



U.S. Department
of Transportation
**Federal Highway
Administration**

Notice

Subject:

**APPORTIONMENT OF FEDERAL-AID HIGHWAY PROGRAM
FUNDS FOR FISCAL YEAR (FY) 2021**

Classification Code	Date	Office of Primary Interest
N4510.847	October 9, 2020	HCFB-10

1. **What is the purpose of this Notice?** This Notice transmits the certificate of apportionment for apportioned Federal-aid highway program funds authorized for FY 2021 pursuant to the Continuing Appropriations Act, 2021 and Other Extensions Act, Public Law (Pub. L.) 116-159. The apportionments made available by this Notice are for the following programs: National Highway Performance Program, Surface Transportation Block Grant Program, Highway Safety Improvement Program, Railway-Highway Crossings Program, Congestion Mitigation and Air Quality Improvement Program, National Highway Freight Program, and Metropolitan Planning Program. The apportionments are effective immediately.
2. **What is the availability of these funds?**
 - a. The funds resulting from this apportionment are available for obligation until September 30, 2024. Any amounts not obligated by the State on or before September 30, 2024, shall lapse.
 - b. The funds resulting from this apportionment are available for obligation immediately and shall be subject to obligation controls in force at the time of obligation.
 - c. For FY 2021, an amount of National Highway Performance Program funds equal to \$639,000,000 prior to sequestration (\$602,577,000 after sequestration) that is exempt from obligation controls is provided. See FHWA Notice N4510.848 for additional information on sequestration.
 - d. The Federal share payable shall be in accordance with section 120 of title 23, United States Code (U.S.C.), except as specifically provided by another provision of law.
 - e. The program codes to be used when obligating these funds will be provided in a separate memorandum.
 - f. The Catalog of Federal Domestic Assistance (CFDA) number for these funds is 20.205, with the exception that the CFDA number for the Recreational Trails Program is 20.219.

3. **What amounts are authorized and available for apportionment?**

- a. The Continuing Appropriations Act, 2021 and Other Extensions Act extends the surface transportation programs, including the Federal-aid highway program, under the Fixing America's Surface Transportation (FAST) Act (Pub. L. 114-94) and title 23, U.S.C., through September 30, 2021.
- b. Section 1101(a) of the Continuing Appropriations Act, 2021 and Other Extensions Act incorporates by reference and continues in effect through September 30, 2021 the requirements, authorities, conditions, eligibilities, limitations, and other provisions authorized under the covered laws, which would have otherwise expired or ceased to apply after September 30, 2020.
- c. Pursuant to section 1101(e)(1) of the Continuing Appropriations Act, 2021 and Other Extensions Act, the covered laws are:
 - (1) Titles I, II, III, IV, V, VI, VII, VIII, XI, and XXIV of the FAST Act (Pub. L. 114-94);
 - (2) Division A, division B, subtitle A of title I and title II of division C, and division E of the Moving Ahead for Progress in the 21st Century Act (MAP-21) (Pub. L. 112-141);
 - (3) Titles I, II, and III of the SAFETEA-LU Technical Corrections Act of 2008 (Pub. L. 110-244);
 - (4) Titles I, II, III, IV, V, and VI of SAFETEA-LU (Pub. L. 109-59);
 - (5) Titles I, II, III, IV, and V of the Transportation Equity Act for the 21st Century (Pub. L. 105-178);
 - (6) Titles II, III, and IV of the National Highway System Designation Act of 1995 (Pub. L. 104-59);
 - (7) Titles I, II, III, IV, V, and VI of the Intermodal Surface Transportation Efficiency Act of 1991 (Pub. L. 102-240);
 - (8) Title 23, U.S.C.; and
 - (9) Sections 116, 117, 330, 5128, 5505, and 24905 and chapters 53, 139, 303, 311, 313, 701, and 702 of title 49, U.S.C.
- d. Pursuant to section 1101(b)(1)(A) of the Continuing Appropriations Act, 2021 and Other Extensions Act, there is authorized to be appropriated out of the Highway Account of the Highway Trust Fund for FY 2021 an amount equal to the

amount authorized for appropriation for each program from such account under the covered laws for FY 2020.

