

Global Benchmarking Program Study on Unmanned Aerial Systems (UAS) for Surface Transportation

Domestic Desk Review



U.S. Department
of Transportation

**Federal Highway
Administration**

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FOREWORD

This report presents the results of research conducted for the Federal Highway Administration (FHWA) on the state of the practice for the use of unmanned aerial systems (UAS) for surface transportation operations in the United States. The information presented may be of interest to State and local transportation operators or policymakers who are considering incorporating UAS into the operational frameworks.

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APPROXIMATE CONVERSIONS TO SI UNITS

| Symbol | When You Know | Multiply By | To Find | Symbol |
|--|----------------------------|-----------------------------|-----------------------------|-------------------|
| LENGTH | | | | |
| in | inches | 25.4 | millimeters | mm |
| ft | feet | 0.305 | meters | m |
| yd | yards | 0.914 | meters | m |
| mi | miles | 1.61 | kilometers | km |
| AREA | | | | |
| in ² | square inches | 645.2 | square millimeters | mm ² |
| ft ² | square feet | 0.093 | square meters | m ² |
| yd ² | square yard | 0.836 | square meters | m ² |
| ac | acres | 0.405 | hectares | ha |
| mi ² | square miles | 2.59 | square kilometers | km ² |
| VOLUME | | | | |
| fl oz | fluid ounces | 29.57 | milliliters | mL |
| gal | gallons | 3.785 | liters | L |
| ft ³ | cubic feet | 0.028 | cubic meters | m ³ |
| yd ³ | cubic yards | 0.765 | cubic meters | m ³ |
| NOTE: volumes greater than 1000 L shall be shown in m ³ | | | | |
| MASS | | | | |
| oz | ounces | 28.35 | grams | g |
| lb | pounds | 0.454 | kilograms | kg |
| T | short tons (2000 lb) | 0.907 | megagrams (or "metric ton") | Mg (or "t") |
| TEMPERATURE (exact degrees) | | | | |
| °F | Fahrenheit | 5 (F-32)/9 or (F-32)/1.8 | Celsius | °C |
| ILLUMINATION | | | | |
| fc | foot-candles | 10.76 | lux | lx |
| fl | foot-Lamberts | 3.426 | candela/m ² | cd/m ² |
| FORCE and PRESSURE or STRESS | | | | |
| lbf | poundforce | 4.45 | newtons | N |
| lbf/in ² | poundforce per square inch | 6.89 | kilopascals | kPa |

APPROXIMATE CONVERSIONS FROM SI UNITS

| Symbol | When You Know | Multiply By | To Find | Symbol |
|-------------------------------------|-----------------------------|-------------|----------------------------|---------------------|
| LENGTH | | | | |
| mm | millimeters | 0.039 | inches | in |
| m | meters | 3.28 | feet | ft |
| m | meters | 1.09 | yards | yd |
| km | kilometers | 0.621 | miles | mi |
| AREA | | | | |
| mm ² | square millimeters | 0.0016 | square inches | in ² |
| m ² | square meters | 10.764 | square feet | ft ² |
| m ² | square meters | 1.195 | square yards | yd ² |
| ha | hectares | 2.47 | acres | ac |
| km ² | square kilometers | 0.386 | square miles | mi ² |
| VOLUME | | | | |
| mL | milliliters | 0.034 | fluid ounces | fl oz |
| L | liters | 0.264 | gallons | gal |
| m ³ | cubic meters | 35.314 | cubic feet | ft ³ |
| m ³ | cubic meters | 1.307 | cubic yards | yd ³ |
| MASS | | | | |
| g | grams | 0.035 | ounces | oz |
| kg | kilograms | 2.202 | pounds | lb |
| Mg (or "t") | megagrams (or "metric ton") | 1.103 | short tons (2000 lb) | T |
| TEMPERATURE (exact degrees) | | | | |
| °C | Celsius | 1.8C+32 | Fahrenheit | °F |
| ILLUMINATION | | | | |
| lx | lux | 0.0929 | foot-candles | fc |
| cd/m ² | candela/m ² | 0.2919 | foot-Lamberts | fl |
| FORCE and PRESSURE or STRESS | | | | |
| N | newtons | 0.225 | poundforce | lbf |
| kPa | kilopascals | 0.145 | poundforce per square inch | lbf/in ² |

*SI is the symbol for the International System of Units. Appropriate rounding should be made to comply with Section 4 of ASTM E380.
(Revised March 2003)

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List of Abbreviations

| Abbreviation | Term |
|--------------|--|
| AASHTO | American Association of State Highway and Transportation Officials |
| BIM | Building Information Modeling |
| BVLOS | Beyond Visual Line of Sight |
| DOT | Department of Transportation |
| EIM | Enterprise Information Management |
| FAA | Federal Aviation Administration |
| FHWA | Federal Highway Administration |
| GPS | Global Positioning System |
| LAANC | Low Altitude Authorization and Notification Capability |
| LiDAR | Light Detection and Ranging |
| NASA | National Aeronautics and Space Administration |
| NCHRP | National Cooperative Highway Research Program |
| NCSL | National Council of State Legislatures |
| NOTAM | Notices to Airmen |
| RPAS | Remotely Piloted Aircraft Systems |
| RVAS | Remotely Piloted Aerial Vehicles |
| SfM | Structure-from-Motion |
| SLAM | Simultaneous Localization and Mapping |
| STLS | Stationary Terrestrial Laser Scanning |
| TFR | Temporary Flight Restrictions |
| TIM | Traffic Incident Management |
| TRL | Technology Readiness Level |
| TLS | Terrestrial Laser Scanning |
| UAS | Unmanned Aerial Systems |
| UAV | Unmanned Aerial Vehicle |
| USS | UAS Service Suppliers |
| UTM | UAS Traffic Management |
| VDC | Virtual Design and Construction |

1. Introduction

Overview

This report presents a high-level summary of the current state of the practice of unmanned aerial systems (UAS) in the United States. Section 2 provides an overview of the landscape of current and planned UAS use cases by State Departments of Transportation (DOTs) and other public agencies. This overview is not meant to be exhaustive, but rather to highlight use cases that may be of particular interest to Federal Highway Administration (FHWA) stakeholders and the general public. Non-highway use cases are also noted, as these activities may feature transferable technology capabilities or processes that could be adapted to highway needs.

