

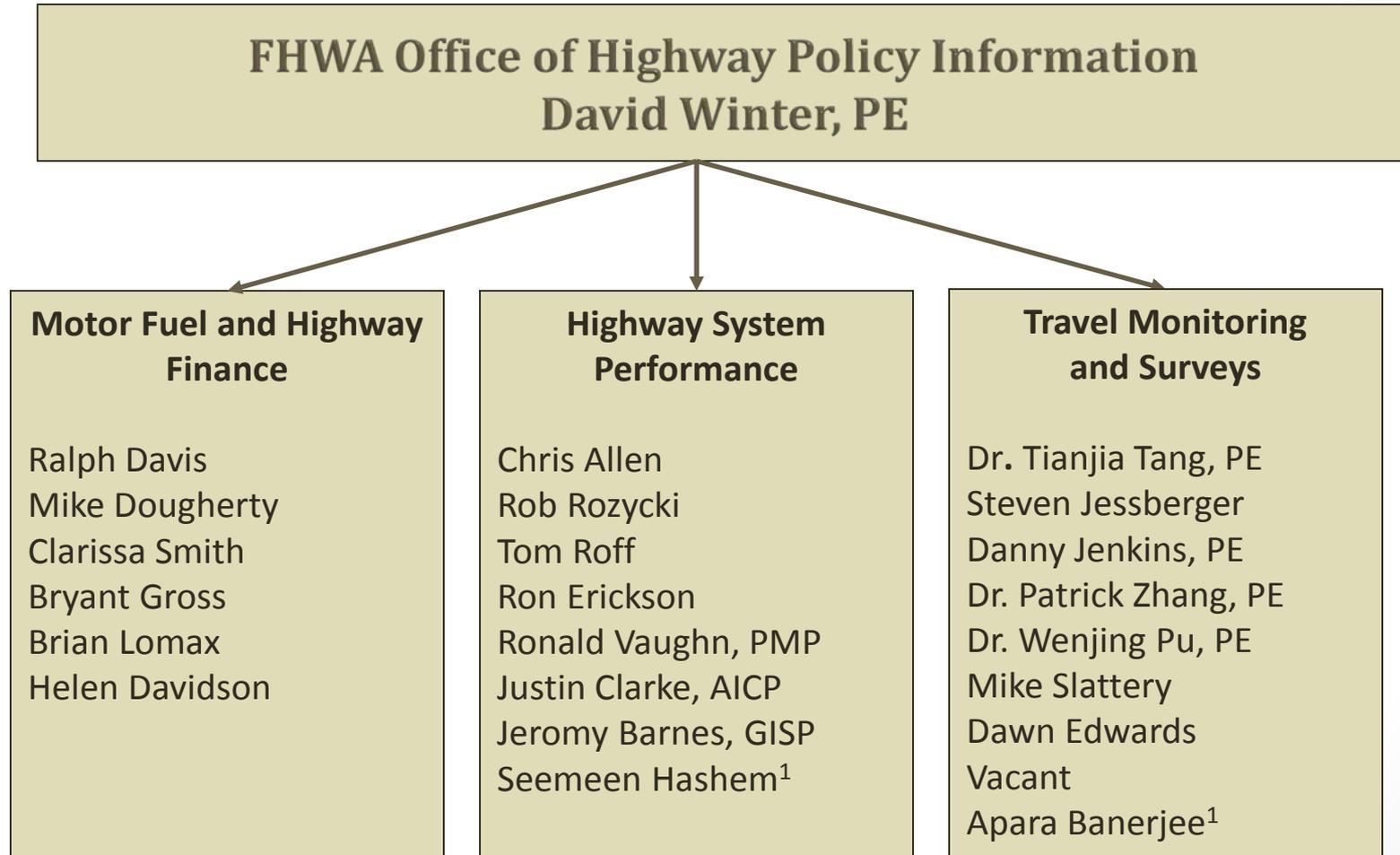
Demo of the FHWA541 Form



Presenter: Clarissa Smith
November 14, 2017

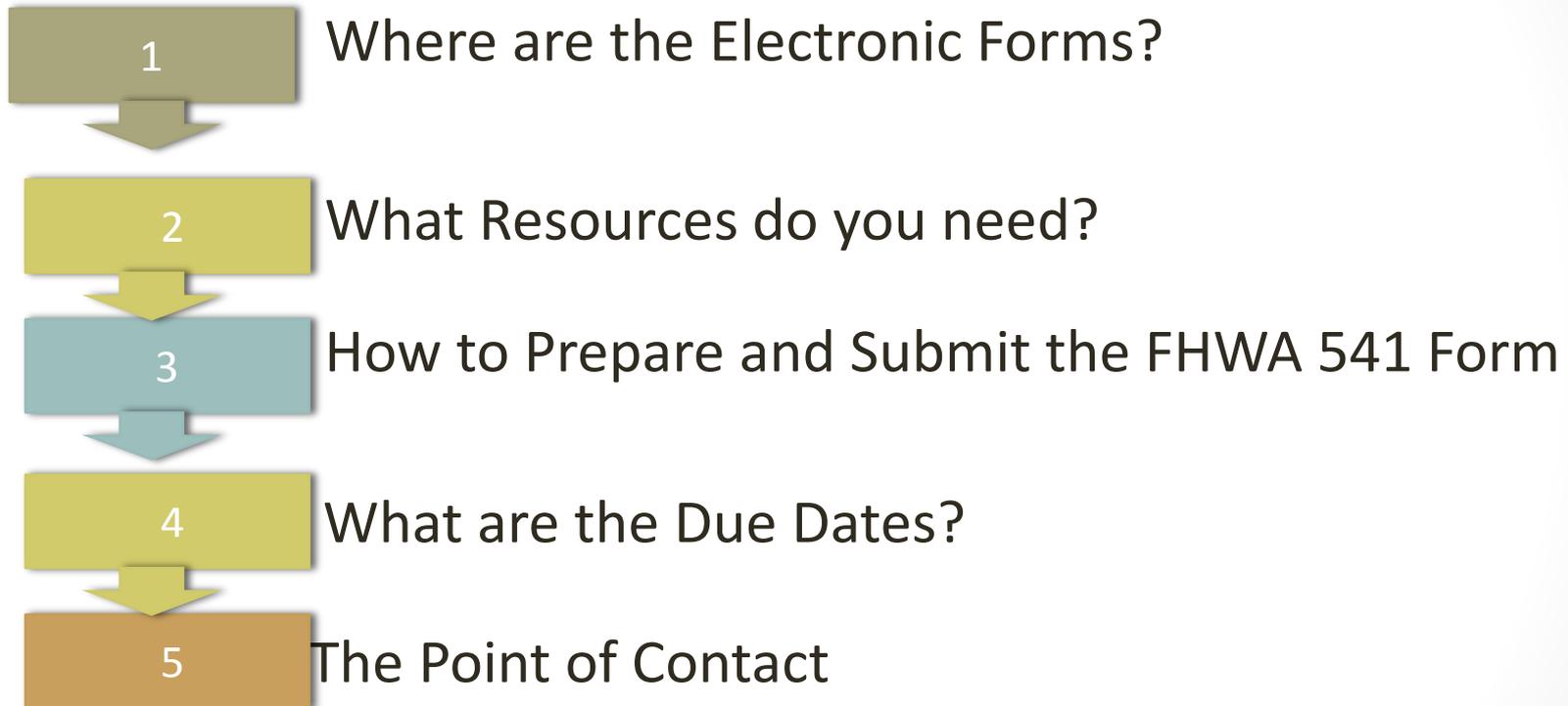


Office Organizational Chart



FHWA 541

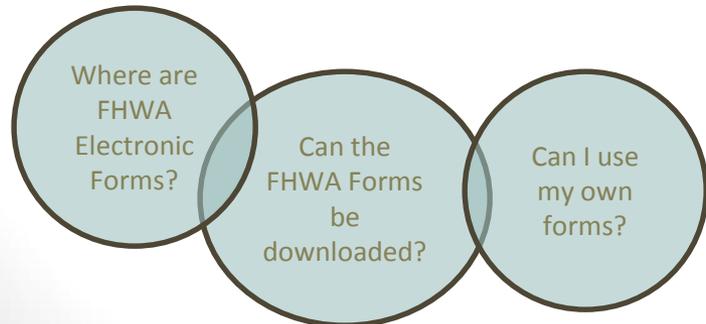
Training Agenda



FHWA 541 Electronic Forms

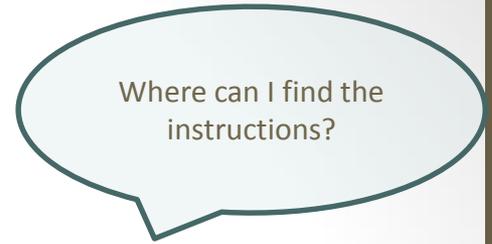
The electronic files of the FHWA 541 & 542 State Transportation Obligations Issued During Year and Allotment of Proceeds & Status of State Transportation Debt <http://www.fhwa.dot.gov/policy/ohpi/hss/formlist.htm>

The FHWA 541 form will be in UPACS in the Policy Information Data Portal.



Resources

What Resources would you need?



GUIDE TO REPORTING HIGHWAY STATISTICS

<http://www.fhwa.dot.gov/policy/ohpi/hss/guide.htm>

Chapter 9 Bonds Issued & Disposition of Proceeds

Bond Proceeds

FHWA 541- State Transportation Obligations Issued During Year & Allotment of Proceeds

http://www.fhwa.dot.gov/ohim/hsguide/541.xls - Microsoft Internet Explorer

File Edit View Insert Format Tools Data Go To Favorites Help

Address http://www.fhwa.dot.gov/ohim/hsguide/541.xls

YEAR	A	B	C	D	E	F	G	H
1	This public report burden for this information collection is estimated to average 5 hours.				OMB No. 2125-0032			