- e. Pursuant to section 1101(c) of the Continuing Appropriations Act, 2021 and Other Extensions Act, the funds authorized to be appropriated for FY 2021 for each program, shall be distributed, administered, limited, and made available for obligation in the same manner as amounts authorized to be appropriated with respect to the program under the covered laws for FY 2020.
 - f. Pursuant to section 1101(b)(1)(A) of the Continuing Appropriations Act, 2021 and Other Extensions Act, a total of \$43,373,294,311 is authorized for the Federal-aid highway apportioned programs for FY 2021.
 - g. Of the amount authorized for the apportioned programs, section 104(h)(1) of title 23, U.S.C., as continued by the Continuing Appropriations Act, 2021 and Other Extensions Act, reserves a total amount of \$66,717,816 as supplemental funds for the National Highway Performance Program.
 - h. Of the amount authorized for the apportioned programs, section 104(h)(2) of title 23, U.S.C., as continued by the Continuing Appropriations Act, 2021 and Other Extensions Act, reserves a total amount of \$1,020,053,448 as supplemental funds for the Surface Transportation Block Grant Program (of which \$850,000,000 is for the Transportation Alternatives set-aside under section 133(h) of title 23, U.S.C.).
 - i. After the reservation of supplemental funds for the National Highway Performance Program and the Surface Transportation Block Grant Program, the remaining available amount of \$42,286,523,047 is the base apportionment amount.
4. **How are the State-by-State amounts determined?**
- a. Pursuant to section 104(c)(1)(A) of title 23, U.S.C., as continued by the Continuing Appropriations Act, 2021 and Other Extensions Act, the initial amounts of the FY 2021 base apportionment, National Highway Performance Program supplemental funds, and Surface Transportation Block Grant Program supplemental funds for a State are calculated by multiplying the total amount available for each by the ratio of that State's FY 2015 combined amount of apportionments to the total FY 2015 combined amount of apportionments for all States.
 - b. Pursuant to section 104(c)(1)(B) of title 23, U.S.C., as continued by the Continuing Appropriations Act, 2021 and Other Extensions Act, the initial amounts are then adjusted to ensure that each State's aggregate amount of apportionments for the base apportionment and supplemental funds is not less than 95 percent of the estimated tax payments attributable to highway users in

that State paid into the Highway Trust Fund (other than the Mass Transit Account) in the most recent fiscal year for which data is available.

5. How are the program-by-program amounts determined?

- a. From each State's total base apportionment determined under section 104(c)(1) of title 23, U.S.C., as continued by the Continuing Appropriations Act, 2021 and Other Extensions Act, an amount is set aside for the National Highway Freight Program. Pursuant to section 104(b)(5) of title 23, U.S.C., as continued by the Continuing Appropriations Act, 2021 and Other Extensions Act, the set-aside amount for each State is calculated by multiplying the total FY 2021 set-aside amount of \$1,500,000,000 for all States by the ratio of that State's total base apportionment to the total base apportionments for all States.
- b. Of the amount set aside within a State for the National Highway Freight Program, an amount is made available for the Metropolitan Planning Program. This amount is calculated by multiplying the National Highway Freight Program set-aside amount for each State by the ratio of that State's FY 2009 apportionment for the Metropolitan Planning Program to that State's total FY 2009 apportionments.
- c. Pursuant to section 104(b)(4) of title 23, U.S.C., of a State's base apportionment amount remaining after the set-aside for the National Highway Freight Program, an amount is distributed for the Congestion Mitigation and Air Quality Improvement Program. This amount is calculated by multiplying the remaining amount of the base apportionment to the State by the ratio of that State's FY 2009 apportionment for the Congestion Mitigation and Air Quality Improvement Program to that State's total FY 2009 apportionments.
- d. Pursuant to section 104(b)(6) of title 23, U.S.C., of a State's base apportionment amount remaining after the set-aside for the National Highway Freight Program, an amount is distributed for the Metropolitan Planning Program. This amount is calculated by multiplying the remaining amount of the base apportionment to the State by the ratio of that State's FY 2009 apportionment for the Metropolitan Planning Program to that State's total FY 2009 apportionments. The resulting amount is added to the amount of Metropolitan Planning Program funding made available from the National Highway Freight Program, as described above.
- e. After making the set-aside for the National Highway Freight Program and distributing the amounts for the Congestion Mitigation and Air Quality Improvement Program and the Metropolitan Planning Program, pursuant to paragraphs (1) through (3) of section 104(b) of title 23, U.S.C., the remainder of the base apportionment to a State is distributed in the following proportions: 63.7 percent for the National Highway Performance Program,

29.3 percent for the Surface Transportation Block Grant Program, and 7 percent for the Highway Safety Improvement Program.

