Guidance: FHWA Procedure for Safety Performance Measure Computation and State Target Achievement Assessment

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1 Overview

Safety Performance Management (Safety PM) is part of the overall Transportation Performance Management (TPM) program, which the Federal Highway Administration (FHWA) defines as a strategic approach that uses system information to make investment and policy decisions to achieve national performance goals. The Safety PM Final Rule¹ is codified under Title 23 of the Code of Federal Regulations (CFR)², part 490, subpart B. The regulation establishes national safety performance requirements for the purposes of carrying out the Highway Safety Improvement Program (HSIP) and to assess fatalities and serious injuries on all public roads. Therefore, the purpose of this document is to provide the data sources and calculations for the safety performance measures that FHWA will use when determining whether a State DOT has met or made significant progress towards meeting their safety performance targets. This document is guidance only and does not create any requirements other than those stipulated in statute or regulations.

1.1 Safety Performance Measures

The Safety PM regulation (23 CFR 490.207(a)) established five safety performance measures for the purpose of carrying out the HSIP. The safety performance measures are:

- (1) Number of fatalities;
- (2) Rate of fatalities;
- (3) Number of serious injuries;
- (4) Rate of serious injuries; and
- (5) Number of non-motorized fatalities and non-motorized serious injuries.

The main attributes of the safety performance measures are as follows:

- Safety targets are established annually for each of the safety performance measures (23 CFR 490.209(a)).
- Each safety performance measure is based on a 5-year rolling average (23 CFR 490.207(b)).
- All rate measures are expressed in 100 million vehicle miles traveled (VMT) (23 CFR 490.205).
- Safety targets are reported by each State Department of Transportation (DOT) to FHWA in the State HSIP Annual Report (23 CFR 490.213(a)).
- Safety targets must be identical for the common measures in the National Highway Traffic Safety Administration (NHTSA) Highway Safety Plan (HSP) (23 CFR 490.209(a)(1)).
- Safety performance measures are applicable to all public roads covered by the HSIP (23 CFR 490.203).
- The performance targets represent the anticipated performance outcome for all public roads regardless of ownership and functional class (23 CFR 490.209(a)(3)).

¹ Safety PM Final Rule: <u>https://www.federalregister.gov/documents/2016/03/15/2016-05202/national-performance-management-measures-highway-safety-improvement-program</u>

² Code of Federal Regulations: <u>https://www.ecfr.gov/cgi-bin/retrieveECFR?gp=&SID=7c955ec3c47ba5f35529b89f21c02213&mc=true&n=pt23.1.490&r=PART&ty=HTML</u>

- The FHWA will evaluate whether a State DOT has met or made significant progress toward meeting performance targets (23 CFR 490.211(c)).
- The Metropolitan Planning Organizations (MPO) will establish performance targets for each of the measures (23 CFR 490.209(c)) no later than 180 days after the respective State DOT establishes and reports targets in the State HSIP annual report (23 CFR 490.209(c)(1)).
- The MPO's will annually report their established safety targets to their respective State DOT, in a manner that is documented and mutually agreed upon by both parties (23 CFR 490.213(b)).

The term Performance Year (PY) is being used for the purposes of this document, but is not a defined term under 23 CFR part 490. Since all safety performance measures are based on 5-year rolling averages, this document will refer to the last calendar year (CY) of the 5-year period as the Performance Year. For example, if the last calendar year of the 5-year period is CY2018, it would include years 2014 through 2018 and be denoted as PY2018, as shown in the example in Figure 1.

Figure 1 – Performance Year Example



To ensure consistent definitions, a distinction between *metric* and *measure* was made in 23 CFR 490.101.

- A *metric* is defined as a quantifiable indicator of performance or condition (e.g., annual number of fatalities).
- A measure is defined as an expression based on a metric that is used to establish targets and to
 assess progress toward meeting established targets (e.g., 5-year rolling average of number of
 fatalities).

1.2 Met or Made Significant Progress

The FHWA will determine annually whether a State DOT has "*met or made significant progress towards meeting its safety performance targets.*" The FHWA will not make determinations for MPO targets established under 23 CFR 490.209(c) or State DOT additional targets under 23 CFR 490.209(b).

For the purpose of this document, the following terms will be used:

- *"Actual performance"* is the outcome for a performance measure for a performance year.
- *"Baseline performance"* is the outcome for a performance measure for the year prior to the establishment of the State's target.

A State DOT is determined to have "*met or made significant progress toward meeting its safety performance targets*" when at least four of the performance targets established are: (1) met; or (2) not met but made significant progress towards meeting the targets. A performance target is met when the actual performance is less than or equal to the target. If the actual performance is greater than the target, then the target has not been met.

If FHWA determines that a target is not met, FHWA will assess whether the State DOT has made significant progress towards meeting that target by comparing the actual performance and the baseline performance. If the target has not been met, but the actual performance is less than the baseline performance (indicates that a State DOT has improved performance compared to the baseline performance), then FHWA will determine that the State DOT has made significant progress towards meeting that target. If the actual performance is greater than the baseline performance, then FHWA will determine that the State DOT has meeting that target. If the actual performance is greater than the baseline performance, then FHWA will determine that the State DOT has not made significant progress towards meeting that target.

