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# **Transportation Performance Management State-MPO-Transit Agency Coordination**

### www.fhwa.dot.gov/tpm/videos

TPM requirements for coordination among State Departments of Transportation, Metropolitan Planning Organizations, and operators of public transportation



### **Topics Covered** in Video

- What must be coordinated
- Noteworthy practices
- How coordination must occur

## Statutes & Regulations

- 23 CFR 450.206(c)
- 23 CFR 450.208(a)
- 23 CFR 450.208(a)(1)
- 23 CFR 450.314(a)
- 23 CFR 450.314(e)
- 23 CFR 450.314(f)
- 23 CFR 450.314(h)
- 23 CFR 490.103(e)
- 23 CFR 490.103(f)
- 23 CFR 490.105
- 23 CFR 490.105(c)
- 23 CFR 490.105(e)(1)
- 23 CFR 490.105(e)(2)
- 23 CFR 490.105(f)
- 23 CFR 490.105(f)(1)
- 23 CFR 490.105(f)(2)
- 23 CFR 490.105(f)(3)
- 23 CFR 490.107(c)
- 23 CFR 490.213(a)
- 23 CFR 924.15(a)

The Transportation Performance Management Topic Videos series, produced by the Federal Highway Administration (FHWA), provides State Departments of Transportation, Metropolitan Planning Organizations, operators of public transportation, and other interested parties guidance for implementing Transportation Performance Management (TPM).

Coordination of Transportation Performance Management (TPM) activities is part of wider transportation planning processes among State Departments of Transportation (DOTs), Metropolitan Planning Organizations (MPOs), and operators of public transportation. This coordination helps maximize the value of TPM as a tool to support achieving national transportation performance goals.

In this video, you'll learn about what must be coordinated; which noteworthy practices can facilitate coordination; and how coordination must occur.



### What must be coordinated?

Topics that state DOTs, MPOs, and operators of public transportation must coordinate on: national performance measure data collection and analysis; measure target setting; and reporting progress for national performance measures. Coordination on target setting is likely to require the most effort.

### Jointly Agreed-upon Written Provisions on Coordination.

While partners have a lot of flexibility to determine how coordination happens, under Federal regulations they must have written agreements to document how it will happen. These jointly agreed-upon written provisions can be added to existing metropolitan planning agreements. If developed as stand-alone procedures, they may be documented in some other form outside of the metropolitan planning agreement as cooperatively determined by the MPO(s), State(s), and operators of public transportation.

The jointly agreed-upon written provisions must identify the roles and responsibilities of the States, MPOs, and operators of public transportation for carrying out federal TPM and Performance-based Planning and Programming requirements. Jointly agreed-upon written provisions must address:

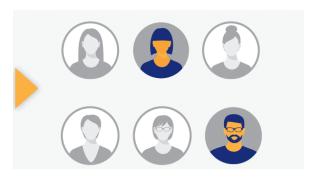
- which performance data is going to be used, collected, and shared;
- how targets will be coordinated and set;
- how performance progress will be reported;
- how progress toward attainment of critical outcomes for the region of the MPO will be tracked; and
- how data will be collected for the State's National Highway System asset management plan.

When the boundaries of the urbanized area or a metropolitan area span more than one State, a special single written agreement is required that includes all the affected States, MPOs, and operators of public transportation, including the process for how they're going to coordinate.

### Which noteworthy practices can facilitate coordination?

Aside from the written agreement, here are some practices that could make coordination easier:

 Identify a lead in each agency for performancebased planning and TPM. This champion could be an individual, such as the performance management officer, or an office, such as the strategic planning office. In either case, this person or entity could have responsibility for marshalling resources, managing the schedule, and coordinating activities among the many groups involved.



Find or create a forum for all the participants.
 This could occur through an inter-agency working group, through regular meetings, workshops, or even conference calls or virtual teams. Many States, MPOs, and operators of public transportation already have good ways of sharing data and planning for the development of Statewide Transportation Improvement Programs (STIPs) and Transportation Improvement Programs (TIPs). Partners can build off those existing processes or create a new one.



Schedule times for coordination. Partners
may be able to piggyback on existing
meetings, or you may wish to designate a
new time to coordinate data collection and
analysis, target setting, and reporting
activities. The important point, though, is to
dedicate times when all the parties can be
involved – and to make this an ongoing
process.



### Specifically, how should agencies coordinate targets?

Under the TPM performance measures and transportation planning regulations, State DOTs and MPOs must set targets for various performance measures. Because coordination of target setting is a core part of TPM, FHWA has identified steps to help state and local partners implement a coordinated target-setting process. Coordination of targets and strategies to achieve them helps ensure that scarce resources go toward activities that all entities agree can best achieve national, state, and local transportation goals.

When setting targets, States and MPOs should consider using the following steps:

- · Identify and Organize Key Partners;
- · Share Data;
- Understand Baseline Conditions;
- Explore Factors Influencing Performance; and
- Establish Targets.