- f. The National Highway Performance Program supplemental funds for a State are treated as if apportioned under section 104(b)(1) of title 23, U.S.C. The supplemental funds amount is added to that State's National Highway Performance Program amount calculated from the base apportionment.
- g. The Surface Transportation Block Grant Program supplemental funds for a State are treated as if apportioned under section 104(b)(2) of title 23, U.S.C. The supplemental funds amount is added to that State's Surface Transportation Block Grant Program amount calculated from the base apportionment.
- h. Section 130(e)(1) of title 23, U.S.C., as continued by the Continuing Appropriations Act, 2021 and Other Extensions Act, instructs that a total of \$245,000,000 for FY 2021 shall be set aside from the Highway Safety Improvement Program prior to the apportionment of that program for the Railway-Highway Crossings Program. The Railway-Highway Crossings Program amount for each State is determined by distributing the \$245,000,000 total amount according to the formula in section 130(f) of title 23, U.S.C. The resulting Railway-Highway Crossings Program amount for a State is then set aside from that State's initial Highway Safety Improvement Program amount.
- i. Pursuant to section 1519(a) of MAP-21, as amended by the FAST Act, as continued by the Continuing Appropriations Act, 2021 and Other Extensions Act, a total of \$3,500,000 for FY 2021 is set aside from the Highway Safety Improvement Program prior to the apportionment of that program to carry out safety-related activities and operate authorized safety-related clearinghouses under such section. The set-aside is made on a proportional basis from each State's initial Highway Safety Improvement Program amount.
- j. A State's official Highway Safety Improvement Program apportionment is the initial Highway Safety Improvement Program amount net of the Railway-Highway Crossings Program set-aside amount and the set-aside amount under section 1519(a) of MAP-21. In addition, the \$3,500,000 amount set aside under section 1519(a) of MAP-21 is classified as allocated funding for safety-related activities and clearinghouses and, therefore, does not appear in the table of State-by-State apportionments.
- k. Table 1 shows the State-by-State, program-by-program FY 2021 apportionment amounts (before post-apportionment set-asides, before penalties, and before sequestration) available under the Continuing Appropriations Act, 2021 and Other Extensions Act.

6. **Are certain States subject to transfer or withholding penalties?** Yes. Currently, the States that are listed under the following requirements are subject to transfer or withholding penalties.

a. **Open Container Requirements – 23 U.S.C. 154 – 2.5 percent**

Funds subject to transfer penalty: National Highway Performance Program and Surface Transportation Block Grant Program.

Alaska, Connecticut, Delaware, Hawaii, Louisiana, Maine, Mississippi, Missouri, Tennessee, Virginia, and Wyoming

The funds subject to transfer for a State in noncompliance with section 154 of title 23, U.S.C., are reserved and then, in the proportions elected by the State, released for use on eligible Highway Safety Improvement Program activities under section 148 of title 23, U.S.C., or transferred to the State's Highway Safety Program under section 402 of title 23, U.S.C.

Each State whose funds are reserved for noncompliance with section 154 of title 23, U.S.C., is afforded 30 days to submit documentation showing why it is in compliance. Documentation must be submitted to the appropriate National Highway Traffic Safety Administration (NHTSA) Regional Administrator. If such documentation is provided, a reservation will remain in place on the State's affected funds while the agencies consider the information.

Table 2 shows the transfer penalty amounts under section 154 of title 23, U.S.C., subject to section 154(c)(5), which authorizes a State to designate how the total transfer penalty amount is to be derived from the National Highway Performance Program and the Surface Transportation Block Grant Program.

b. **Minimum Penalties for Repeat Offenders for Driving While Intoxicated or Driving Under the Influence – 23 U.S.C. 164 – 2.5 percent**

Funds subject to transfer penalty: National Highway Performance Program and Surface Transportation Block Grant Program.