The FHWA will make the described evaluations for each of the five performance measures. If FHWA determines that four out of the five performance targets reported by a State DOT have been met (the actual performance is less than or equal to the target) or made significant progress towards meeting the target (the actual performance is less than the baseline performance), then that State DOT will be determined to have "*met or made significant progress towards achieving its safety performance targets*."

1.3 Data Sources for Computing Safety Performance Measures

The FHWA will use public data sources to assess safety target achievement and to determine whether a State DOT has met or made significant progress towards meeting their performance targets. These data sources are defined below.

1.3.1 Fatality Analysis Reporting System

The safety performance metrics for the annual number of fatalities and the annual numbers of nonmotorized fatalities come from the NHTSA Fatality Analysis Reporting System (FARS) database. The FARS data is published annually and becomes available approximately in December of each calendar year. For example, fatality data for CY2018 will be available by December 2019. The FARS Query Tool can be used to access fatality data. The FARS data contains both final data on fatalities for previous years and preliminary data on fatalities for the most recent year.

- The FARS Annual Report File (ARF) is published annually and contains preliminary data on fatalities for the most current year.
- The Final FARS data replace the FARS ARF and contains additional cases or updates to cases that became available after the FARS ARF was released, and is no longer subject to future changes.

When computing the performance measures for Number of Fatalities and Fatality Rate, the FARS ARF data are used if Final FARS data are not available, as stipulated in CFR 490.207(b). Please note that the year of the FARS data file refers to the calendar year when the fatalities occurred.

The FARS Query Tool is available at:

https://www-fars.nhtsa.dot.gov//QueryTool/QuerySection/SelectYear.aspx

1.3.2 Highway Safety Improvement Program Annual Report

The safety performance metrics for number of serious injuries and number of non-motorized serious injuries comes from the State DOT data submitted in the HSIP Annual Report. As specified in 23 CFR 490.209(a)(5), the State DOT must include, in the HSIP Annual Report, the most recent five years of serious injury data and non-motorized serious injury data by calendar year. The HSIP Annual Report also contains safety performance targets for the five performance measures. The year of the HSIP Annual Report refers to the year of reporting. For example, the 2017 HSIP Annual Report means the report was submitted in 2017 (by August 31, 2017) and includes the baseline performance for PY2016 and the performance targets for PY2018. However, FHWA will use the most recent HSIP Annual Report that is available at the time of assessment to collect the baseline data for serious injuries and non-motorized serious injuries. The HSIP Annual Report data becomes available by December of each calendar year. A download of the HSIP Annual Report data is available via a Microsoft Excel spreadsheet from the HSIP Program Manager.

The HSIP Reports are available at: https://safety.fhwa.dot.gov/hsip/reports/

1.3.3 Highway Statistics Series

The safety performance metric for VMT estimates are provided in FHWA's Highway Statistics Series Publication in Table VM-2 (Vehicle-miles of travel, by functional system). The Highway Statics Series is available at: https://www.fhwa.dot.gov/policyinformation/statistics.cfm

The VMT numbers are used as the denominator to calculate the rate of fatalities and the rate of serious injuries per 100 million VMT. The VMT data in HPMS becomes available approximately in December of each calendar year. For example, in December 2019, VMT estimates for CY2018 will be available. Please note that the year in the HPMS data and the Highway Statistics Series refers to the calendar year the VMT occurred.

Table 1 below provides a description of the five safety performance measures and the corresponding data sources. These data sources will be used to compute the safety performance measures and to assess whether a State DOT has met or made significant progress towards meeting their safety performance targets.

Safety Performance Measures	Safety Performance Measure Description	Data	Data Source
Number of Fatalities	The total number of persons suffering fatal injuries in a motor vehicle crash	Fatalities	Final FARS and FARS ARF
	during a calendar year	Target	HSIP Annual Report
Rate of Fatalities	The ratio of the total number of fatalities to the number of VMT (expressed in 100	Fatalities	Final FARS and FARS ARF
	million VMT)	VMT	VM-2 Table in Highway Statistics Series
		Target	HSIP Annual Report
Number of	The total number of persons suffering at	Serious injuries	HSIP Annual Report
Serious Injuries	least one serious injury in a motor vehicle crash during a calendar year	Target	HSIP Annual Report
Rate of	The ratio of the total number of serious	Serious injuries	HSIP Annual Report
Number of SeriousThe total number of persons suffering at least one serious injury in a motor vehicle crash during a calendar yearSerious injuriesHSII TargetRate of Serious injuriesThe ratio of the total number of serious injuries to the number of VMT (expressed in 100 million VMT)Serious injuriesHSII TargetNumber of TargetThe total number of fatalities with the Non-motorizedNon-motorizedHSII Farget	VM-2 Table in Highway Statistics Series		
		Target	HSIP Annual Report
Number of Non-	The total number of fatalities with the FARS person attribute codes: (5)	Non-motorized fatalities	Final FARS and FARS ARF
Motorized Fatalities and	Pedestrian, (6) Bicyclist, (7) Other Cyclist, (8) Person on Personal Conveyances and	Non-motorized serious injuries	HSIP Annual Report
Non- Motorized Serious Injuries	the total number of serious injuries where the injured person is, or equivalent to, a pedestrian (2.2.36) or a pedalcyclist (2.2.39) a s defined in the American National Standards Institute (ANSI) D16.1-2007.	Target	HSIP Annual Report

Table 1 – Safety Performance Measures and Data Sources

2 Safety Performance Measure Computation Equations

This section provides the computation equations for the five safety performance measures. Please note that annual fatality metrics are a whole number while the rate metrics and calculated measures are rounded to the nearest decimal place, as indicated in each of the equations.