Section 3 notes the current policy and regulatory processes governing UAS flight operations at both the State and Federal level. This section identifies areas where States have developed additional rules or processes to address local needs or concerns.

Section 4 discusses processes for collecting, managing, and analyzing data generated from UAS operations and identifies challenges faced by transportation agencies in adapting to new sources and quantities of data.

Finally, section 5 identifies areas that early UAS deployers have identified as priorities for future UAS research and development and notes policy operational or policy gaps where lessons learned from international UAS deployments could provide valuable insights.

Throughout this document, the term UAS is used broadly to refer to unmanned aerial vehicles (UAVs) incorporating varying levels of automation technologies. For clarity, UAS is used as a general term to discuss systems that may be referred to by practitioners or researchers as drones, remotely piloted aircraft systems (RPAS), UAVs remotely piloted aerial vehicles (RVAS), or other similar terms.

The technical and operational capabilities of UAS are rapidly evolving. As a result, new use cases or operational techniques may be developed and adopted following the publication of this report.

Methodology

A significant portion of the information presented in this document was collected as part of the National Cooperative Highway Research Program (NCHRP) 17-01 Domestic Scan.¹ The study team assessed both the final desk scan report as well as unpublished notes and presentations to develop an inventory of use cases, flight operations procedures, and data management practices. Additional Internet searches were conducted to understand the current state of Federal- and

State-level legislation, regulations, policies, guidance, and research relating to the use of UAS in the United States.

2. UAS Use Cases

A recent survey conducted by the University of Massachusetts Transportation Center found that the following categories encompass the majority of current and planned UAS activities: asset management, construction, disaster management, environmental monitoring, infrastructure inspection, surveillance, and traffic operations.² A similar 2018 American Association of State Highway and Transportation Officials (AASHTO) survey provided additional detail, noting that “all of the 20 State DOTs operating drones on a daily basis are deploying them to gather photos and videos of highway construction projects. In addition to photography, 14 States also reported using [UAS] for surveying, 12 for public education and outreach, 10 for bridge inspections, 8 for emergency response, 6 for pavement inspections, 5 for scientific research, 2 for daily traffic control and monitoring, and 1...to conduct high-mast light pole inspections.”³

According to this survey, an additional 15 States were actively researching opportunities to use UAS technology. A follow-up survey conducted by AASHTO in 2019 illustrated the increasing use of this technology, with the number of State DOTs’ funding centers or programs for UAS operations growing to 36.⁴ These results reflect the steadily growing interest in and adoption of UAS by the highway community. A comprehensive summary of State surveys and domestic scans is maintained by the National Transportation Library’s National Transportation Knowledge Network.⁵

This section summarizes current exploratory and operationalized UAS use cases at State DOTs and other public agencies across the United States. Many, but not all, of these use cases relate either directly or indirectly to highway- or infrastructure-related activities. Building from the terminology of the Technology Readiness Level (TRL) scale, Research (TRL 1-5), Development (TRL 6-8), and Implementation (TRL 9) are used to categorize the following use cases according to maturity level at the conclusion of this section.

Infrastructure Inspection and Monitoring

Bridge Inspection

UAS are capable of collecting data on a bridge’s physical and functional condition. Traditionally, bridge inspections have been a time- and labor-intensive process, typically completed by crews accessing various areas of the bridge using a combination of equipment (e.g., ladders, ropes, under-bridge vehicles, aerial work platforms, etc.).

Specific bridge inspection use cases include automated spall detection, delamination detection, asset management and condition assessment, underside inspection, and crack comparison. Geospatial outputs for UAS bridge deck sensing include orthoimages, digital elevation models, hillshade, thermal imagery, and point clouds. UAS are also used by some States to develop infrared and 3D models of bridge structures to monitor and measure cracking and condition

information and to easily document and share inspection notes. Optical, thermal, and light detection and ranging (LiDAR) imagery from UAS support condition state monitoring and inform deterioration models.

Identification, Assessment, and Inventorying of Roadway Assets

Condition assessment imagery generated by UAS is used for asset management purposes. In addition to monitoring the condition of infrastructure (e.g., pavement cracking), algorithms and classifiers can be trained to detect and track assets such as signs, guard rails, and lamps.⁶

To support corridor mapping efforts, additional work is underway to use UAS and photogrammetry to develop 3D models to provide site and elevation information and to conduct surface surveys. This technique “provides real-world models for conceptual design, construction, and operational decisions, using simple photography rather than expensive LiDAR. 3D models created using [UAS] photogrammetry can be assessed and shared in CAD or GIS.”⁷ UAS surveying is also being considered as a method to support road design efforts.⁸

Virtual Design and Construction

Some State DOTs are using UAS in conjunction with stationary terrestrial laser scanning (STLS) and conventional surveying to support virtual design and construction (VDC).⁹ UAS are used to help develop 3D parametric models in the initial phase of project development. These models are compatible with building information modeling (BIM) and virtual design software and can help significantly reduce the amount of time needed for the surveying process. UAS data also can function in BIM software to improve project design, monitoring, and tracking.¹⁰