Alabama, Alaska, California, Colorado, Hawaii, Indiana, Louisiana, Minnesota, Montana, Nevada, New Mexico, North Dakota, Ohio, Oklahoma, Oregon, Rhode Island, South Carolina, South Dakota, Vermont, Washington, and Wyoming

The funds subject to transfer for a State in noncompliance with section 164 of title 23, U.S.C., are reserved and then, in the proportions elected by the State, released for use on eligible Highway Safety Improvement Program activities under section 148 of title 23, U.S.C., or transferred to the State's Highway Safety Program under section 402 of title 23, U.S.C.

Each State whose funds are reserved for noncompliance with section 164 of title 23, U.S.C., is afforded 30 days to submit documentation showing why it is in compliance. This documentation may include a “general practice” certification pursuant to section 1275.5 of title 23, Code of Federal Regulations.

Documentation must be submitted to the appropriate NHTSA Regional Administrator. If such documentation is provided, a reservation will remain in place on the State's affected funds while the agencies consider the information.

Table 3 shows the transfer penalty amounts under section 164 of title 23, U.S.C., subject to section 164(b)(5), which authorizes a State to designate how the total transfer penalty amount is to be derived from the National Highway Performance Program and the Surface Transportation Block Grant Program.

c. **Commercial Drivers’ License – 49 U.S.C. 31314 – up to 4 percent**

Funds subject to withholding penalty: National Highway Performance Program and Surface Transportation Block Grant Program.

Florida

A State in noncompliance with section 31314 of title 49, U.S.C., is subject to withholding up to 4 percent of funds subject to the withholding penalty for the first instance of noncompliance. For subsequent instances of noncompliance, a State is subject to withholding of not more than 8 percent of funds subject to the withholding penalty. The Federal Motor Carrier Safety Administration has assessed a total penalty of \$450,000 (about 0.025 percent) proportionally split between the two programs for FY 2021. The penalty funds for a State under section 31314 of title 49, U.S.C., are withheld from apportionment and lapse immediately.

Table 4 shows the withholding penalty amounts under section 31314 of title 49, U.S.C.

7. **What other amounts are related to these apportionments?** Supplementary tables showing other amounts related to these apportionments will be issued at a later date via a separate notice. The amounts shown in the supplementary tables will include set-asides (State Planning & Research, Transportation Alternatives, etc.), suballocations for the Surface Transportation Block Grant Program and the Transportation Alternatives set-aside, and various limiting amounts.

8. **What action is required?** Division Administrators should ensure that copies of this Notice are provided to the State departments of transportation.

A handwritten signature in black ink, appearing to read 'n.r.n.', with a long horizontal flourish extending to the right.

Nicole R. Nason
Administrator

Attachments

**CERTIFICATE OF APPORTIONMENT FROM THE SUM OF \$43,373,294,311
AUTHORIZED TO BE APPROPRIATED FOR APPORTIONED FEDERAL-AID
HIGHWAY PROGRAMS FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2021**

TO—

The Secretary of the Treasury of the United States and the State departments of transportation:

Pursuant to section 9503 of the Internal Revenue Code of 1986, the Fixing America's Surface Transportation Act, title 23 of the United States Code (U.S.C.), and the Continuing Appropriations Act, 2021 and Other Extensions Act, I certify—

First, that the Secretary of the Treasury has made the estimate required by section 9503(d) of the Internal Revenue Code of 1986 and, based on that estimate, I have determined that the amount that can be apportioned for the Federal-aid highway program under the Continuing Appropriations Act, 2021 and Other Extensions Act, Public Law 116-159, is \$43,373,294,311, which is 100 percent of the amount authorized to be appropriated from the Highway Trust Fund (other than the Mass Transit Account) for Fiscal Year 2021.

Second, that I have computed the apportionment to each State and the District of Columbia of the amounts authorized to be appropriated for the apportioned Federal-aid highway programs and further computed the distribution among the programs within each State and the District of Columbia in the manner provided by law.

Third, pursuant to section 1519(a) of the Moving Ahead for Progress in the 21st Century Act, Public Law 112-141, as amended by the Fixing America's Surface Transportation Act, as continued by the Continuing Appropriations Act, 2021 and Other Extensions Act, I have set aside \$3,500,000 to carry out safety-related activities and operate authorized safety-related clearinghouses under such section. Such amount is set aside from the Highway Safety Improvement Program prior to the apportionment of that program under section 104(b)(3) of title 23, U.S.C. The resulting amount for all apportioned Federal-aid highway programs after such set-aside is \$43,369,794,311.