2	STATE TRANSPORTATION OBLIGATIONS ISSUED DURING YEAR AND ALLOTMENT OF PROCEEDS			STATE				
4				YEAR ENDING (mm/yy)				
5	DESCRIPTION OF ISSUE							
9	ITEM	TOTAL				I T E M		
13	1. Statute Authorizing Issue					1.		
14	2. Total Bonds Authorized					2.		
15	3. Total Bonds Sold to End of Year					3.		
16	4. Posted Interest Rate(s) Percent					4.		
17	5. Source of Funds For Debt Service					5.		
18	6. Nominal Date of Issue					6.		
19	7. Date of Sale					7.		
20	8. Proceeds of Sales During Year:					8.		
21	A. Par Value					8.A.		
22	B. Premium (+) or Discount(-)					8.B.		
23	C. Accrued Interest					8.C.		
24	D. Total (A To C)					8.D.		
25	9. Allotment of Proceeds of Sales:					9.		
26	A. Allotments For Highways and Mass Transit					9.A		
27	(1). For Construction of State Highways					9.A.1		
28	(2). For Construction of Local Roads/Streets					9.A.2		
29	(3). For Construction of Toll Facilities					9.A.3		
30	(4). For Construction of Mass Transit Facilities					9.A.4		
31	(5). Other (specify)					9.A.5		
32	(6). For Debt Service					9.A.6		
33	(a) For Bond Sale & Other Admin Costs					9.A.6.a		
34	(b) For Interest					9.A.6.b		
35	(c) For Redemption					9.A.6.c		

Start | 5 Internet Explorer | Document1 - Microsoft ... | 3 Microsoft Office Po... | Microsoft Excel | 4:33 PM

Home Page of Policy Information Data Portal

https://genapps-t.fhwa.dot.gov/fafv4t/

 **POLICY INFORMATION DATA PORTAL**

User Name: RBELLAMKONDA

Input Submission Admin Contact Us Help Exit

Home Page

Welcome to the **FHWA's Policy Information Data Portal (PIDP)**!

The Data Portal includes:

1. **Performance Management Form (PMF)** for Transportation Performance Management. Departments of Transportation (DOTs) from all 50 States and the District of Columbia can input, edit, and submit State Biennial Performance Report per 23 CFR 490 for the Federal Highway Administration's review, approval or rejection, including:
 - a. Baseline Performance Period Report,
 - b. Mid Performance Period Progress Report, and
 - c. Full Performance Period Progress Report.
2. **Motor Fuel and Highway Finance (500 Series) Forms** (more description to be added)
3. **Toll Facility Forms** (more description to be added)

© U.S. Department of Transportation - **Federal Highway Administration**
1200 New Jersey Avenue, S.E. - Washington, DC 20590