2.1 **Number of Fatalities**

Number of Fatalities Measure $_{PY} =$

 $\frac{\{\text{Fatalities }_{PY-4} + \text{Fatalities }_{PY-3} + \text{Fatalities }_{PY-2} + \text{Fatalities }_{PY-1} + \text{Fatalities }_{PY}\}}{5}$

Where,

Number of Fatalities Measure $_{PY}$ = Calculated fatality measure for the PY (rounded to the nearest tenth decimal place)

Fatalities _{PY} = Annual number of fatalities metric (whole number)

2.2 Rate of Fatalities

Rate of Fatalities Measure $_{PY} =$

$$\frac{\left\{\left(\frac{\text{Fatalities }_{PY-4}}{\text{Total VMT}_{PY-4}}\right) + \left(\frac{\text{Fatalities }_{PY-3}}{\text{Total VMT}_{PY-3}}\right) + \left(\frac{\text{Fatalities }_{PY-2}}{\text{Total VMT}_{PY-2}}\right) + \left(\frac{\text{Fatalities }_{PY-1}}{\text{Total VMT}_{PY-1}}\right) + \left(\frac{\text{Fatalities }_{PY}}{\text{Total VMT}_{PY}}\right)}{5}$$

Where,

Rate of Fatalities Measure $_{PY}$ = Calculated fatality rate measure for the PY (rounded to the nearest thousandth decimal place)

Fatalities $_{PY}$ = Annual number of fatalities metric (whole number)

Total VMT _{PY} = Annual VMT per 100 million metric (calculated per 100 million and rounded to the *nearest hundredth decimal place)*

 $\frac{\text{Fatalities }_{PY}}{\text{Total VMT}_{PY}} = \text{Annual fatality rate metric (rounded to the nearest hundredth decimal place)}$

2.3 Number of Serious Injuries

Number of Serious Injuries Measure $_{PY} =$

{Serious Injuries $_{PY-4}$ + Serious Injuries $_{PY-3}$ + Serious Injuries $_{PY-2}$ + Serious Injuries $_{PY-4}$ + Serious Injuries $_{PY}$ }

Where,

Number of Serious Injuries Measure $_{PY}$ = Calculated serious injury measure for the PY (rounded to the nearest tenth decimal place)

Serious Injuries $_{PY}$ = Annual number of serious injuries metric (whole number)

2.4 Rate of Serious Injuries

Rate of Serious Injuries Measure $_{PY} =$

 $\left(\frac{\text{Serious Injuries}_{PY-4}}{\text{Total VMT}_{PY-4}}\right) + \left(\frac{\text{Serious Injuries}_{PY-3}}{\text{Total VMT}_{PY-3}}\right) + \left(\frac{\text{Serious Injuries}_{PY-2}}{\text{Total VMT}_{PY-2}}\right) + \left(\frac{\text{Serious Injuries}_{PY-1}}{\text{Total VMT}_{PY-1}}\right) + \left(\frac{\text{Serious Injuries}_{PY}}{\text{Total VMT}_{PY}}\right)$

Where,

Rate of Serious Injuries Measure $_{PY}$ = Calculated serious injury rate measure for the PY (rounded to the nearest thousandth decimal place)

Serious Injuries $_{PY}$ = Annual number of serious injury metric (whole number)

Total VMT $_{PY}$ = Annual VMT (per 100 million) metric (calculated per 100 million and rounded to the nearest hundredth decimal place)

 $\frac{\text{Serious Injuries }_{PY}}{\text{Total VMT}_{PY}} = \text{Annual serious injury rate metric (rounded to the nearest hundredth decimal place)}$

2.5 Number of Non-Motorized Fatalities and Non-Motorized Serious Injuries

Number of Non-Motorized Measure $_{PY} =$

{Non-Motorized $_{PY-4}$ + Non-Motorized $_{PY-3}$ + Non-Motorized $_{PY-2}$ + Non-Motorized $_{PY-1}$ + Non-Motorized $_{PY}$ }

5

Where,

Number of Non-Motorized Measure $_{PY}$ = Calculated number of non-motorized fatalities and number of serious injury measure for the PY (rounded to the nearest tenth decimal place)

Non-Motorized $_{PY}$ = Combined annual number of non-motorized fatalities and non-motorized serious injuries metric (whole number)

3 Obtaining Number of Fatality Metrics through NHTSA FARS

3.1 Obtaining the Metric for Number of Fatalities Measures

The following instructions detail how to obtain the annual number of fatalities metric by State using the FARS Query Tool.