Fourth, that in compliance with section 154 of title 23, U.S.C., the Open Container Requirements, 2.5 percent of the National Highway Performance Program and Surface Transportation Block Grant Program apportionments for the States of Alaska, Connecticut, Delaware, Hawaii, Louisiana, Maine, Mississippi, Missouri, Tennessee, Virginia, and Wyoming are reserved for release for use on eligible Highway Safety Improvement Program activities or transfer to the States' 402 Safety Programs pending the outcome of the documentation review process.

Fifth, that in compliance with section 164 of title 23, U.S.C., the Minimum Penalties for Repeat Offenders for Driving While Intoxicated or Driving Under the Influence, 2.5 percent of the National Highway Performance Program and Surface Transportation Block Grant Program

apportionments for the States of Alabama, Alaska, California, Colorado, Hawaii, Indiana, Louisiana, Minnesota, Montana, Nevada, New Mexico, North Dakota, Ohio, Oklahoma, Oregon, Rhode Island, South Carolina, South Dakota, Vermont, Washington, and Wyoming are reserved for release for use on eligible Highway Safety Improvement Program activities or transfer to the States' 402 Safety Programs pending the outcome of the documentation and "general practice" certification review processes.

Sixth, that in compliance with section 31314 of title 49, U.S.C., the Withholding Amounts for State Noncompliance, a total penalty of \$450,000 (about 0.025 percent) proportionally split between the National Highway Performance Program and Surface Transportation Block Grant Program apportionments for the State of Florida is withheld and lapse immediately.

Seventh, subject to the above-mentioned penalties, that the sums that are hereby apportioned to each State and the District of Columbia, effective immediately, are respectively as follows:

U.S. DEPARTMENT OF TRANSPORTATION
FEDERAL HIGHWAY ADMINISTRATION

N4510.847 - TABLE 1

FY 2021 FEDERAL-AID HIGHWAY PROGRAM APPORTIONMENTS UNDER THE
CONTINUING APPROPRIATIONS ACT, 2021 AND OTHER EXTENSIONS ACT
(before post-apportionment set-asides; before penalties; before sequestration)