Confined Space Inspection

UAS are being tested as a mechanism for conducting inspections of confined spaces (e.g., wells, culverts, tunnels, pump stations) that may be difficult or impossible for humans to access. This methodology has proven successful for inspections in or between steel and concrete box beams and pier towers.¹¹

Volumetric Analysis

Using photogrammetric techniques, UAS provide accurate volume estimates much more quickly than traditional methods using tape measurements or survey-grade Global Positioning System (GPS) or LiDAR equipment. Additionally, UAS provide 3D digital data, which can be used for analysis and record-keeping.¹² This technique is useful for applications such as estimating the volume of fill needed for damaged roadway repairs,¹³ or to compute volumes of aggregate mounds via digital elevation models.¹⁴ Other uses include enabling landfill volume calculations or estimating the amount of water that would result in a body of water flooding and potentially endangering travelers on a nearby roadway.¹⁵

Construction Monitoring and Inspection

UAS collect data to inform the progress or quality of a construction project. Specifically, UAS are used for project documentation (via photos or video) and project management, inspection, and monitoring (e.g., control measures, bridge pours, or traffic control).¹⁶

Railroad Inspection

UAS are being tested for their effectiveness in inspecting railway conditions, including expansion, contraction, and cracking, via a thermal camera. Additional applications include inspecting track elements along the railway right-of-way, inspecting railway crossings, and supporting security efforts. UAS-based methods are expected to yield significant time and cost savings as compared to traditional manual inspections conducted by foot or with the use of a specially equipped truck.¹⁷ Lessons learned regarding UAS inspection capabilities could inform the use of these technologies for highway infrastructure defect detection, asset monitoring, or structural assessments.

High Mast Light Pole Inspection

UAS collect data to inform the proper functioning of a high mast light pole, which can reduce or eliminate the need to remove guard rails, restrict travel, and perform manual inspections.¹⁸ Such an inspection might identify the need to replace a fixture (e.g., light) or service a component (e.g., clean the luminary). In addition to providing safety benefits, UAS offer closer views and higher definition photos, which have been used by agencies to develop photo logs for identifying and tracking potential issues.¹⁹

Traffic Signal Inspection

UAS are anticipated to be used to collect data to inform the proper functioning of traffic signals.²⁰

Transportation Systems Management and Operations

Traffic Incident Management

As of 2017, 11 State DOTs had or planned to research UAS applications for traffic incident management (TIM). Applications being tested include real-time traffic surveillance, simulation models calibration, vehicle and traffic conditions quantification, and semi-automated video and image annotation.²¹ A demonstration of UAS for TIM determined that UAS are able to provide “real-time enhanced video and photography, non-video sensor data, payload mobility...communication of data to a traffic incident command center, guided mobile data collection, safe flight operation near or over live traffic, real-time confirmation and monitoring of a traffic incident, as well as monitoring of alternate routes, incident queuing, and secondary

crashes...[However], researchers noted shortcomings and concerns with UAS-TIM capabilities for crash scene mapping,” including the permissions associated with operating over public roadways and whether UAS images are sufficiently detailed for use in legal proceedings.²²

Traffic information collected from UAS data can be used to calculate vehicle speed, cumulative number of vehicles entering/exiting a road, traffic in-flow and out-flow rates, traffic density, and space mean speed. Future applications could include using UAS in more complex traffic or weather conditions, advancing to a fully automatic vehicle detection tool, or demonstrating deployment in a traffic operations center.²³ UAS also collect data that researchers have used to map out traffic incidents that cause delays, such as a scene of a car crash. Researchers report that UAS can map a car crash scene in 5-8 minutes, whereas conventional practices can take 2-3 hours for a severe crash.²⁴ Operating UAS from the right-of-way has the potential to mitigate or eliminate procedural concerns regarding operations over live traffic.²⁵

Collision Scene Reconstruction and Investigation

In combination with advanced imaging software, UAS have the potential to investigate and document collision scenes much more efficiently and allow roadways to be reopened more quickly than traditional methods, such as total station surveying and laser scanning. There may be some limitations to using UAS for this purpose in inclement weather or at night.²⁶

Parking Lot Utilization Monitoring

UAS images and videos are used to assess parking lot capacity and the number of available and occupied spots. This information can help support commuter and event management, as well as parking forecasting efforts.²⁷ This approach also has the potential to be leveraged to support both real-time traffic monitoring and dynamic traffic demand forecasting.

Geological Monitoring and Research to Inform the Protection of Transportation Assets

The use cases noted below represent areas where UAS data have supplemented traditional monitoring and research techniques to more quickly and accurately inform efforts to protect transportation assets from geologic hazards.

Landslide and Rockslide Prediction and Monitoring

UAS capture visual data of rock-covered slopes at risk for rockslides. UAS data are capable of identifying changes over time that forewarn the occurrence of a rockslide. Using photogrammetry techniques, UAS imagery informs the development of “digital surface models used to evaluate rock-slope stability and landslide risk along transportation corridors...a safer alternative to the deployment and operation of [terrestrial laser scanning (TLS)] operating on a road shoulder because UAS can be launched and recovered from a remote location and capable

of imaging without flying directly over the road. However, both the UAS and TLS approaches still involve traditional survey control and photo targets to accurately geo-reference their respective digital surface models.”²⁸

Erosion Research

UAS capture images to investigate areas of land that are deteriorating (or at risk of deteriorating) from natural causes such as wind and water. As part of bridge inspection efforts, UAS have been used “as an effective method to determine stream or river bank conditions upstream or downstream of the bridge as well as capture large overall aerial maps of dynamic bank erosion and lateral scour conditions.”²⁹ This technique has also been used to aid post-disaster road reconstruction efforts.³⁰