Step 1: Go to 'Query FARS Data': <u>http://www-</u> fars.nhtsa.dot.gov/QueryTool/QuerySection/SelectYear.aspx.

Step 2: Select a Year in the drop-down list and click 'Submit'

	state marrie surcey in	e l'incip
Vehicle Regist	ration and VMT Chang	<u>es</u>
· · · · · · · · · · · · · · · · · · ·		
	2014	Submit
and click the 'Submit' button	2013 2012 2011	
and click the Sublinic Dutton	2010 2009	
crashes in the United States th ss to fatality data through this	hat occur on a public roa 2008 s web interface. 2007 2006	nd
ultiple years. If you are intere	ested in trend or cross y 2005 2004 2003 2002	mation,
nd require the use of the free	Adobe Reader. Links w 2000 1999 1998	in a new
y some of the exercises belo	ow. 1996 1995 1994	

Step 3: Select 'Option 1' and click 'Submit'



Step 4: Under the 'Person fields' section, check 'Injury Severity' and 'Person Type' and click 'Submit'

Click Here to check all Persons fields	Perso	n	Click Here to uncheck all Persons fields
Age*	Alcohol Test Results*	Alcohol Test Status	Alcohol Test Type
Death Date	Death Day	Death Hour	Death Minute
Death Month	Death Time	Death Year	Died at Scene/En Route
Drug Test Results (1)	Drug Test Results (2)	Drug Test Results (3)	Drug Test Status
Drug Test Type (1)	Drug Test Type (2)	Drug Test Type (3)	Fatal Injury At Work
Hispanic Origin	✓ Injury Severity*	Method of Alcohol Determination by Police	Method of Drug Determination by Police
Person Related Factor (1)	Person Related Factor (2)	Person Related Factor (3)	Person Type*
Police Reported Drug Involvement*	Police-Reported Alcohol Involvement	Race	└ Sex*
Time Between Crash And Death (Hrs)	Transported to First Medical Facility By		
			Submit Clear Form

Step 5: Select 'All' for State, select '(4) Fatal Injury (K)' for Injury Severity, select 'All' for Person Type and click 'Univariate Tabulation'

		Crash
State	All (1)Alabama (2)Alaska (4)Arizona (5)Arkansas (6)California (8)Colorado (9)Connecticut	
All 1)Atabama (2)Alaska (4)Arizona (5)Arkansas (6)California (8)Colorado (9)Connecticut All (-1)Blank (0)No Apparent Injury (0) (1)Possible Injury (C) Severity (2)Suspected Minor Injury(B) (3)Suspected Serious Injury(A) (4)Fatal Injury (K) (5)Injured; Severity Unknown All (-1)Blank (1)Driver of a Motor Vehicle In-Transport (2)Passenger of a Motor Vehicle In-Transport (3)Occupant of a Motor Vehicle In-Transport (4)Occupant of a Non-Motor Vehicle Transport Device (5)Pedestrian (6)Bicyclist	Person	
lnjury Severity	All (-1)Blank (0)No Apparent Injury (O) (1)Possible Injury (C) (2)Suspected Minor Injury(B) (3)Suspected Serious Injury(A) (4)Fatal Injury (K) (5)Injured; Severity Unknown	
Person Type	Alt (-1)Blank (1)Driver of a Motor Vehicle In-Transport (2)Passenger of a Motor Vehicle In-Transport (3)Occupant of a Motor Vehicle Not In- Transport (4)Occupant of a Non-Motor Vehicle Transport Device (5)Pedestrian (6)Bicyclist	
		Univariate Tabulation

Step 6: From the drop-down list under 'Select Data to Count' select 'Number of Persons' and click 'Submit'.

Select a Variable:	State				>
Select Data to Count:	Number of Persons				~
Select Grouping Option:	None				Y
Show ZERO Values:				● Yes	O No
Report Title:					
Search Criteria: Year 2014 Injury Severity 4					
			Submit	Clear Form	m

Step 7: The Number of Fatalities metrics by State can be obtained as shown below by exporting a text (.txt) file or a Microsoft Excel (.xls) spreadsheet.

	Duby (Data Damasta			Circles 1
Sector and the sector of the	Pubs/Data Requests	FARS Data Tables	Query FARS Data	State
NEW	File Versions 2014 data based on FARS data publication, 1st release	GIS Map features	Wehicle Regis	tration a
Report:				
STATE: All		YEAR: 2014	COU	NT: Number of F
OUTPUT OPTIONS:		MAP IT	EXPORT (TXT)	EXPORT (XLS)
NOTE: Map display takes	time if pins are more than 250			
	State			Total
Alabama				820
Alaska				73
Arizona				770
Arkansas				466
California				3074
Colorado				488
Connecticut				248
Delaware				121
District of Columbi	ia			23
Florida				2494
Georgia				1164
Hawaii				<u>95</u>

Step 8: To find out whether metric values are from FINAL FARS or FARS ARF, click 'File Versions' as highlighted below.

