to meet that milestone by six months after the date listed in section 2 of schedule C;
  - (3) the Recipient fails to meet a milestone listed in section 3 of schedule C by the deadline date listed in that section for that milestone;
  - (4) the Recipient fails to comply with the terms and conditions of the Project-Specific Agreement, including a material failure to comply with the project schedule in schedule C even if it is beyond the reasonable control of the Recipient;
  - (5) circumstances cause changes to the Project that the FHWA determines are inconsistent with the FHWA's basis for selecting the Project to receive a Grant; or
  - (6) the FHWA determines that termination of the Project-Specific Agreement is in the public interest.
- (b) In terminating the Project-Specific Agreement under this section, the FHWA may elect to consider only the interests of the FHWA.
- (c) This section 10.1 does not limit the FHWA's ability to terminate the Project-Specific Agreement as a remedy under section 9.2.
- (d) The Recipient may request that the FHWA terminate the Project-Specific Agreement under this section 10.1.

## **10.2 Closeout Termination.**

- (a) The Project-Specific Agreement terminates on Project Closeout.
- (b) In the Project-Specific Agreement, "**Project Closeout**" means the date that the FHWA notifies the Recipient that the award is closed out. Under 2 C.F.R. 200.344, Project Closeout should occur no later than one year after the end of the period of performance.

## **10.3 Post-Termination Adjustments.**

The Recipient acknowledges that under 2 C.F.R. 200.345–200.346, termination of the Project-Specific Agreement does not extinguish the FHWA's authority to disallow costs, including costs that the FHWA reimbursed before termination, and recover funds from the Recipient.

## **10.4 Non-Terminating Events.**

- (a) The end of the budget period described under section 4.3 does not terminate the Project-Specific Agreement or the Recipient's obligations under the Project-

Specific Agreement.

- (b) The end of the period of performance described under section 4.4 does not terminate the Project-Specific Agreement or the Recipient's obligations under the Project-Specific Agreement.
- (c) The cancellation of funds under section 7 of schedule F does not terminate the Project-Specific Agreement or the Recipient's obligations under the Project-Specific Agreement.

## **10.5 Other Remedies.**

The termination authority under this article 10 supplements and does not limit the FHWA's remedial authority under article 9 or 2 C.F.R. part 200, including 2 C.F.R. 200.339–200.340.

# **ARTICLE 11 MONITORING, FINANCIAL MANAGEMENT, CONTROLS, AND RECORDS**

## **11.1 Recipient Monitoring and Record Retention.**

- (a) The Recipient shall monitor activities under this award, including activities under subawards and contracts, to ensure:
  - (1) that those activities comply with the Project-Specific Agreement; and
  - (2) that funds provided under this award are not expended on costs that are not allowable under this award or not allocable to this award.
- (b) If the Recipient makes a subaward under this award, the Recipient shall monitor the activities of the subrecipient in compliance with 2 C.F.R. 200.332(e).
- (c) The Recipient shall retain records relevant to the award as required under 2 C.F.R. 200.334.

## **11.2 Financial Records and Audits.**

- (a) The Recipient shall keep all project accounts and records that fully disclose the amount and disposition by the Recipient of the award funds, the total cost of the Project, and the amount or nature of that portion of the cost of the Project supplied by other sources, and any other financial records related to the project.
- (b) The Recipient shall keep accounts and records described under section 11.2(a) in accordance with a financial management system that meets the requirements of 2 C.F.R. 200.302–200.307, 2 C.F.R. 200 subpart F, and title 23, United States Code, and will facilitate an effective audit in accordance with 31 U.S.C. 7501–7506.
- (c) The Recipient shall separately identify expenditures under the fiscal year of the Grant Program in financial records required for audits under 31 U.S.C. 7501–7506.

Specifically, the Recipient shall:

- (1) list expenditures under that program separately on the schedule of expenditures of Federal awards required under 2 C.F.R. 200 subpart F, including the Federal Fiscal Year (“FY”) in the program name; and
- (2) list expenditures under that program on a separate row under Part II, Item 1 (“Federal Awards Expended During Fiscal Period”) of Form SF-SAC, including the Federal Fiscal Year (“FY”) in column c (“Additional Award Identification”).

### **11.3 Internal Controls.**

The Recipient shall establish and maintain internal controls as required under 2 C.F.R. 200.303.