Geohazard Modeling and Monitoring

High-quality images and LiDAR data generated by UAS are useful for monitoring and assessing geohazards that could potentially impact roadways, such as rockslides, landslides, debris flows, embankment failures, sinkholes, and avalanches. UAS data also are useful for geohazard modeling (e.g., change detection, asset placement, project engineering, site monitoring). The use of UAS has been proven safer and more cost-effective than traditional means of geohazard monitoring, such as using helicopters.³¹

Geologic Mapping

UAS are capable of quantitative and qualitative hazard analysis via the following activities: “gaining an elevation advantage during reconnaissance; locating outcrops; producing scaled orthophoto mosaics for base maps; creating contoured topographic maps; and generating 3D computer models for manipulation, analysis, and 3D printing.” These outputs can then be used to conduct damage assessments.³²

Weather-Related Data Collection to Support Traffic and Incident Monitoring

The applications listed below support weather-responsive traffic management operations by enabling proactive planning via improved forecasting techniques and by facilitating response and recovery efforts. Understanding the intensity, duration, and path of weather events can inform decisions about infrastructure management, such as establishing detour routes or determining whether roadways should remain open.

Storm Damage Assessment

UAS are used to capture aerial imagery of the damage incurred from a storm. Assessing the damage from this perspective can provide immediate actionable information about the condition of transportation assets. UAS also support a variety of storm response activities including

firefighting, search and rescue, law enforcement, utility or other critical infrastructure restoration, incident awareness and analysis, damage assessment supporting disaster recovery related insurance claims, and media coverage for providing crucial information to the public.³³

Forecasting

Small UAS are being researched for their ability to collect atmospheric data to improve weather forecasts. Traditional atmospheric data collection technologies include ground-based instruments and weather balloons. Small UAS are expected to overcome the limitations of weather balloons (e.g., the frequency with which they can be launched).³⁴

Snow Mapping

There is interest in using UAS for snow mapping operations.³⁵ Research has been conducted to compare the efficacy of snow-depth measurements from UAS photogrammetry with traditional manual probing techniques, which may enable improved snow removal efforts on critical roadways.³⁶

Future Use Cases

Key areas of current and planned research include the following activities, with many smaller-scale efforts also underway across the Nation.

- **Federal Aviation Administration:**
UAS operational capabilities and restrictions, including airspace integration, low-altitude traffic management, detect and avoid, communication, human factors, system safety, and certification. Information about Federal Aviation Administration's (FAA) research activities can be found at https://www.faa.gov/uas/research_development/.
- **Federal Highway Administration:**
The Every Day Counts initiative includes a focus area on highway transportation use cases. For additional information, please visit https://www.fhwa.dot.gov/innovation/everydaycounts/edc_5/uas.cfm.
- **National Aeronautics and Space Administration:**
Details about the UAS Traffic Management (UTM) research and development can be found at <https://utm.arc.nasa.gov/index.shtml>.
- **National Science Foundation:**
The National Science Foundation has awarded over 40 UAS-related research grants since 2017.³⁷ Additional information about these research grants is available at <https://www.nsf.gov/funding/>.
- **Center for Unmanned Aircraft Systems:**
Information about this cooperative research center involving Brigham Young University, the University of Colorado at Boulder, Virginia Tech, the University of Michigan, and Texas

A&M University can be found at <https://c-uas.org/about>.

- **Other Surface Transportation Initiatives:**
 - UMassAIR Research Projects:
https://www.umasstransportationcenter.org/umtc/UMassAir_Research_Projects.asp
 - Application of Unmanned Aerial Systems in Surface Transportation Projects:³⁸
 - Assessing roadway pavement condition with UASs
 - Evaluating speed sensing using UAS
 - Investigating the development of an emergency service UAS network to support surface transportation
 - Assessing situational awareness technology to support surface transportation
 - Evaluation of cybersecurity threats and countermeasures to surface transportation
 - Implementation, outreach, and technology transfer coordination and management
 - Unmanned Aircraft Vehicles for Mobile Sensing in Full Scale Structural Testing
 - Understanding Traffic Behavior
 - National Transportation Library Database - Unmanned Aerial Vehicles and Systems: Research Feed: <https://transportation.libguides.com/uav/RSS>
 - Relevant projects include:³⁹
 - Delivering Maintenance and Repair Actions via Automated/Robotic Systems, Caching Unmanned Aerial Vehicle-Enabled Small-Cell Networks
 - Robotic System for Inspection by Contact of Bridge Beams Using UAVs
 - Testing Unmanned Aircraft for Roadside Snow Avalanche Monitoring
 - UAV Bridge Inspection through Evaluated 3D Reconstructions Applications of UAVs in Civil Infrastructure

Additionally, the FAA's Integration Pilot Program supports research into emerging use cases at nine pilot sites across the United States. Insights gained from these proof of concept operations could lead to new operations for State DOTs and other FHWA stakeholders.⁴⁰

- **Choctaw Nation of Oklahoma, Durant, OK:** Agricultural, public safety, and infrastructure inspections; Beyond Visual Line of Sight (BVLOS) operations over people, nighttime operations.
- **City of San Diego, CA:** Border protection, package delivery of food, international commerce, Smart City/autonomous vehicle interoperability, surveillance.