Pubs/Data Reque	ts FARS Data Tables	Query FARS Dat	a State
2014 data based on FARS data publication, 1st release	GIS Map features	Wehicle Re	gistration a
Report:			
STATE: All	YEAR: 2014		COUNT: Number of F
OUTPUT OPTIONS:	💹 мар	IT EXPORT (TXT)	EXPORT (XLS)
NOTE: Map display takes time if pins are more than 250			
State			Total
Alabama			820
Alaska			73
Arizona			770
Arkansas			466
California			3074
Colorado			488
Connecticut			248
Delaware			121
District of Columbia			23
Florida			2494
Georgia			1164
Hawaii			95

Step 9: The following pop-box will open detailing the data year, file version and release date.

Data Year	File Version	Release Date
1999	Final	August 2001
2000	Final	August 2002
2001	Final	September 2003
2002	Final	August 2004
2003	Final	September 2005
2004	Final	August 2006
2005(*)	Final	September 21, 2007
2006	Final	September 5, 2008
2007	Final	July 6, 2009
2008	Final	September 13, 2010
2009	Final	December 8, 2011
2010	Final	December 11, 2012
2011	Final	November 13, 2013
2012	Final	December 19, 2014
2013	Final	December 14, 2015
2014	Final	August 29, 2016
2015	Final	October 03, 2018
2016	Final	October 03, 2018
2017	Annual ^(**)	October 03, 2018

3.2 Obtaining the Metric for Numbers of Non-Motorized Fatalities Measure

The following instructions detail how to obtain the annual number of non-motorized fatalities metric by State using the FARS Query Tool.

Steps 1 through 4: Follow Steps 1 through 4 above for obtaining a metric for the number of fatalities.

Step 5: Select 'All' for State, select '(4) Fatal Injury (K)' for Injury Severity, select '(5) Pedestrian, (6) Bicyclists, (7) Other Cyclists, and (8) Person and Personal Conveyances' for Person Type and click 'Univariate Tabulation'.

State Injury Severity Person Type	Crash
State	All (1)Alaska (2)Alaska (4)Arizona (5)Arkansas (6)California (8)Colorado (9)Connecticut
	Person
Injury Severity	All (-1)Blank (0)No Apparent Injury (0) (1)Possible Injury (C) (2)Suspected Minor Injury(B) (3)Suspected Serious Injury(A) (4)Fatal Injury (K) (5)Injured; Severity Unknown
Person Type	(2)Passenger of a Motor Vehicle In-Transport (3)Occupant of a Motor Vehicle Not In- Transport (4)Occupant of a Non-Motor Vehicle Transport Device (5)Pedestrian (6)Bicyclist (7)Other Cyclist (8)Persons on Personal Conveyances (9)Unknown Occupant Type in a Motor Vehicle In- Transport
	Univariate Tabulation

Step 6: From the drop-down list under 'Select Data to Count' select 'Number of Persons' and then click 'Submit'.

Select a Variable:	State 🔽
Select Data to Count:	Number of Persons
Select Grouping Option:	None
Show ZERO Values:	● O Yes No
Report Title:	
Search Criteria: Year 2014 Injury Severity 4 Person Type 5, 6, 7, 8	Submit Clear Form

Steps 7 through 9: The Number of Non-Motorized Fatalities metrics by State can be obtained by exporting a text (.txt) file or a Microsoft Excel (.xls) spreadsheet (similar to the Steps 7 through 9 above for obtaining a metric for the number of fatalities).

4 Obtaining VMT Metrics through the HPMS Highway Statistics Series

The following instructions detail how to obtain the annual VMT by State using the HPMS Highway Statistics Series.

Step 1: Go to the Highway Statistics Series website at: <u>https://www.fhwa.dot.gov/policyinformation/statistics.cfm</u>

Step 2: Select the year of the Highway Statistics and click 'Go'



Step 3: Under '5. Highway Travel' select VM-2 Table '5.4.1 Vehicle-miles of travel, by functional system'

. Highway Travel			
5.1. Overview			
5.2. Multi-year trends & charts			
5.2.1 Vehicle-miles of travel, by functional system, 1980-2016	VM-202	Excel	PDF
5.2.2 Vehicle-miles of travel, by Federal-aid highways, 1957-2016	VM-203		
5.3. National tables			
5.3.1. Vehicle miles of travel and related data, by highway category and vehicle type	VM-1	Excel	PDF
5.4. State tables			1
5.4.1. Vehicle-miles of travel, by functional system	VM-2	Excel	PDF
5.4.2. Vehicle-miles of travel, by Federal-aid highways	VM-3	Excel	PDF
5.4.3. Distribution of Annual Vehicle Distance Traveled	VM-4		
5.4.4. Vehicle miles of travel by functional system	HM-44	Excel	PDF
5.4.5. Length by average daily traffic volume, Federal-aid highways	HM-37	Excel	PDF
5.4.6. Length by average daily traffic volume, Arterials and Collectors	HM-57		

Step 4: The total VMT for each State is listed in the last column under 'Total' and can either be viewed (as shown below) or downloaded as a Microsoft Excel file



5 Example Safety Performance Measure Computation and Determining Significant Progress

This section provides an example of how to calculate the performance measures for PY2018. The approximate time of measure calculation would be December 2019, which is approximately when the FARS, VMT, and HSIP data all become available. As noted previously, FHWA will use the most recent HSIP Annual Report that is available for collecting baseline performance data for serious injuries and non-motorized serious injuries. This example illustrates the computation of the five safety performance measures and whether a State DOT met or made significant progress towards meeting their performance targets. Table 2 below provides a list of data sources and the corresponding years required for calculating PY2018 actual performance and PY2016 baseline performance for the five safety performance measures.