Input Screen for FHWA 541

Click on link under Action to start Input of Data

The screenshot shows a web browser window displaying the 'POLICY INFORMATION DATA PORTAL'. The browser address bar shows the URL 'https://genapps-t.fhwa.dot.gov/FAFV4'. The page header includes the Department of Transportation logo and the text 'POLICY INFORMATION DATA PORTAL'. The user is logged in as 'PFADMIN'. The navigation menu includes 'Input Submission', 'Admin', 'Contact Us', 'Help', and 'Exit'. The main content area is titled 'Available Forms' and contains a table with the following data:

Form Name	Description	Action
Form541	Form 541	Input / Edit / Review / Submit Data

A red box highlights the 'Input / Edit / Review / Submit Data' link in the Action column. A red arrow points from the text 'Form Admin - Role' to this link. The footer of the page contains the copyright information: '© U.S. Department of Transportation - Federal Highway Administration, 1200 New Jersey Avenue, S.E. - Washington, DC 20590'. The browser zoom level is set to 100%.

Next Step

Enter your State and Year/Month of the file being submitted.

The screenshot shows a web browser window displaying the 'Form 541 Submission Dashboard'. A modal window titled 'Form 541 Initiation' is open, prompting the user to select the state, year, and month for the submission. The dashboard background shows a table of pending submissions and a search bar.

Form 541 Initiation

- *Select the state or US territory for which this Form 541 is applicable. - Select State - [v]
- *Select the year of this 541 submission report. - Select Year - [v]
- *Select the Month of this 541 submission. - Select Month - [v]

Save Close

Pending Submission

State	Year	Month	Action
Arkansas	2017	February	Form 541 Submission initiated RBELLAMKONDA 5/26/2017 4:52:32 PM [Edit] [Delete]
Alabama	2017	January	Form 541 Submission Pending Submission RBELLAMKONDA 4/25/2017 5:09:35 PM [Edit] [Delete]
Alabama	2018	January	Form 541 Submission Rejected PFADMIN 5/24/2017 2:35:06 PM [Edit] [View] [Delete]
Alabama	2016	January	Form 541 Submission Submitted PFADMIN 5/24/2017 2:29:27 PM [Edit] [View] [Review]

Showing 1 to 4 of 4 entries

Search: []

Previous 1 Next

FHWA 541

<https://genapps-l.fhwa.dot.gov/AFV4> Form 541 Edit

POLICY INFORMATION DATA PORTAL User Name: PFADMIN

[Input Submission](#) [Admin](#) [Contact Us](#) [Help](#) [Exit](#)

Form 541 Edit

State	Report Year	Report Month	Status	Submitted By	Submitted On
Alabama	2018	January	Rejected	PFADMIN	5/24/2017 2:35:06 PM

[Add New Issue](#) [Submit](#) [Save to Excel](#) [Back to Dashboard](#)

This column is referred as a Form541 Issue

Issue Description	Totals	Test
1. Statute Authorizing Issue		Statute Authorizing Issue test
2. Total Bonds Authorized	123.0000	123.0000
3. Total Bonds Sold to End of Year	1424.0000	1424.0000
4. Posted Interest Rate(S) Percent <i>Note: For XX.YY% then enter XX.YY</i>		2.34
5. Source of Funds for Debt Service		123
6. Nominal Date of Issue		5/3/2017
7. Date of Sale		5/16/2017
8. Proceeds of Sales During Year:		
A. Par Value	211.0000	211.0000
B. Premium (+) or Discount(-)	123.0000	123.0000
C. Accrued Interest	12.0000	12.0000
D. Total (A to C)	346.0000	346.0000
9. Allotment of Proceeds of Sales:		
A. Construction of State Highways	142.0000	142.0000
B. Construction Of Local Roads/Streets	1.0000	1.0000
C. Construction of Toll Facilities	12.0000	12.0000
D. Const. Mass. Transportation Facilities	12.0000	12.0000
E(1). Other1 Description		Desc 1
E(1). Other1 Value	1.0000	1.0000
E(2). Other2 Description		Desc 2
E(2). Other2 Value	2.0000	2.0000
F(1). Other3 Description		Desc 3
F(1). Other3 Value	3.0000	3.0000
F(2). Other4 Description		Desc 4
F(2). Other4 Value	4.0000	4.0000
G. For Debt Service		
(1) For Bond Sale & Other Admin Costs	12.0000	12.0000
(2) For Interest	12.0000	12.0000
(3) For Redemption	12.0000	12.0000
(4) Bond Refunding	12.0000	12.0000
H. Total Allotments (A to G)	225.0000	225.0000
10. Amount Unallotted End of Year <i>This should equal "0"</i>	121.0000	121.0000

[Edit](#) [Delete](#)

Click Delete, to delete the existing issue of a Form541.