State	National Highway Program	Surface Transportation Block Grant Program	Highway Safety Improvement Program ¹	Railway-Highway Crossings Program	Congestion Mitigation & Air Quality Improvement	Metropolitan Planning	National Highway Freight Program	Apportioned Total
Alabama	489,057,720	243,898,019	48,505,941	5,025,635	12,157,020	3,295,649	28,608,817	830,548,801
Alaska	309,502,162	154,883,293	32,648,742	1,225,000	29,326,116	2,430,379	18,898,712	548,914,404
Arizona	441,990,474	221,573,735	45,384,486	2,986,674	55,283,905	6,272,087	27,480,113	800,971,474
Arkansas	330,920,921	165,143,008	32,214,975	4,006,358	13,122,535	1,841,569	19,537,808	566,787,174
California	2,065,197,056	1,041,584,831	209,244,649	16,722,721	494,547,568	53,627,977	137,064,092	4,017,988,894
Colorado	323,035,412	162,092,745	31,666,117	3,685,549	45,588,320	5,733,580	20,274,286	592,076,009
Connecticut	297,160,237	149,227,500	31,135,546	1,383,571	47,146,394	4,946,718	18,841,449	549,841,415
Delaware	102,130,367	51,201,212	9,952,066	1,225,000	12,427,468	1,909,952	6,337,038	185,183,103
Dist. of Col.	96,882,324	48,547,545	9,377,892	1,225,000	10,765,095	1,902,414	5,973,842	174,674,112
Florida	1,222,859,875	609,793,266	124,132,158	9,720,817	14,490,389	22,192,585	70,949,854	2,074,138,944
Georgia	797,711,524	399,167,860	78,486,589	8,819,877	72,409,836	8,327,758	48,592,911	1,413,516,355
Hawaii	103,020,480	51,610,021	10,049,756	1,225,000	11,038,866	1,874,527	6,337,362	185,156,012
Idaho	178,291,768	89,151,519	17,568,027	1,945,798	13,655,161	1,735,417	10,767,964	313,115,654
Illinois	848,808,799	425,930,977	81,480,373	11,409,653	117,323,659	18,289,180	53,182,082	1,556,424,723
Indiana	591,059,647	295,664,705	56,759,078	7,930,846	50,209,180	5,610,157	35,878,403	1,043,112,016
Iowa	314,156,208	156,775,367	28,690,582	5,695,760	12,036,871	2,126,073	18,532,534	538,013,395
Kansas	240,721,028	120,161,456	19,799,390	6,548,773	10,141,128	2,087,785	14,234,115	413,693,675
Kentucky	425,888,545	212,488,377	42,692,780	3,923,695	14,598,887	2,715,287	25,060,747	727,368,318
Louisiana	450,860,707	224,909,384	44,913,344	4,436,791	12,197,963	4,608,168	26,410,564	768,336,921
Maine	113,165,493	56,662,489	11,103,581	1,281,686	10,973,211	1,974,506	6,919,162	202,080,128
Maryland	354,091,463	177,878,261	36,253,346	2,495,470	57,221,232	7,432,775	22,489,269	657,861,816
Massachusetts	350,349,881	176,317,270	35,638,148	2,698,862	67,584,622	9,634,967	22,653,762	664,877,512
Michigan	635,196,541	318,464,165	61,274,610	8,240,646	78,864,963	11,099,582	39,470,767	1,152,611,274
Minnesota	403,849,630	202,042,756	37,608,658	6,591,431	34,341,908	4,900,889	24,515,628	713,850,900
Mississippi	309,256,838	154,326,675	30,099,573	3,750,530	11,955,729	1,822,690	18,247,448	529,459,483
Missouri	603,013,262	301,009,061	59,974,641	6,028,276	25,119,050	5,571,322	35,646,402	1,036,362,014
Montana	258,475,329	129,136,967	26,235,630	2,054,997	15,864,796	1,927,001	15,466,500	449,161,220
Nebraska	181,967,101	90,917,490	16,033,968	3,882,649	10,963,497	1,776,501	10,880,872	316,422,078
Nevada	214,475,371	107,720,182	22,187,790	1,282,767	34,708,026	3,518,581	13,623,767	397,516,484
New Hampshire	100,566,451	50,383,586	9,781,160	1,225,000	11,028,724	1,694,445	6,195,687	180,875,053
New Jersey	577,612,989	290,618,492	59,192,541	4,013,247	110,928,002	13,343,614	37,330,681	1,093,039,566
New Mexico	232,641,129	116,178,504	23,613,025	1,850,379	12,162,475	1,725,231	13,843,003	402,013,746
New York	962,821,656	484,786,450	98,635,985	6,718,958	195,222,136	26,767,484	62,604,446	1,837,557,115
North Carolina	647,107,127	323,694,858	63,599,423	7,224,798	54,617,380	6,234,758	39,267,634	1,141,745,978
North Dakota	154,986,170	77,488,827	13,012,975	3,950,147	11,211,082	1,799,620	9,336,213	271,785,034
Ohio	808,679,992	405,442,047	79,037,963	9,463,146	102,044,238	12,416,538	50,311,245	1,467,395,169
Oklahoma	407,306,470	203,186,590	38,833,273	5,749,490	12,527,098	2,771,419	23,914,664	694,289,004
Oregon	313,079,363	156,489,066	31,000,607	3,266,010	20,674,414	3,879,958	18,787,211	547,176,629
Pennsylvania	999,290,044	500,616,733	102,220,665	7,143,499	111,362,574	13,902,983	61,629,513	1,796,166,011
Rhode Island	135,488,258	67,781,950	13,603,783	1,225,000	11,115,219	1,990,474	8,209,861	239,414,545
South Carolina	429,305,439	214,189,785	42,219,172	4,771,331	13,959,816	3,376,187	25,234,011	733,055,741
South Dakota	175,928,872	87,964,515	16,652,781	2,602,468	13,072,380	1,894,108	10,610,501	308,725,625
Tennessee	527,289,460	263,639,843	52,395,682	5,315,689	39,474,294	5,152,615	31,812,353	925,079,936
Texas	2,420,268,939	1,210,665,173	244,070,815	20,821,416	198,265,172	29,647,329	146,645,223	4,270,384,067
Utah	217,403,014	108,670,309	21,896,407	1,898,379	13,768,085	3,473,397	13,024,232	380,133,823
Vermont	124,018,629	62,113,118	12,347,954	1,225,000	12,623,783	2,246,963	7,604,679	222,180,126
Virginia	626,813,687	313,727,874	63,742,477	4,859,316	58,525,328	8,103,490	38,242,188	1,114,014,360
Washington	415,814,307	208,191,234	41,014,828	4,493,554	39,378,678	7,848,375	25,389,127	742,130,103
West Virginia	276,490,010	138,090,456	28,073,855	2,188,860	15,263,204	1,824,547	16,481,844	478,412,776
Wisconsin	473,105,668	236,404,394	45,462,588	6,319,481	29,196,508	4,900,471	28,315,100	823,704,210
Wyoming	160,323,594	80,141,576	16,322,416	1,225,000	11,104,548	1,694,573	9,639,679	280,451,386
Apportioned Total	24,239,437,431	12,138,745,516	2,407,842,811	245,000,000	2,493,588,533	357,874,655	1,487,305,365	43,369,794,311