### **11.4 USDOT Record Access.**

The FHWA may access Recipient records related to this award under 2 C.F.R. 200.337.

### **11.5 Title 23 Oversight Responsibilities.**

This award is subject to the oversight requirements of title 23, United States Code.

## **ARTICLE 12 CONTRACTING AND SUBAWARDS**

### **12.1 Minimum Wage Rates.**

The Recipient shall include, in all contracts in excess of \$2,000 for construction work to be performed on a Federal-aid highway (or work that is treated as if performed on a Federal-aid highway) under the Project that involves labor, provisions establishing minimum rates of wages, to be predetermined by the United States Secretary of Labor, in accordance with 23 U.S.C. 113, that contractors shall pay to skilled and unskilled labor, and such minimum rates shall be stated in the invitation for bids and shall be included in proposals or bids for the work.

### **12.2 Buy America.**

- (a) Steel, iron, and manufactured products used in the Project are subject to 23 U.S.C. 313, as implemented by the FHWA. The Recipient acknowledges that the Project-Specific Agreement is neither a waiver of 23 U.S.C. 313(a) nor a finding under 23 U.S.C. 313(b).
- (b) Construction materials used in the Project are subject to the domestic preference requirement at § 70914 of the Build America, Buy America Act, Pub. L. No. 117-58, div. G, tit. IX, subtit. A, 135 Stat. 429, 1298 (2021), as implemented by OMB, USDOT, and FHWA. The Recipient acknowledges that the Project-Specific Agreement is neither a waiver of § 70914(a) nor a finding under § 70914(b).

- (c) Under 2 C.F.R. 200.322, as appropriate and to the extent consistent with law, the Recipient should, to the greatest extent practicable under this award, provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the United States (including but not limited to iron, aluminum, steel, cement, and other manufactured products). The Recipient shall include the requirements of 2 C.F.R. 200.322 in all subawards including all contracts and purchase orders for work or products under this award.

### **12.3 Small and Disadvantaged Business Requirements.**

- (a) If any funds under this award are administered by or through a State Department of Transportation, the Recipient shall expend those funds in compliance with the requirements at 49 C.F.R. part 26 (“Participation by disadvantaged business enterprises in Department of Transportation financial assistance programs”).
- (b) If any funds under this award are not administered by or through a State Department of Transportation, the Recipient shall expend those funds in compliance with the requirements at 2 C.F.R. 200.321 (“Contracting with small businesses, minority businesses, women’s business enterprises, veteran-owned businesses, and labor surplus area firms”).

### **12.4 Engineering and Design Services.**

As applicable, the Recipient shall award each contract or sub- contract for program management, construction management, planning studies, feasibility studies, architectural services, preliminary engineering, design, engineering, surveying, mapping, or related services with respect to the Project in the same manner that a contract of architectural and engineering services is negotiated under the Brooks Act, 40 U.S.C. 1101-1104 as implemented in 23 U.S.C. 112(b)(2), or an equivalent qualifications-based requirement prescribed for or by the Recipient and approved in writing by the USDOT.

### **12.5 Prohibition on Certain Telecommunications and Video Surveillance Services or Equipment.**

The Recipient acknowledges that Section 889 of Pub. L. No. 115-232 and 2 C.F.R. 200.216 prohibit the Recipient and all subrecipients from procuring or obtaining certain telecommunications and video surveillance services or equipment under the Grant award.

### **12.6 Pass-through Entity Responsibilities.**

If the Recipient makes a subaward under the Grant award, the Recipient shall comply with the requirements on pass-through entities under 2 C.F.R. parts 200 and 1201, including 2 C.F.R. 200.331–200.333.

### **12.7 Subaward and Contract Authorization.**

- (a) If the FHWA Office for Subaward and Contract Authorization identified in section 7 of schedule A is “FHWA Division,” then the Recipient shall comply with subaward and contract authorization requirements under 23 C.F.R chapter I.

- (b) If the FHWA Office for Subaward and Contract Authorization identified in section 7 of schedule A is “FHWA Office of Acquisition and Grants Management,” then the Recipient must follow the requirements in 2 C.F.R. 200.308, 2 C.F.R. 200.333, and 23 C.F.R. 172, as applicable, for the subaward of any PROTECT Grant work under the Project-Specific Agreement. Approvals under 2 CFR 200.308(f)(6) do not apply to the procurement of goods and services.