Table 2 – 2018 Safety Performance Measure Data Sources for Measure Calculation

Safety Performance	Metrics for PY Perforn	2018 Actual nance	PY2018 Target	Metrics for P Perfo	Y2016 Baseline rmance
Measures	Safety Data	VMT Data		Safety Data	VMT Data
Number of	2014-2017 Final	N/A	2017 HSIP	2012-2016	N/A
Fatalities	FARS and 2018		Annual	Final FARS	
	FARS ARF from		Report	from NHTSA	
	NHTSA FARS			FARS	
Rate of	2014-2017 Final	2014-2018	2017 HSIP	2012-2016	2012-2016
Fatalities	FARS and 2018	VMT from VM-2	Annual	Final FARS	VMT from VM-2
	FARS ARF from	Table in	Report	from NHTSA	Tables in
	NHTSA FARS	Highway		FARS	Highway
		Statistics	2017 11015	2012 2016	Statistics
Number of	2019 HSIP Annual	N/A	2017 HSIP	2012-2016	N/A
Serious	Report		Annual	serious injuries	
Injuries			Report	from 2019	
				HSIP Annual	
Pata of	2014 2019	2014 2019 1/04		2012 2016	2012 2016 VNAT
Serious	Serious Injury	from VM_2		2012-2010	from VM_2
Injuries	Numbers from	Table in	Report	from 2019	Tables in
injunes	2019 HSIP Annual	Highway	пероп	HSIP Annual	Highway
	Report	Statistics		Report	Statistics
Number of	Fatality Numbers:	N/A	2017 HSIP	Fatality	N/A
Non-	2014-2017 Final		Annual	Numbers:	
Motorized	FARS and 2018		Report	2012-2016	
Fatalities	FARS ARF from			Final FARS	
and Non-	NHTSA FARS			from NHTSA	
Motorized				FARS	
Serious	Serious Injury				
Injuries	Numbers:			Serious Injury	
	2019 HSIP Annual			Numbers:	
	Report			2012-2016	
				serious injuries	
				from 2019	
				HSIP Annual	
				Report	

Table 3 represents sample values of the metrics for each of the performance measures. The following example demonstrates the calculations of the 5-year rolling average for each of the performance measures actual performance and baseline performance. If the actual performance is less than or equal to the target, no further analysis would be required. If the actual performance is greater than the target, the baseline performance is calculated to determine if actual performance is better than the baseline performance. In this example, the performance year is 2018 (PY2018) and the baseline performance year is 2016 (PY2016).

Table 3 – Sample Safety Performance Metrics for Measure Calculations

Year	Fatalities (FARS)	Serious Injuries (HSIP)	Non-Motorized Fatalities (FARS)	Non-Motorized Serious Injuries (HSIP)	Total VMT (HPMS)
2012	486	1,746	29	71	30,215
2013	416	1,811	22	70	30,048
2014	384	1,709	25	79	29,727
2015	386	1,670	27	88	29,497
2016	431	1,717	16	95	29,900
2017	386	1,581	16	97	30,021
2018	405*	1,592	33*	104	30,572

* FARS ARF

Table 4 – Sample PY2018 Performance Targets

Performance Measure	Target
Number of Fatalities	390.0
Rate of Fatalities per 100 million VMT	1.320
Number of Serious Injuries	1,650.0
Rate of Serious Injuries per 100 million VMT	5.585
Number of Non-Motorized Fatalities and Serious Injuries	112.0

5.1 Number of Fatalities Measure Computation Example

Calculation for the number of fatalities measure using the metrics from Table 3 and the target from Table 4, as summarized below.

2012	2013	2014	2015	2016	2016	2018	PY18 Target
486	416	384	386	431	386	405*	390.0

*FARS ARF

Step 1: Calculate the Number of Fatalities Measure for PY2018 actual performance using the annual metrics for fatalities for 2014 through 2018.

PY2018 Number of Fatalities =
$$\frac{(384 + 386 + 431 + 386 + 405)}{5} = 398.4$$

Step 2: Determine if the PY2018 actual performance (398.4) is less than or equal to the PY2018 target (390.0).