¹ Amount is net of the \$3,500,000 takedown for safety-related programs.

APPROVED EFFECTIVE: October 9, 2020



FEDERAL HIGHWAY ADMINISTRATOR

U.S. DEPARTMENT OF TRANSPORTATION
FEDERAL HIGHWAY ADMINISTRATION

N4510.847 - TABLE 2

FY 2021 PENALTIES ASSESSED PURSUANT TO 23 U.S.C. 154
(before election of penalty shifts by States)

23 U.S.C. 154 Penalty Amounts (Open Container Requirements)			
<u>State</u>	<u>National Highway Performance Program</u>	<u>Surface Transportation Block Grant Program</u>	<u>Total</u>
Alabama	0	0	0
Alaska	7,737,554	3,872,082	11,609,636
Arizona	0	0	0
Arkansas	0	0	0
California	0	0	0
Colorado	0	0	0
Connecticut	7,429,006	3,730,688	11,159,694
Delaware	2,553,259	1,280,030	3,833,289
Dist. of Col.	0	0	0
Florida	0	0	0
Georgia	0	0	0
Hawaii	2,575,512	1,290,251	3,865,763
Idaho	0	0	0
Illinois	0	0	0
Indiana	0	0	0
Iowa	0	0	0
Kansas	0	0	0
Kentucky	0	0	0
Louisiana	11,271,518	5,622,735	16,894,253
Maine	2,829,137	1,416,562	4,245,699
Maryland	0	0	0
Massachusetts	0	0	0
Michigan	0	0	0
Minnesota	0	0	0
Mississippi	7,731,421	3,858,167	11,589,588
Missouri	15,075,332	7,525,227	22,600,559
Montana	0	0	0
Nebraska	0	0	0
Nevada	0	0	0
New Hampshire	0	0	0
New Jersey	0	0	0
New Mexico	0	0	0
New York	0	0	0
North Carolina	0	0	0
North Dakota	0	0	0
Ohio	0	0	0
Oklahoma	0	0	0
Oregon	0	0	0
Pennsylvania	0	0	0
Rhode Island	0	0	0
South Carolina	0	0	0
South Dakota	0	0	0
Tennessee	13,182,237	6,590,996	19,773,233
Texas	0	0	0
Utah	0	0	0
Vermont	0	0	0
Virginia	15,670,342	7,843,197	23,513,539
Washington	0	0	0
West Virginia	0	0	0
Wisconsin	0	0	0
Wyoming	4,008,090	2,003,539	6,011,629
Total	90,063,408	45,033,474	135,096,882

U.S. DEPARTMENT OF TRANSPORTATION
FEDERAL HIGHWAY ADMINISTRATION

N4510.847 - TABLE 3

FY 2021 PENALTIES ASSESSED PURSUANT TO 23 U.S.C. 164
(before election of penalty shifts by States)