## **ARTICLE 13**

### **COSTS, PAYMENTS, AND UNEXPENDED FUNDS**

#### **13.1 Limitation of Federal Award Amount.**

Under the Grant Program award, the FHWA shall not provide funding greater than the amount obligated under section 4.2, and FMIS as applicable. The Recipient acknowledges that the FHWA is not liable for payments exceeding that amount, and the Recipient shall not request reimbursement of costs exceeding that amount.

#### **13.2 Projects Costs.**

- (a) The Grant Program award is subject to the cost principles at 2 C.F.R. 200 subpart E, including provisions on determining allocable costs and determining allowable costs.

#### **13.3 Timing of Project Costs.**

- (a) The Recipient shall not charge to the Grant Program award costs that are incurred after the budget period.
- (b) Except as permitted under section 13.3(e), the Recipient shall not charge to the Grant award costs that were incurred before the date of the Project-Specific Agreement.
- (c) The execution of the Project-Specific Agreement will terminate and supersede any previous FHWA approval for the Recipient to incur costs under the Grant Program award for the Project. Section 4 of schedule D is the exclusive FHWA approval of costs incurred before the date of the Project-Specific Agreement.
- (d) If the USDOT Payment System identified in section 6 of schedule A is “FMIS” and section 5 of schedule D identifies an advance construction authorization under 23 U.S.C. 115, then the Recipient may charge to the Grant Program award, for payment from the Grant Program grant or other Federal amounts, costs that were incurred before the date of the project-specific agreement, were consistent with that authorization, and would have been allowable if incurred during the budget period.
- (e) If the USDOT Payment System identified in section 6 of schedule A is “DELPHI eInvoicing” and section 5 of schedule D identifies a pre-award approval under 2 C.F.R. 200.458, then the Recipient may charge to the Grant Program award, for payment from the Grant Program grant or other Federal amounts, costs that were incurred before the

date of the Project-Specific Agreement, were consistent with that approval, and would have been allowable if incurred during the budget period.

#### **13.4 Recipient Recovery of Federal Funds.**

The Recipient shall make all reasonable efforts, including initiating litigation, if necessary, to recover Federal funds if the FHWA determines, after consultation with the Recipient, that those funds have been spent fraudulently, wastefully, or in violation of Federal laws, or misused in any manner under this award. The Recipient shall not enter a settlement or other final position, in court or otherwise, involving the recovery of funds under the Grant award unless approved in advance in writing by the FHWA.

#### **13.5 Unexpended Federal Funds.**

Any Federal funds that are awarded at section 4.1 but not expended on allocable, allowable costs remain the property of the United States.

#### **13.6 Timing of Payments to the Recipient.**

- (a) Reimbursement is the payment method for the Grant Program.
- (b) The Recipient shall not request reimbursement of a cost before the Recipient has entered into an obligation for that cost.

#### **13.7 Payment Method.**

- (a) If the USDOT Payment System identified in section 6 of schedule A is “FMIS,” then the Recipient shall follow FMIS procedures to request and receive reimbursement payments under this award.
- (b) If the USDOT Payment System identified in section 6 of schedule A is “DELPHI eInvoicing,” then the Recipient shall use the DELPHI eInvoicing System to request reimbursement under this award unless the FHWA agreement officer provides written approval for the Recipient to use a different request and payment method.
- (c) The FHWA may deny a payment request that is not submitted using the method identified in this section 13.7.

#### **13.8 Information Supporting Expenditures.**

- (a) If the USDOT Payment System identified in section 6 of schedule A is “DELPHI eInvoicing,” then when requesting reimbursement of costs incurred or credit for cost share incurred, the Recipient shall electronically submit the SF 271 (Outlay Report and Request for Reimbursement for Construction Programs) or the SF 270 (Request for Advance or Reimbursement), as applicable, shall identify the Federal share and the Recipient’s share of costs, and shall submit supporting cost detail to clearly document all costs incurred. As supporting cost detail, the Recipient shall include a detailed breakout of all costs incurred, including direct labor, indirect costs, other direct costs, and travel.

- (b) If the Recipient submits a request for reimbursement that the FHWA determines does not include or is not supported by sufficient detail, the FHWA may deny the request or withhold processing the request until the Recipient provides sufficient detail.