- **Innovation and Entrepreneurship Investment Authority, Herndon, VA:** Package delivery in rural and urban settings; use of enabling technologies such as detect and avoid, identification and tracking, radar systems, and mapping tools.
- **Kansas Department of Transportation, Topeka, KS:** BVLOS operations in rural communities, precision agriculture operations using a statewide unmanned traffic management system.
- **Memphis-Shelby County Airport Authority, Memphis, TN:** Inspection of FedEx aircraft, autonomous operations to support airport operations (e.g., perimeter security surveillance; package delivery; working with a UTM concept that would also work with manned air traffic).
- **North Carolina Department of Transportation, Raleigh, NC:** Localized package delivery within a defined airspace.
- **North Dakota Department of Transportation, Bismarck, ND:** Diverse operations to expand UAS operations at night and BVLOS, leading to scalable operations for industries such as linear infrastructure operations, crop health monitoring, media reporting, and emergency response.
- **City of Reno, NV:** Time-sensitive delivery of life-saving medical equipment, such as medical defibrillators in emergency situations in urban and rural environments.
- **University of Alaska-Fairbanks, Fairbanks, AK:** Pipeline inspection and surveying in remote areas and harsh climate conditions; operations in both rural and urban areas, including public safety operations and UAS detection.

Maturity Level of Use Cases

One way to assess and communicate the maturity level of the various use cases presented above is to use the Technology Readiness Level Scale (Table 1).⁴¹ A technology readiness level (TRL) is a number that is assigned to a technology based on how far along that technology is in the process of research, development, and implementation. Considering and answering the questions in the Requirements column of the Technology Readiness Level Scale table helps to determine the TRL of the technology undergoing analysis. For the purposes of this report, two research categories—Basic Research and Applied Research—are grouped into one Research category. Use cases that fall into TRLs 1-5 are categorized as Research, TRLs 6-8 as Development, and TRL 9 as Implementation.

Table 1. Technology Readiness Level Scale

| TRL | Category | Description | Requirements |
|------------|------------------|--|---|
| 1 | Basic Research | Basic principles and research | <ul style="list-style-type: none"> • Do basic scientific principles support the concept? • Has the technology development methodology or approach been developed? |
| 2 | | Application formulated | <ul style="list-style-type: none"> • Are potential system applications identified? • Are system components and the user interface at least partly described? • Do preliminary analyses or experiments confirm that the application might meet the user need? |
| 3 | | Proof of concept | <ul style="list-style-type: none"> • Are system performance metrics established? • Is system feasibility fully established? • Do experiments or modeling and simulation validate performance predictions of system capability? • Does the technology address a need or introduce an innovation in the field of transportation? |
| 4 | Applied Research | Components validated in laboratory environment | <ul style="list-style-type: none"> • Are end-user requirements documented? • Does a plausible draft integration plan exist, and is component compatibility demonstrated? • Were individual components successfully tested in a laboratory environment (a fully controlled test environment where a limited number of critical functions are tested)? |
| 5 | | Integrated components demonstrated in laboratory environment | <ul style="list-style-type: none"> • Are external and internal system interfaces documented? • Are target and minimum operational requirements developed? • Is component integration demonstrated in a laboratory environment (fully controlled setting)? |

| TRL | Category | Description | Requirements |
|------------|-----------------|---|--|
| 6 | Development | Prototype demonstrated in relevant environment | <ul style="list-style-type: none"> • Is the operational environment (that is, user community, physical environment, and input data characteristics, as appropriate) fully known? • Was the prototype tested in a realistic and relevant environment outside the laboratory? • Does the prototype satisfy all operational requirements when confronted with realistic problems? |
| 7 | | Prototype demonstrated in operational environment | <ul style="list-style-type: none"> • Are available components representative of production components? • Is the fully integrated prototype demonstrated in an operational environment (real-world conditions, including the user community)? • Are all interfaces tested individually under stressed and anomalous conditions? |
| 8 | | Technology proven in operational environment | <ul style="list-style-type: none"> • Are all system components form-, fit-, and function-compatible with each other and with the operational environment? • Is the technology proven in an operational environment (meet target performance measures)? • Was a rigorous test and evaluation process completed successfully? • Does the technology meet its stated purpose and functionality as designed? |
| 9 | Implementation | Technology refined and adopted | <ul style="list-style-type: none"> • Is the technology deployed in its intended operational environment? • Is information about the technology disseminated to the user community? • Is the technology adopted by the user community? |

Table 2. Maturity Level of Identified Use Cases

| Use case | Maturity level | Justification for Maturity Level Categorization |
|---|--------------------------------|---|
| Infrastructure Inspection and Monitoring | | |
| Bridge Inspection | Development/ Implementation | Several State DOTs, including Alabama, California, Connecticut, Florida, Idaho, Kentucky, Michigan, and Minnesota, have tested UAS assistance for bridge data collection. ^{42,43,44} A 2018 AASHTO survey found that at least 10 State DOTs were operating UAS for bridge inspection purposes. ⁴⁵ However, there is a range of research and development activity in bridge inspection use cases among State DOTs, and specific bridge inspection use cases may fall into lower maturity levels. |
| Identification, Assessment, and Inventorying of Roadway Assets | Development | Six State DOTs, including Ohio and Vermont, report using UAS for pavement inspections, but these activities appear to primarily be in the testing phase. ⁴⁶ |
| Virtual Design and Construction (VDC) | Development | While VDC is used regularly by general contractors in the private sector, ⁴⁷ there is limited documentation of use of UAS-supported VDC by public agencies. However, some States have incorporated UAS into their surveying operations to inform design projects. ⁴⁸ |
| Confined Space Inspection | Development | Minnesota DOT has demonstrated the use of UAS for confined space inspections ⁴⁹ and has shared videos and other data collected during such inspections. ^{50,51} |
| Volumetric Analysis | Implementation | There are several examples of academic research projects exploring volumetric analysis, ⁵² and multiple State DOTs actively use UAS for this purpose, including Colorado’s work estimating pond capacity, ⁵³ Michigan’s work estimating aggregate mound volume, ⁵⁴ and Vermont’s volume estimation for damaged roadways. ⁵⁵ |
| Construction Monitoring and Inspection | Development/ Implementation | Several States, including North Carolina and Montana, use UAS-based imagery to improve construction monitoring and inspection, and additional research is underway to incorporate UAS into “smart construction” processes. ^{56,57} |