PY2018 Number of Fatalities > PY2018 Target

Target
$$Met = No$$

Step 3: Since the PY2018 actual performance for the number of fatalities measure is greater than the PY2018 Target, the target has not been met. Therefore, the next step is to calculate the PY2016 baseline performance using 2012 through 2016 metrics. (*Note: If the target is equal to or less than the actual performance, this step would not be required*)

PY2016 Number of Fatalities Baseline = $\frac{(486 + 416 + 384 + 386 + 431)}{5} = 420.6$

Step 4: Determine if the PY2018 actual performance (398.4) is less than the PY2016 baseline performance (420.6).

PY2018 Number of Fatalities < PY2016 Number of Fatalities Baseline

Better than Baseline = Yes

Step 5: Since the actual performance for the number of fatalities measure is less than the baseline performance, it is determined that significant progress has been made for this measure.

5.2 Rate of Fatalities Measure Computation Example

Calculation for the rate of fatalities measure using the metrics from Table 3 and the target from Table 4, as summarized below.

Metric	2012	2013	2014	2015	2016	2016	2018	PY18 Target
Fatalities	486	416	384	386	431	386	405*	
Total VMT	30,215	30,048	29,727	29,497	29,900	30,021	30,572	1.320
VMT/100M	302.15	300.48	297.27	294.97	299.00	300.21	305.72	

*FARS ARF

Step 1: Calculate the Rate of Fatalities Measure for PY2018 actual performance using the annual metrics for fatalities and VMT per 100 million for 2014 through 2018.

PY2018 Rate of Fatalities =
$$\frac{\left(\frac{384}{297.27} + \frac{386}{294.97} + \frac{431}{299.00} + \frac{386}{300.21} + \frac{405}{305.72}\right)}{5}$$
$$= \frac{\left(1.29 + 1.31 + 1.44 + 1.29 + 1.32\right)}{5} = 1.330$$

Step 2: Determine if the PY2018 actual performance (1.330) is less than or equal to the PY2018 target (1.320).

PY2018 Rate of Fatalities > PY2018 Target

Target Met = No

Step 3: Since the PY2018 actual performance for the rate of fatalities measure is greater than the PY2018 Target, the target has not been met. Therefore, the next step is to calculate the PY2016 baseline performance using 2012 through 2016 metrics. (*Note: If the actual performance is less than or equal to the target, this step would not be required*)

PY2016 Rate of Fatalities Baseline =
$$\frac{(\frac{486}{302.15} + \frac{416}{300.48} + \frac{384}{297.27} + \frac{386}{294.97} + \frac{431}{299.00})}{5}$$
$$= \frac{(1.61 + 1.38 + 1.29 + 1.31 + 1.44)}{5} = 1.406$$

Step 4: Determine if the PY2018 actual performance (1.330) is less than the PY2016 baseline performance (1.406).

PY2018 Rate of Fatalities < PY2016 Rate of Fatalities Baseline

1.330 < 1.394

Better than Baseline = Yes

Step 5: Since the actual performance for the rate fatalities measure is less than the baseline performance, it is determined that significant progress has been made for this measure.

5.3 Number of Serious Injuries Measure Computation Example

Calculation for the number of fatalities measure using the metrics from Table 3 and the target from Table 4, as summarized below.

2012	2013	2014	2015	2016	2016	2018	PY18 Target
1,746	1,811	1,709	1,670	1,717	1,581	1,592	1,650.0

Step 1: Calculate the Number of Serious Injuries Measure for PY2018 actual performance using the annual metrics for series injuries for 2014 through 2018.

PY2018 Number of Serious Injuries =
$$\frac{(1,709 + 1,670 + 1,717 + 1,581 + 1,592)}{5} = 1,653.8$$

Step 2: Determine if the PY2018 actual performance (1,653.8) is less than or equal to the PY2018 target (1,650.0).

PY2018 Number of Serious Injuries > PY2018 Target

Target Met = No

Step 3: Since the PY2018 actual performance for the number of serious injuries measure is greater than the PY2018 Target, the target has not been met. Therefore, the next step is to calculate the PY2016 baseline performance using 2012 through 2016 metrics. (*Note: If the actual performance is less than or equal to the target, this step would not be required*)

PY2016 Number of Serious Injuries Baseline = $\frac{(1,746 + 1,811 + 1,709 + 1,670 + 1,717)}{5} = 1,730.6$

Step 4: Determine if the PY2018 actual performance (1,653.8) is less than the PY2016 baseline performance (1,730.6).

PY2018 Number of Serious Injuries < PY2016 Number of Serious Injuries Baseline

Step 5: Since the actual performance for the number of series injuries measure is less than the baseline performance, it is determined that significant progress has been made for this measure.

5.4 Rate of Serious Injuries Measure Computation Example

Calculation for the rate of serious injuries measure using the metrics from Table 3 and the target from Table 4, as summarized below.

Metric	2012	2013	2014	2015	2016	2016	2018	PY18 Target
Serious Injuries	1,746	1,811	1,709	1,670	1,717	1,581	1,592	E E QE
Total VMT	30,215	30,048	29,727	29,497	29,900	30,021	30,572	5.565
VMT/100M	302.15	300.48	297.27	294.97	299.00	300.21	305.72	

Step 1: Calculate the Rate of Serious Injuries Measure for PY2018 actual performance using the annual metrics for serious injuries and VMT per 100 million for 2014 through 2018.