### **13.9 Reimbursement Frequency.**

If the USDOT Payment System identified in section 6 of schedule A is “DELPHI eInvoicing,” then the Recipient shall not request reimbursement more frequently than monthly.

## **ARTICLE 14 LIQUIDATION, ADJUSTMENTS, AND FUNDS AVAILABILITY**

### **14.1 Liquidation of Recipient Obligations.**

- (a) The Recipient shall liquidate all obligations of award funds under the Project-Specific Agreement not later than the earlier of (1) 120 days after the end of the period of performance or (2) the statutory funds cancellation date identified in section 7 of schedule F.
- (b) Liquidation of obligations and adjustment of costs under the Project-Specific Agreement follow the requirements of 2 C.F.R. 200.344–200.346.

## **ARTICLE 15 AGREEMENT MODIFICATIONS**

### **15.1 Bilateral Modifications.**

The parties may amend, modify, or supplement the Project-Specific Agreement by mutual agreement in writing signed by the FHWA and the Recipient. Either party may request to amend, modify, or supplement the Project-Specific Agreement by written notice to the other party.

### **15.2 Unilateral Contact Modifications.**

- (a) The Recipient may update the contacts who are listed in section 3 of schedule A by written notice to all of the FHWA contacts who are listed in section 5 of schedule A.
- (b) The FHWA may update the contacts who are listed in section 5 of schedule A by written notice to all of the Recipient contacts who are listed in section 3 of schedule A.

### **15.3 FHWA Unilateral Modifications.**

- (a) The FHWA may unilaterally modify the Project-Specific Agreement to comply with Federal law, including the Program Statute.
- (b) To unilaterally modify the Project-Specific Agreement under this section 15.3, the FHWA

must provide a notice to the Recipient that includes a description of the modification and state the date that the modification is effective.

#### **15.4 Other Modifications.**

The parties shall not amend, modify, or supplement the Project-Specific Agreement except as permitted under sections 15.1, 15.2, or 15.3. If an amendment, modification, or supplement is not permitted under section 15.1, not permitted under section 15.2, and not permitted under section 15.3, it is void.

### **ARTICLE 16 CLIMATE CHANGE AND ENVIRONMENTAL JUSTICE**

#### **16.1 Climate Change and Environmental Justice.**

Consistent with Executive Order 14008, “Tackling the Climate Crisis at Home and Abroad” (Jan. 27, 2021), schedule H documents the consideration of climate change and environmental justice impacts of the Project.

### **ARTICLE 17 EQUITY AND BARRIERS TO OPPORTUNITY**

#### **17.1 Equity and Barriers to Opportunity.**

Consistent with Executive Order 13985, “Advancing Racial Equity and Support for Underserved Communities Through the Federal Government” (Jan. 20, 2021), schedule I documents activities related to the Project to improve racial equity and reduce barriers to opportunity.

### **ARTICLE 18 LABOR AND WORK**

#### **18.1 Labor and Work.**

Consistent with Executive Order 14025, “Worker Organizing and Empowerment” (Apr. 26, 2021), and Executive Order 14052, “Implementation of the Infrastructure Investment and Jobs Act” (Nov. 15, 2021), schedule J documents the consideration of job quality and labor rights, standards, and protections related to the Project.

### **ARTICLE 19 CIVIL RIGHTS AND TITLE VI**

#### **19.1 Civil Rights and Title VI.**



- (a) Consistent with Executive Order 13985, “Advancing Racial Equity and Support for Underserved Communities Through the Federal Government” (Jan. 20, 2021), Executive Order 14091, “Further Advancing Racial Equity and Support for Underserved Communities Through the Federal Government” (Feb. 16, 2023), and DOT Order 1000.12C, “The U.S. Department of Transportation Title VI Program” (June 11, 2021), the purpose of sections 19.1(b)-19.1(c) is to ensure that the Recipient has a plan to comply with civil rights obligations and nondiscrimination laws, including Title VI and 49 C.F.R. part 21.
- (b) If the Recipient Type Designation in section 1 of schedule K is “Existing,” then the Recipient shall submit to the FHWA either:
  - (1) not later than one month after the date of this agreement, documentation showing that the Recipient has complied with all reporting requirements under the FHWA’s implementation of Title VI; or
  - (2) not later than six months after the date of this agreement, both a Title VI Plan and a Community Participation Plan, as those plans are described in chapter II, sections 3–4 of DOT Order 1000.12C.
- (c) If the Recipient Type Designation in section 1 of schedule K is “New,” then the FHWA completed a Title VI Assessment of the Recipient, as described in chapter II, section 2 of DOT Order 1000.12C, before entering this agreement, as documented in section 2 of schedule K.
- (d) In this section 12.1, “**Title VI**” means Title VI of the Civil Rights Act of 1964, Pub. L. No. 88-352 (codified at 42 U.S.C. 2000d to 2000d-4a).