| Use case | Maturity level | Justification for Maturity Level Categorization |
|---|--------------------------------|---|
| Railroad Inspection | Development | While many potential uses for railway inspections have been identified, most States are in the early stages of incorporating these activities into their standard operations. State agencies in Vermont and North Carolina have explored the use of UAS for projects involving rail. ⁵⁸ Additionally, the FAA Focus Area Pathfinder Program is using UAS to conduct supplemental track and structure inspection and track integrity flights for BNSF, which may provide information that could be applied to similar inspections of highway transportation assets. ⁵⁹ |
| Parking Lot Utilization Monitoring | Development | UAS have been successfully tested as a tool for monitoring parking lots, but there is limited evidence of this use case currently in practice at State DOTs. Media reports indicate that Arizona, Colorado, and Delaware officials have used UAS to monitor parking lot utilization. ⁶⁰ This information can be used to inform real-time traffic monitoring efforts. |
| High Mast Light Pole Inspection | Implementation | New Jersey has demonstrated a high number of successful flight operations. In January 2018, NJDOT reported 241 of their 250 high mast light pole inspections were successfully completed with UAS. ⁶¹ |
| Traffic Signal Inspection | Research | Traffic signal inspection has been identified as a potential future use case, but there is little evidence to support current use at State DOTs. |
| Transportation Systems Management and Operations | | |
| Traffic Incident Management (TIM) | Development/ Implementation | Significant research and testing has been completed, notably in the State of Michigan, and some States have begun to operationalize UAS into specific aspects of TIM. However, several gaps have been identified where further research and development is needed to better supplement or replace traditional methods. ⁶² Surveys conducted by AASHTO indicate that numerous States are interested in or have begun testing this use case. |
| Collision Scene Reconstruction and Investigation | Development | While North Carolina DOT has demonstrated that using UAS-based imaging software for collision scene reconstruction has potential time and cost benefits, ⁶³ additional work is needed to fully integrate UAS in more challenging situations, such as inclement weather or at night. Tippecanoe County Sheriff's Office in Indiana tested the technology at crash sites 20 times in 2018. ⁶⁴ |

| Use case | Maturity level | Justification for Maturity Level Categorization |
|---|----------------|---|
| Geological Monitoring and Research to Inform the Protection of Transportation Assets | | |
| Landslide and Rockslide Prediction and Monitoring | Implementation | In 2016, the Pacific Northwest Transportation Consortium researched UAS for landslide assessment. ⁶⁵ Multiple State DOTs, such as Colorado, California, and Vermont, now use UAS to capture landslide-related imagery. ⁶⁶ However, in many cases this is expected to enhance, rather than replace, traditional survey methods. |
| Erosion Research | Implementation | State DOTs, such as Minnesota and California, have integrated UAS into erosion-related bridge inspection, bank erosion mapping, and post-disaster road reconstruction efforts. ^{67,68} North Carolina DOT, Georgia-based Atlantic Coast Conservancy, and University of Delaware have also used UAS for erosion-related research. ⁶⁹ |
| Geohazard Modeling and Monitoring | Implementation | Colorado DOT has integrated UAS into their Geohazards Program and has developed a comprehensive set of policies, procedures, and lessons learned related to site documentation and geohazard modeling. ⁷⁰ |
| Geologic Mapping | Development | Testing and pilot demonstrations have been successful, and researchers are beginning to translate this technology into practical use. |
| Weather-Related Data Collection to Support Traffic and Incident Monitoring | | |
| Storm Damage Assessment | Implementation | UAS were widely used during recent natural disasters, including use by North Carolina DOT following Hurricane Florence and Texas DOT following Hurricane Harvey; FAA has developed processes to facilitate these activities in the future. ^{71,72} |
| Forecasting | Development | A four-year, \$6 million research effort is underway to assess opportunities for UAS to gather lower atmosphere weather data, with one pilot demonstration including 12 UAS completing 250 flights during a three-day period. ⁷³ |
| Snow Mapping | Research | Snow mapping has been identified as a potential use case, but research appears to be in the early stages. |

3. UAS Flight Operations

The National Council of State Legislatures (NCSL) tracks UAS legislation and regulations at both the State and Federal level. According to NCSL, as of April 2020, at least 44 States had passed legislation regarding UAS operations, with an additional three States adopting resolutions.⁷⁴ In general, State-level legislation focuses on definitional issues and the use of UAS by law enforcement, State agencies, and the public. AASHTO notes that 36 of the 49 States identified as deploying or researching UAS through its 2019 survey “have hired hundreds of staff, including highly-skilled personnel to manage drone operations. Those state DOTs...also reported having 279 FAA-certified drone pilots on staff or approximately eight pilots per state.”⁷⁵

Many States with active UAS programs have developed comprehensive guides to provide operators with information regarding relevant Federal regulations and exemptions, as well as State-specific guidelines and policies. Caltrans is an example of a State with well-defined UAS operational procedures. The department developed a UAS handbook that includes details on State-level processes for:

- Defining UAS remote pilot and crew roles and responsibilities.
- Reporting on UAS operations.
- Managing safety/traffic closure/right of way.
- Establishing procedures (operational, reporting, and insurance processes) for construction contractors and encroachment permittees.