Enter the Obligation Issued

Once Saved you will get a notification.

https://genapps-t.fhwa.dot.gov/FAFV4t/Static/Form541/Edit Form 541 Edit

POLICY INFORMATION DATA PORTAL User Name: PFADMIN

Input Submission Admin Contact Us Help Exit

Form 541 Edit

New Issue has been successfully saved

State	Report Year	Report Month	Status	Submitted By	Submitted On
Arkansas	2017	February	Pending	RBELLAMKONDA	5/31/2017 1:32:34 PM

Add New Issue Submit Save to Excel Back to Dashboard

Issue Description	Totals	Test Issue description 1
1. Statute Authorizing Issue		Statute Authorizing Issue
2. Total Bonds Authorized	1.0000	1.0000
3. Total Bonds Sold to End of Year	12.0000	12.0000
4. Posted Interest Rate(S) Percent <i>Note: For XX.YY% then enter XX.YY</i>		2.45
5. Source of Funds for Debt Service		Test

125%

FHWA 541

Highlighted Points

- The bonds that were issued during the Fiscal Year or Calendar Year should be reported on the FHWA 541 form.
- This includes General Obligations, GARVEE Bonds, Revenue Bonds & Redemption Bonds.
- Line Item 9.A.7. is the same as 9.H. which is the Total of Allotments for Highways & Mass Transit.
- There is a Notes and Comments section to provide to FHWA additional information about the bonds.

FHWA 541 Form

Description of Issue and Line Items 1- 10

Description of Issue – State the name of the Bond in this area.

1. Statute Authorizing Issue – Record the chapter, section number, and year that the law authorized the bond(s).
2. Total bonds Authorized – In some cases this number is different from what was issued.
3. Total Bonds Sold to EOY – Record the total cumulative amount of bonds sold for the current year.

Line Items 4 - 7

4. Posted Interest Rate(s) Percent – Record the rate or rates of interest on the bonds.
5. Source of funds for debt service – Record & Identify the source of funds. Ex. Motor-Fuel Taxes, Motor-Vehicle Revenues, General Fund.
6. Nominal date of Issue – Record the official date of issue. This is the date stated on the face of the bond.
7. Date of Sale – Record the actual date of sale.

Line Item 8

Proceeds of Sales During Year

- 8. Proceeds of Sales During Year – Record the transaction information on each bond sale.
 - 8.A. Par Value – The par value is the principal amount or amount found on the face of the bond. This is the amount that must be paid to retire the bond at the end of its term or at maturity.
 - 8.B. Premium (+) or Discount (-) – Enter any premiums or discounts that occurred in the bond sale.
 - 8.C. Accrued Interest – Record the amount of interest accrued in the selling price.
 - 8.D. Total (A to C)

Line Item 9.A.

Allotment of Proceeds of Sales

9.A. Allotments for Highways & Mass Transit

1. For Construction of State Highways
2. For Construction of Local Roads/Streets
3. For Construction of Toll Facilities
4. For Construction of Mass Transit Facilities
5. Other (specify)
6. For Debt Service
 - a. For Bond Sales & Admin Costs
 - b. For Interest
 - c. For Redemption
 - d. Refunding bonds or Notes

Line Items 9.B. & 9.C. & 10.

Allotments For Other Purposes

9.B. Allotments For Other Purposes

Lines (1) + (2) Specify

These lines are for purposes if you have other reasons that were not stated on the other line items in 9.A.

C. Total Allotments (A + B)

10. Amount Unallotted End of Year – This is for what isn't accounted for.

What are the Due Dates?

FHWA 541 & 542



States reporting on a Fiscal Year should submit their data to Headquarters by Jan. 1st of every year.

States reporting on a Calendar Year should submit their data to Headquarters by April 1st of every year.



Point of Contact

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