FHWA offers both an online and an instructorbased course entitled, "Effective Target Setting for Transportation Performance Management." Both can be requested from the National Highway Institute's website.

While MPOs may either adopt the State targets or develop their own, in each case, both the State and MPO are required to coordinate to "the maximum extent practicable" to ensure consistency. MPOs may want to consider adopting the State's targets if they lack data or expertise in certain areas.

Once targets have been established, States and MPOs should track progress toward those targets. That will help them program projects to support progress or to adjust targets.



#### When should coordination occur?

General coordination on data gathering and analysis should be a regular part of transportation agencies' performance management process throughout each performance period. For all measures, MPOs have up to 180 days from the time the State has established or adjusted its target to either establish their own or adopt the State DOT's targets. Multistate MPOs have up to 180 days from the time the last State DOT establishes its targets to establish MPO targets. This will allow the MPO to consider all the applicable State targets that impact its metropolitan planning area. The MPOs and State DOTs should collectively develop and implement a mutually agreed upon coordination process so that both MPOs and State DOTs meet their respective target establishment and reporting deadlines. The requirements to coordinate on target setting to the maximum extent practicable help all parties achieve common outcomes.

Coordinating data collection and analysis, target setting, and the monitoring of targets has great benefits. It makes the planning process more predictable and transparent for the public; promotes synergies between State and local stakeholders; and helps achieve national, State, and local transportation goals.

### **State-MPO-Transit Agency Coordination**

#### Additional Resources

Extend your learning through more detailed resources and through interactive learning methods.

Web-Based Training on TPM:

https://www.fhwa.dot.gov/tpm/resources/training.cfm

General Portal for FHWA Resources:

https://www.fhwa.dot.gov/research/library/

National Highway Institute (NHI) Course Catalogue on Transportation Performance Management: <a href="https://www.nhi.fhwa.dot.gov/downloads/catalog/transportation-performance-management all-delivery-types\_sorted-by-title.pdf">https://www.nhi.fhwa.dot.gov/downloads/catalog/transportation-performance-management all-delivery-types\_sorted-by-title.pdf</a>

#### National Highway Institute (NHI) Courses on Transportation Performance Management

Transportation Performance Management Overview for the MAP-21 and FAST Acts

Course number: FHWA-NHI-138004 https://www.nhi.fhwa.dot.gov/course-

search?tab=0&key=transportation+performance+management&sf=0&course\_no=138004

The Role of Data in Transportation Performance Management

Course number: FHWA-NHI-138011 https://www.nhi.fhwa.dot.gov/course-

search?tab=0&key=transportation+performance+management&sf=0&course no=138011

Effective Target Setting for Transportation Performance Management

Course number: FHWA-NHI-138012

 $\underline{https://www.nhi.fhwa.dot.gov/course-search?tab=0\&key=138012\&course\_no=138012\&sf=0$ 

Transportation Performance Management for Pavements

Course number: FHWA-NHI-138009 https://www.nhi.fhwa.dot.gov/course-

search?tab=0&key=transportation+performance+management&sf=0&course\_no=138009

Transportation Performance Management for Safety

Course number: FHWA-NHI-138006 https://www.nhi.fhwa.dot.gov/course-

search?tab=0&key=transportation+performance+management&sf=0&course no=138006

Transportation Performance Management Awareness - Federal Aid Version

Course number: FHWA-NHI-138001 https://www.nhi.fhwa.dot.gov/course-

search?tab=0&key=transportation+performance+management&sf=0&course\_no=138001

Performance-Based Management of Highway Bridges

Course number: FHWA-NHI-130109B https://www.nhi.fhwa.dot.gov/course-

search?tab=0&key=transportation+performance+management&sf=0&course\_no=130109B

Performance-based Planning and Programming Course number: FHWAFHWA-NHI-138007 https://www.nhi.fhwa.dot.gov/course-

search?tab=0&key=transportation+performance+management&sf=0&course\_no=138007

#### You may also learn more at:

https://highways.dot.gov/research

http://www.facebook.com/FederalHighwayAdmin

http://www.flickr.com/photos/fhwa

http://www.linkedin.com/company/federal-highway-administration

http://www.twitter.com/USDOTFHWA

http://www.youtube.com/user/USDOTFHWA

The content of this document is not a substitute for information obtained from State departments of transportation, appropriate FHWA Division Offices, and applicable laws. Scenarios have been simplified for emphasis and do not necessarily reflect the actual range of requirements applicable to the scenario or this topic.

This document was created under contract number DTFH61-13-D-0004 by the Federal Highway Administration, U.S. Department of Transportation, and is offered to the public to heighten and focus awareness of Federal-aid requirements within the local public agencies community and reinforces the importance of the necessary policies, procedures, and practices. This companion resource contains the script content for the video production of the same name.