States have also developed best practices for technical considerations that are not governed by Federal requirements. For example, the “Implementation of Unmanned Aerial Vehicles (UAVs) for Assessment of Transportation Infrastructure—Phase II Final Report” developed by Michigan Tech Research Institute and Michigan DOT provides detailed manuals for the use of UAS and sensors, as well as for several algorithms developed for infrastructure and traffic monitoring. Other examples of State-level policies and guidelines can be found in the use case examples documented in section 2.

UAS operators in both the public and private sectors must also adhere to statutory and regulatory requirements. Public aircraft operations (including UAS operations) are governed under the statutory requirements for public aircraft established in 49 USC 40102 and 40125. Additionally, both public and civil UAS operators may operate under the regulations promulgated by the FAA. The provisions of 14 CFR part 107 apply to most operations of UAS weighing less than 55 lbs. Operators of UAS weighing greater than 55 lbs. may request exemptions to the airworthiness requirements of 14 CFR part 91 pursuant to 49 USC 44807. UAS operators should also be aware of the requirements of the airspace in which they wish to fly. The FAA provides extensive resources and information to help guide UAS operators in determining which laws, rules, and

regulations apply to a particular UAS operation. For more information, please see <https://www.faa.gov/uas/>.

4. UAS Data Collection and Processing Methods

The NCHRP 17-01 Domestic Scan contains several case studies of States implementing advanced data collection and processing methods. The Structure-from-Motion (SfM) technique is most widely used in geological surveying in order to capture complex rock formations, but has now been used by the Alaska Center of UAS integration to create a three-dimensional reconstruction of the 280-ft-long Placer River Bridge near Anchorage, AK (NCHRP, 2018, p. 84 of 254). SfM has also been used to survey land near the Moki Dugway in Bluff, UT (NCHRP, 2018, p. 92 of 254); any changes in land or infrastructure captured by UAS-enabled SfM would provide an early warning.

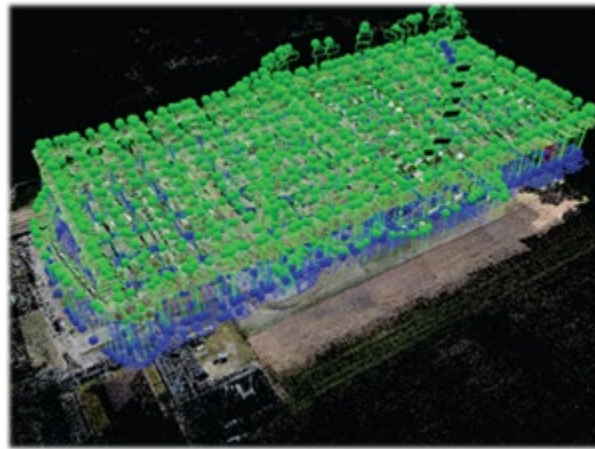


Figure 1. Illustration. Data collection and processing - structure-from-motion (SfM) technique (Source: Ohio DOT).

Thermal imaging is another advanced data collection and processing technique. In a series of lab and field tests led by Michigan Technical University, UAS flew over sections of concrete and industry thermal imaging sensors were used to capture delaminations (i.e., unwanted holes and voids below the surface).⁷⁶ Thermal imaging was used along a section of US Route 31 north of Muskegon, MI, and found “*that 13.6% of [a bridge over the White River] had delaminations*” and the bridge was given a “poor” rating (NCHRP, 2018, p. 86 of 254). A combined approach where in-situ methods are only applied after UAS-based thermal imaging identifies high-priority areas could prove very cost-effective.

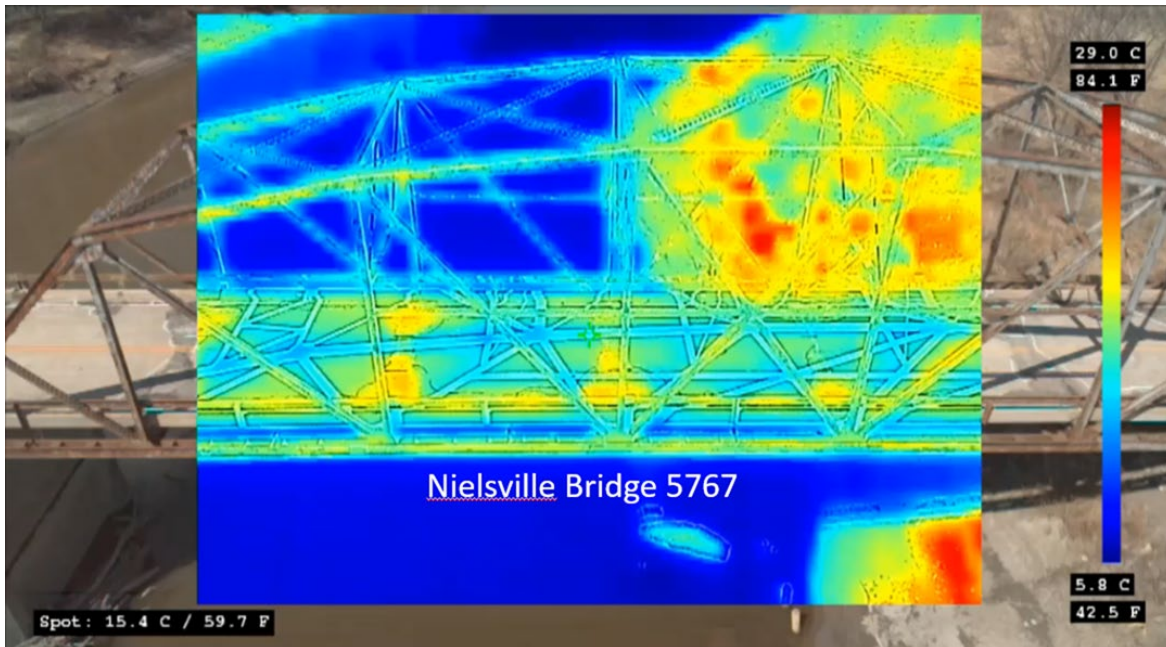


Figure 2. Illustration. Data collection and processing - thermal imaging of concrete bridge sections (Source: Minnesota DOT).