## **19.2 Legacy Infrastructure and Facilities.**

In furtherance of the Americans with Disabilities Act of 1990 (ADA), Pub. L. No. 101-336 (codified at 42 U.S.C. 12101-12213), and Section 504 of the Rehabilitation Act of 1973, Pub. L. No. 93-112 (codified at 29 U.S.C. 794), not later than one year after the date of this agreement, the Recipient shall develop a plan to address any legacy infrastructure or facilities that are not compliant with ADA standards and are involved in, or closely associated with, the Project. Consistent with 49 C.F.R. part 27, even in the absence of prior discriminatory practice or usage, a Recipient administering a program or activity receiving Federal financial assistance is expected to take action to ensure that no person is excluded from participation in or denied the benefits of the program or activity on the basis of disability.

## **ARTICLE 20 CRITICAL INFRASTRUCTURE SECURITY AND RESILIENCE**

### **20.1 Critical Infrastructure Security and Resilience.**

- (a) Consistent with Presidential Policy Directive 21, “Critical Infrastructure Security and Resilience” (Feb. 12, 2013), and the National Security Presidential Memorandum on Improving Cybersecurity for Critical Infrastructure Control Systems (July 28, 2021), the

Recipient shall consider physical and cyber security and resilience in planning, design, and oversight of the Project.

- (b) If the Security Risk Designation in section 3 of schedule F is “Elevated,” then, not later than two years after the date of this agreement, the Recipient shall submit to the FHWA a report that:
- (1) identifies a cybersecurity Point of Contact for the transportation infrastructure being improved in the Project;
  - (2) summarizes or contains a cybersecurity incident reporting plan for the transportation infrastructure being improved in the Project;
  - (3) summarizes or contains a cybersecurity incident response plan for the transportation infrastructure being improved in the Project;
  - (4) documents the results of a self-assessment of the Recipient’s cybersecurity posture and capabilities; and
  - (5) describes any additional actions that the Recipient has taken to consider or address cybersecurity risk of the transportation infrastructure being improved in the Project.

## **ARTICLE 21**

### **FEDERAL FINANCIAL ASSISTANCE, ADMINISTRATIVE, AND NATIONAL POLICY REQUIREMENTS**

#### **21.1 Uniform Administrative Requirements for Federal Awards.**

The Recipient shall comply with the obligations on non-Federal entities under 2 C.F.R. parts 200 and 1201.

#### **21.2 Federal Law and Public Policy Requirements.**

- (a) The Recipient shall ensure that Federal funding is expended in full accordance with the United States Constitution, Federal law, and statutory and public policy requirements: including but not limited to, those protecting free speech, religious liberty, public welfare, the environment, and prohibiting discrimination.
- (b) The failure of the Project-Specific Agreement to expressly identify Federal law applicable to the Recipient or activities under the Project-Specific Agreement does not make that law inapplicable.

#### **21.3 Federal Freedom of Information Act.**

- (a) The FHWA is subject to the Freedom of Information Act, 5 U.S.C. 552.
- (b) The Recipient acknowledges that the Technical Application and materials submitted to the FHWA by the Recipient related to this agreement may become FHWA records subject to public release under 5 U.S.C. 552.

## **21.4 History of Performance.**

Under 2 C.F.R 200.206, any Federal agency may consider the Recipient's performance under the Project-Specific Agreement, when evaluating the risks of making a future Federal financial assistance award to the Recipient.

## **21.5 Whistleblower Protection.**

- (a) The Recipient acknowledges that it is a "grantee" within the scope of 41 U.S.C. 4712, which prohibits the Recipient from taking certain actions against an employee for certain disclosures of information that the employee reasonably believes are evidence of gross mismanagement of the Grant Program award, gross waste of Federal funds, or a violation of Federal law related this this award.
- (b) The Recipient shall inform its employees in writing of the rights and remedies provided under 41 U.S.C. 4712, in the predominant native language of the workforce.