State	23 U.S.C. 164 Penalty Amounts (Minimum Penalties for DWI or DUI Repeat Offenders)		Total
	National Highway Performance Program	Surface Transportation Block Grant Program	
Alabama	12,226,443	6,097,450	18,323,893
Alaska	7,737,554	3,872,082	11,609,636
Arizona	0	0	0
Arkansas	0	0	0
California	51,629,926	26,039,621	77,669,547
Colorado	8,075,885	4,052,319	12,128,204
Connecticut	0	0	0
Delaware	0	0	0
Dist. of Col.	0	0	0
Florida	0	0	0
Georgia	0	0	0
Hawaii	2,575,512	1,290,251	3,865,763
Idaho	0	0	0
Illinois	0	0	0
Indiana	14,776,491	7,391,618	22,168,109
Iowa	0	0	0
Kansas	0	0	0
Kentucky	0	0	0
Louisiana	11,271,518	5,622,735	16,894,253
Maine	0	0	0
Maryland	0	0	0
Massachusetts	0	0	0
Michigan	0	0	0
Minnesota	10,096,241	5,051,069	15,147,310
Mississippi	0	0	0
Missouri	0	0	0
Montana	6,461,883	3,228,424	9,690,307
Nebraska	0	0	0
Nevada	5,361,884	2,693,005	8,054,889
New Hampshire	0	0	0
New Jersey	0	0	0
New Mexico	5,816,028	2,904,463	8,720,491
New York	0	0	0
North Carolina	0	0	0
North Dakota	3,874,654	1,937,221	5,811,875
Ohio	20,217,000	10,136,051	30,353,051
Oklahoma	10,182,662	5,079,665	15,262,327
Oregon	7,826,984	3,912,227	11,739,211
Pennsylvania	0	0	0
Rhode Island	3,387,206	1,694,549	5,081,755
South Carolina	10,732,636	5,354,745	16,087,381
South Dakota	4,398,222	2,199,113	6,597,335
Tennessee	0	0	0
Texas	0	0	0
Utah	0	0	0
Vermont	3,100,466	1,552,828	4,653,294
Virginia	0	0	0
Washington	10,395,358	5,204,781	15,600,139
West Virginia	0	0	0
Wisconsin	0	0	0
Wyoming	4,008,090	2,003,539	6,011,629
Total	214,152,643	107,317,756	321,470,399

U.S. DEPARTMENT OF TRANSPORTATION
 FEDERAL HIGHWAY ADMINISTRATION

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FY 2021 PENALTIES ASSESSED PURSUANT TO 49 U.S.C. 31314

<u>State</u>	49 U.S.C. 31314 Penalty Amounts* (Commercial Drivers' License)		<u>Total</u>
	<u>National Highway Performance Program</u>	<u>Surface Transportation Block Grant Program</u>	
Alabama	0	0	0
Alaska	0	0	0
Arizona	0	0	0
Arkansas	0	0	0
California	0	0	0
Colorado	0	0	0
Connecticut	0	0	0
Delaware	0	0	0
Dist. of Col.	0	0	0
Florida	300,268	149,732	450,000
Georgia	0	0	0
Hawaii	0	0	0
Idaho	0	0	0
Illinois	0	0	0
Indiana	0	0	0
Iowa	0	0	0
Kansas	0	0	0
Kentucky	0	0	0
Louisiana	0	0	0
Maine	0	0	0
Maryland	0	0	0
Massachusetts	0	0	0
Michigan	0	0	0
Minnesota	0	0	0
Mississippi	0	0	0
Missouri	0	0	0
Montana	0	0	0
Nebraska	0	0	0
Nevada	0	0	0
New Hampshire	0	0	0
New Jersey	0	0	0
New Mexico	0	0	0
New York	0	0	0
North Carolina	0	0	0
North Dakota	0	0	0
Ohio	0	0	0
Oklahoma	0	0	0
Oregon	0	0	0
Pennsylvania	0	0	0
Rhode Island	0	0	0
South Carolina	0	0	0
South Dakota	0	0	0
Tennessee	0	0	0
Texas	0	0	0
Utah	0	0	0
Vermont	0	0	0
Virginia	0	0	0
Washington	0	0	0
West Virginia	0	0	0
Wisconsin	0	0	0
Wyoming	0	0	0
Total	300,268	149,732	450,000

* Penalty amounts are withheld and lapse immediately.