PY2018 Rate of Serious Injuries =
$$\frac{(\frac{1,709}{297.27} + \frac{1,670}{294.97} + \frac{1,717}{299.00} + \frac{1,581}{300.21} + \frac{1,592}{305.72})}{5}$$
$$= \frac{(5.75 + 5.66 + 5.74 + 5.27 + 5.21)}{5} = 5.526$$

Step 2: Determine if the PY2018 actual performance (5.526) is less than or equal to the PY2018 target (5.585).

PY2018 Rate of Serious Injuries > PY2018 Target

Target Met = Yes

Step 3: Since the PY2018 actual performance for the rate of fatalities measure is less than the PY2018 Target, the target has been met. Since the target it met, there is no need to assess whether the actual performance is less than or equal to the baseline performance.

5.5 Number of Non-Motorized Fatalities and Serious Injuries Measure Computation Example

Calculation for the rate of fatalities measure using the metrics from Table 3 and the target from Table 4, as summarized below.

Metric	2012	2013	2014	2015	2016	2016	2018	PY18 Target
Non-								
motorized	29	22	25	27	16	16	33*	
Fatalities								
Non-								112.0
motorized	71	70	70	00	05	07	104	
Serious	/1	70	79	00	95	97	104	
Injuries								

*FARS ARF

Step 1: Calculate the Number of Non-Motorized Measure for PY2018 actual performance using the annual metrics for non-motorized fatalities and non-motorized serious injuries for 2014 through 2018.

PY2018 Number of Non-Motorized = $\frac{((25+79)+(27+88)+(16+95)+(16+97)+(33+104))}{5}$ $=\frac{(104+115+111+113+137)}{5} = 116.0$

Step 2: Determine if the PY2018 actual performance (116.0) is less than or equal to the PY2018 target (112.0).

PY2018 Number of Non-Motorized > PY2018 Target

116.0 > 112.0

Target Met = No

Step 3: Since the PY2018 actual performance for the non-motorized measure is greater than the PY2018 Target, the target has not been met. Therefore, the next step is to calculate the PY2016 baseline performance using 2012 through 2016 metrics. (*Note: If the actual performance is less than or equal to the target, this step would not be required*)

$$PY16 \text{ Non-Motorized Baseline} = \frac{((29+71)+(22+70)+(25+79)+(27+88)+(16+95))}{5} = 104.4$$

Step 4: Determine if the PY2018 actual performance (116.0) is less than the PY2016 baseline performance (94.2).

PY2018 Number of Non-Motorized < PY2016 Number of Non-Motorized Baseline

Better than Baseline = No

Step 5: Since the actual performance for the number of non-motorized fatalities and serious injuries measure is greater than the baseline performance, it is determined that significant progress has not been made for this measure.

5.6 Example Determination of Met or Made Significant Progress

To determine whether a State DOT has met or made significant progress toward achieving their performance targets, the computations from the above examples (5.1 through 5.5) will be used and are summarized in Table 5 below.

Safety Performance Measures	2018 Target	PY2018 Actual Performance	PY2016 Baseline Performance	Met Target?	Better than the Baseline?	Met or Made Significant Progress?
Number of Fatalities	390.0	398.4	420.6	No	Yes	YES
Rate of Fatalities	1.320	1.330	1.406	No	Yes	
Number of Serious Injuries	1,650.0	1,653.8	1,730.6	No	Yes	(4 of the 5 targets were either met
Rate of Serious Injuries	5.585	5.526	5.792	Yes	N/A	or significant progress was
Number of Non- Motorized Fatalities and Serious Injuries	112.0	116.0	104.4	No	No	made towards meeting the targets)

Table 5 – Example Determination of Met or Made Significant Progress

In this example, the only target met for PY2018 is the Rate of Serious Injuries Measure. Since this target is met, no further assessment is required for this measure. The performance targets for the Number of Fatalities Measure, Rate of Fatalities Measure, and Number of Serious Injury Measure were not met. Therefore, those measures were compared against the PY2016 baseline performance to determine if the actual performance was less than the baseline performance. For these measures, it was determined that the actual performance was better than the baseline performance. Lastly, the Number of Non-Motorized Measure was not met and the actual performance was not better than the baseline performance. Therefore, for this example, FHWA would determine that the State DOT has met or made significant progress towards meeting the PY2018 performance targets since four of the five targets were either met or better than the baseline performance.

6 Acronyms Table

Acronym	Full Form
ANSI	American National Standards Institute
FARS ARF	Fatality Analysis Reporting System Annual Report File
CFR	Code of Federal Regulation
CY	Calendar Year
FARS	Fatality Analysis Reporting System
FHWA	Federal Highway Administration
HPMS	Highway Performance Monitoring System
HSIP	Highway Safety Improvement Program
HSP	Highway Safety Plan
NHTSA	National Highway Traffic Safety Administration
PM	Performance Management
PY	Performance Year
State DOT	State Department of Transportation
TPM	Transportation Performance Management
USC	United States Code
VMT	Vehicle miles traveled