## **21.6 External Award Terms and Obligations.**

- (a) In addition to this document and the contents described in article 26 the Project-Specific Agreement includes the following additional terms as integral parts:
  - (1) Appendix A to 2 C.F.R. part 25: System for Award Management and Universal Identifier Requirements;
  - (2) Appendix A to 2 C.F.R. part 170: Reporting Subawards and Executive Compensation;
  - (3) 2 C.F.R. part 175: Award Term for Trafficking in Persons; and
  - (4) Appendix XII to 2 C.F.R. part 200: Award Term and Condition for Recipient Integrity and Performance Matters.
- (b) The Recipient shall comply with:
  - (1) 49 C.F.R. part 20: New Restrictions on Lobbying;
  - (2) 49 C.F.R. part 21: Nondiscrimination in Federally-Assisted Programs of the Department of Transportation—Effectuation of Title VI of the Civil Rights Act of 1964;
  - (3) 49 C.F.R. part 27: Nondiscrimination on the Basis of Disability in Programs or Activities Receiving Federal Financial Assistance;
  - (4) 23 C.F.R. Chapter 1: Federal Highway Administration, Department of Transportation as, applicable to the Recipient.
  - (5) Subpart B of 49 C.F.R. part 32: Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

### **21.7 Incorporated Certifications.**

The Recipient makes the statements in the following certifications, which are incorporated by reference:

- (1) Appendix A to 49 CFR part 20 (Certification Regarding Lobbying).

## **ARTICLE 22 ASSIGNMENT**

### **22.1 Assignment Prohibited.**

The Recipient shall not transfer to any other entity any discretion granted under this agreement, any right to satisfy a condition under this agreement, any remedy under this agreement, or any obligation imposed under this agreement.

## **ARTICLE 23 WAIVER**

### **23.1 Waivers.**

- (a) A waiver of a term of the Project-Specific Agreement granted by the FHWA will not be effective unless it is in writing and signed by an authorized representative of the FHWA.
- (b) A waiver of a term of the Project-Specific Agreement granted by the FHWA on one occasion will not operate as a waiver on other occasions.
- (c) If the FHWA fails to require strict performance of a term of the Project-Specific Agreement, fails to exercise a remedy for a breach of the Project-Specific Agreement, or fails to reject a payment during a breach of the Project-Specific Agreement, that failure does not constitute a waiver of that term or breach.

## **ARTICLE 24 ADDITIONAL TERMS AND CONDITIONS**

### **24.1 Disclaimer of Federal Liability.**

The FHWA shall not be responsible or liable for any damage to property or any injury to persons that may arise from, or be incident to, performance or compliance with the Project-Specific Agreement.

### **24.2 Relocation and Real Property Acquisition.**

- (a) The Recipient shall comply with the land acquisition policies in 49 C.F.R. part 24 subpart B and shall pay or reimburse property owners for necessary expenses as

specified in that subpart.

- (b) The Recipient shall provide a relocation assistance program offering the services described in 49 C.F.R. part 24 subpart C and shall provide reasonable relocation payments and assistance to displaced persons as required in 49 C.F.R. part 24 subparts D–E.
- (c) The Recipient shall make available to displaced persons comparable replacement dwellings in accordance with 49 C.F.R. part 24.

### **24.3 Equipment Disposition.**

- (a) In accordance with 2 C.F.R. 200.313 and 1201.313, if the Recipient or a subrecipient acquires equipment under the Grant Program award, then when that equipment is no longer needed for the Project:
  - (1) if the entity that acquired the equipment is a State,, the State shall dispose of that equipment in accordance with State laws and procedures; and
  - (2) if the entity that acquired the equipment is an Indian Tribe, the Indian Tribe shall dispose of that equipment in accordance with tribal laws and procedures. If such laws and procedures do not exist, Indian Tribes must follow the guidance in 2 C.F.R. 200.313; and
  - (3) if the entity that acquired the equipment is neither a State nor an Indian Tribe, that entity shall request disposition instructions from the FHWA.
- (b) In accordance with 2 C.F.R. 200.443(d), the distribution of the proceeds from the disposition of equipment must be made in accordance with 2 C.F.R. 200.310–200.316 and 2 C.F.R. 1201.313.
- (c) The Recipient shall ensure compliance with this section 24.3 for all tiers of subawards under the Grant Program award.