Simultaneous localization and mapping (SLAM) is the technique in which a remotely controlled vehicle (which can be a UAS), placed at an unknown location in an unknown environment, incrementally builds a picture of its own location as well as the environment.⁷⁷ One way to implement SLAM is by using laser range finders⁷⁸ and processing the range data with least-squares or sequential Kalman filtering techniques. In a Minnesota DOT bridge inspection operation, a UAS navigated very confined spaces to examine the health of structural elements from the inside while determining its position with SLAM (NCHRP, 2018, p. 89 of 254).

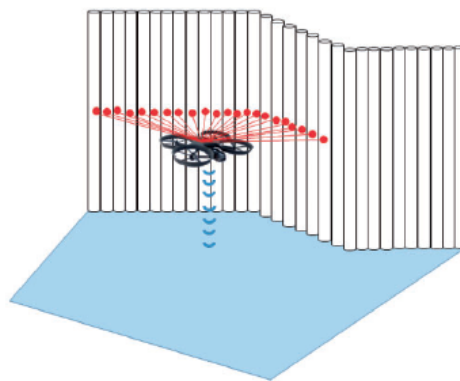


Figure 3. Illustration. “Schematic view of MAV inspection operation with laser and altitude sensors for SLAM purposes” (Source: [Tripicchio, Paolo et al. in *Confined Spaces Industrial Inspection with Micro Aerial Vehicles and Laser Range Finder Localization*](#) (May, 2018), licensed under [CC BY 4.0](#))

Aside from NCHRP use cases, Minnesota State DOT has examined a wide range of image processing techniques and deliverables with respect to UAS photographic bridge inspection.⁷⁹ MNDOT's study tested five commercial software packages for image processing (MN State DOT, 2018, p. 38 of 345), and a variety of deliverables such as orthomosaic maps and digital surface models, which can satisfy a wide range of missions. MNDOT provides several examples of image processing outputs (MN State DOT, 2018, p. 42 of 345). Vermont State DOT used UAS to find debris blockages (mainly from felled trees and wooden light poles) of rural State highways,⁸⁰ and developed a threat and risk evaluation scheme to identify priority areas for authorities' attention (VT State DOT, 2016, p. 62 of 122). Such a method has the potential to help State DOTs effectively allocate limited resources.

Challenges of infrastructure inspection with UAS are just as important to note as the potential benefits. GPS coverage or lack thereof (in confined spaces or areas shadowed by infrastructure) can limit UAS navigation capabilities. Certain commercial UAS models are best suited for individual use cases while others may not meet minimum performance specifications with respect to data quality (e.g., a particular model may not be capable of identifying and documenting cracks in a low-lighting environment).⁸¹ UAS battery life is sensitive to air temperature (hotter, less endurance) and to wind conditions (drains very quickly in 15 mph or higher).

5. Challenges and Opportunities for Future Research

This report identified a number of challenges for UAS operations. The following section presents a series of subsections that span the range of challenges, each offering examples within. These challenges represent areas where international advances could provide valuable lessons learned to advance the state of the practice in the United States.

Organizational

- **Obtaining executive support:** The business case for a UAS project is not always straightforward, although results from completed and ongoing research have demonstrated opportunities for cost savings over time.
- **Appetite for change within organizations:** Some organizational cultures might be too risk-averse to deploy emerging technologies, and some organizations may lack the internal capacity to effectively implement a UAS program.

Cross-Organizational

- **Lack of standardized training and operations:** Collaboration across organizations can be challenging due to inconsistent processes and procedures.
- **Lack of data management protocols and tools:** Data storage between agency and contractors can be a challenge. File sizes are very large and may take significant time to download, especially when passing through agency firewalls, and there is a large time investment associated with data organization and processing. Many agencies cited the need for improved storage solutions.

Technological

- **Battery storage limitation:** Each type of battery has a specified range; battery range might be reduced in certain conditions (e.g., extreme cold).
- **Rapid pace of technological development:** UAS technology is advancing at a rapid pace, and some potential operators may debate delaying their programs until more advanced technology can be acquired at a lower cost.
- **Radio frequency interference:** Some UAS rely on the 2.4 GHz radio frequency, which is the same radio frequency on which wireless computer networks rely.
- **“Off-the-shelf” limitations:** Additional research could inform a better understanding the limitations of different UAS models.
- **Cost of technology:** Purchasing a product or service in the UAS industry can become expensive, especially for more advanced systems capable of supporting complex use cases.
- **Firewalls:** Firewalls can slow the upload, download, or transfer of UAS data.

Operational

- **Difficult weather conditions:** Weather conditions, such as extreme cold, high winds, heavy rain, are a challenge for both the UAS and its human operator.
- **Poor GPS or satellite connectivity:** GPS is necessary for flying UAS in autopilot mode, which enables more advanced missions and functionality. Skilled pilots are needed to operate UAS in GPS-deprived environments.
- **Dense vegetation:** It can be difficult to navigate densely vegetated areas.

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