### **24.4 Environmental Review.**

- (a) In this section, “**Environmental Review Entity**” means:
  - (1) if the Project is located in a State that has assumed responsibilities for environmental review activities under 23 U.S.C. 326 or 23 U.S.C. 327 and the Project is within the scope of the assumed responsibilities, the State; and
  - (2) for all other cases, the FHWA.
- (b) Except as authorized under section 24.4(c), the Recipient shall not begin final design; acquire real property, construction materials, or equipment; begin construction; or take other actions that represent an irretrievable commitment of resources for the Project unless and until:
  - (1) the Environmental Review Entity complies with the National Environmental

Policy Act, 42 U.S.C. 4321 to 4370m-12, and any other applicable environmental laws and regulations; and

- (2) if the Environmental Review Entity is not the Recipient, the Environmental Review Entity provides the Recipient with written notice that the environmental review process is complete.
- (c) If the Recipient is using procedures for early acquisition of real property under 23 C.F.R. 710.501 or hardship and protective acquisitions of real property 23 C.F.R. 710.503, the Recipient shall comply with 23 C.F.R. 771.113(d)(1).
- (d) The Recipient acknowledges that:
  - (1) the Environmental Review Entity's actions under section 24.4(a) depend on the Recipient conducting necessary environmental analyses and submitting necessary documents to the Environmental Review Entity; and
  - (2) applicable environmental statutes and regulation may require the Recipient to prepare and submit documents to other Federal, State, and local agencies.
- (e) Consistent with 23 C.F.R. 771.105(a), to the extent practicable and consistent with Federal law, the Recipient shall coordinate all environmental investigations, reviews, and consultations as a single process.
- (f) The activities described in schedule B and other information described in the Project-Specific Agreement may inform environmental decision-making processes, but the parties do not intend the Project-Specific Agreement to document the alternatives under consideration under those processes. If a build alternative is selected that does not align with schedule B or other information in the Project-Specific Agreement, then:
  - (1) the parties may amend the Project-Specific Agreement under section 15.1 for consistency with the selected build alternative; or
  - (2) if the FHWA determines that the condition at section 10.1(a)(5) is satisfied, the FHWA may terminate the Project-Specific Agreement under section 10.1(a)(5).
- (g) The Recipient shall complete any mitigation activities described in the environmental document or documents for the Project, including the terms and conditions contained in the required permits and authorizations for the Project.

## **24.5 Railroad Coordination.**

If section 3 of schedule C includes one or more milestones identified as a "Railroad Coordination Agreement," then for each of those milestones, the Recipient shall enter a standard written railroad coordination agreement, consistent with 23 C.F.R. 646.216(d), no later than the deadline date identified for that milestone, with the identified railroad for work and operation within that railroad's right-of-way.

## **ARTICLE 25**

### **MANDATORY AWARD INFORMATION**

#### **25.1 Information Contained in a Federal Award.**For 2 C.F.R. 200.211:

- (1) the “Federal Award Date” is the date of this agreement, as defined under section 27;
- (2) the “Assistance Listings Number” is 20.205 and the “Assistance Listings Title” is “Highway Planning and Construction”; and
- (3) this award is not for research and development.

#### **25.2 Federal Award Identification Number.**

- (a) If the USDOT Payment System identified in section 6 of schedule A is “FMIS,” then the Federal Award Identification Number (“FAIN”) will be generated when the FHWA Division authorizes the project in FMIS. The Recipient acknowledges that it has access to FMIS and can retrieve the FAIN from FMIS.
- (b) If the USDOT Payment System identified in section 6 of schedule A is “DELPHI eInvoicing,” then the Federal Award Identification Number is listed on page 1, line 1 of the Project-Specific Agreement.

#### **25.3 Recipient’s Unique Entity Identifier.**

- (a) If the USDOT Payment System identified in section 6 of schedule A is “FMIS,” then the Recipient’s Unique Entity Identifier, as defined at 2 C.F.R. 25.400, is available in FMIS. The Recipient acknowledges that it has access to FMIS and can retrieve the unique entity identifier from FMIS.
- (b) If the USDOT Payment System identified in section 6 of schedule A is “DELPHI eInvoicing,” then the Recipient’s Unique Entity Identifier, as defined at 2 C.F.R. 25.400, is listed on page 1, line 4 of the Project-Specific Agreement.

## **ARTICLE 26**

### **CONSTRUCTION AND DEFINITIONS**

#### **26.1 Schedules.**

This agreement includes the following schedules as integral parts:

Schedule A	Administrative Information
Schedule B	Project Activities
Schedule C	Award Dates and Project Schedule
Schedule D	Award and Project Financial Information
Schedule E	Changes from Application
Schedule F	PROTECT Program Designations
Schedule G	PROTECT Performance Measurement Information

Schedule H	Climate Change and Environmental Justice Impacts
Schedule I	Equity and Barriers to Opportunity
Schedule J	Labor and Work
Schedule K	Civil Rights and Title VI

## **26.2 Exhibits.**

The following exhibits, which are located in the document titled “Exhibits to Project-Specific Agreements under the Fiscal Year 2022 and Fiscal Year 2023 Promoting Resilient Operations for Transformative, Efficient, and Cost-Saving Transportation (PROTECT) Discretionary Grant Program” dated June 6, 2024, and available at [https://www.fhwa.dot.gov/environment/protect/discretionary/grant\\_recipients/fy2022-2023-protect-exhibits.pdf](https://www.fhwa.dot.gov/environment/protect/discretionary/grant_recipients/fy2022-2023-protect-exhibits.pdf), are part of this agreement.

Exhibit A	Applicable Federal Laws and Regulations
Exhibit B	Additional Standard Terms
Exhibit C	Semi-Annual Project Progress Reports and Recertifications: Format and Content
Exhibit D	Form for Subsequent Obligation of Funds

## **26.3 Construction.**

(a) In these General Terms and Conditions:

- (1) unless expressly specified, a reference to a section or article refers to that section or article in these General Terms and Conditions;
- (2) a reference to a section or other subdivision of a schedule listed in section 26.1 will expressly identify the relevant schedule; and
- (3) there are no references to articles or sections in project-specific portions of the Project-Specific Agreement that are not contained in schedules listed in section 26.1.

(b) If a provision in these General Terms and Conditions or the exhibits conflicts with a provision in the project-specific portion of the Project-Specific Agreement, then the project-specific portion of the Project-Specific Agreement prevails. If a provision in the exhibits conflicts with a provision in these General Terms and Conditions, then the provision in these General Terms and Conditions prevails.

## **26.4 Integration.**

The Project-Specific Agreement constitutes the entire agreement of the parties relating to the Grant Program and awards under that program and supersedes any previous agreements, oral or written, relating to the Grant Program and awards under that program.

## **26.5 Definitions.**

In the Project-Specific Agreement, the following definitions apply:



**“General Terms and Conditions”** means this document, including articles 1–27.

**“Grant”** means an award of FY 2022 or FY 2023 PROTECT funds that were made available under the FY 2022 and FY 2023 “Promoting Resilient Operations for Transformative, Efficient, and Cost-Saving Transportation (PROTECT) Program” Notice of Funding Opportunity No. 693JJ323NF00013 posted to Grants.gov on April 21, 2023.

**“Grant Amount”** means the amount of the FY 2022 or FY 2023 PROTECT discretionary grant funds awarded to the Recipient.

**“Grant Program”** means the FY 2022 and FY 2023 PROTECT discretionary grants program under 23 U.S.C. 151.

**“Program Statute”** means the collective statutory text in schedule F.

**“Project”** means the project proposed in the Technical Application, as modified by the negotiated provisions of the Project-Specific Agreement, including schedules A–K.

**“Project-Specific Agreement”** means the agreement executed between the FHWA and Recipient and may be a grant agreement or a project agreement in FMIS.

**“Technical Application”** means the application identified in section 1 of schedule A, including Standard Form 424 and all information and attachments submitted with that form through Grants.gov.

## **ARTICLE 27**

### **AGREEMENT EXECUTION AND EFFECTIVE DATE**

#### **27.1 Counterparts.**

The Project-Specific Agreement may be executed in counterparts, which constitute one document. The parties intend each countersigned original to have identical legal effect.

#### **27.2 Effective Date.**

The Project-Specific Agreement will become effective when all parties have signed it. The date of the Project-Specific Agreement will be the date the Project-Specific Agreement is signed by the last party to sign it. This instrument constitutes a Grant when the FHWA’s authorized representative signs it.